

# Computational Study on a Branch Decomposition Based Exact Distance Oracle for Planar Graphs

by

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# Abstract

We present a simple exact distance oracle for the point-to-point shortest distance problem in planar graphs. Given an edge weighted planar graph  $G$  of  $n$  vertices, we decompose  $G$  into subgraphs by a branch-decomposition of  $G$ , compute the shortest distances between each vertex in a subgraph and the vertices in the boundary of the subgraph, and keep the shortest distances in the oracle. Let  $bw(G)$  be the branchwidth of  $G$ . Our oracle has  $O(bw(G))$  query time,  $O(bw(G)n \log n)$  size and  $O(n^2 \log n)$  pre-processing time. Computational studies show that our oracle is much faster than Dijkstra's algorithm for answering point-to-point shortest distance queries for several classes of planar graphs.

**Keywords:** shortest distance, planar graphs, exact distance oracle, branch decomposition

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# 1. Introduction

The problem of computing the shortest paths/distances between vertices in graphs is one of the most well-known and well-studied problems in graph theory with many applications. There are many variants of the problem, and thus many practical algorithms are developed to solve them. One of the variants, and also the focus of this thesis, is the point-to-point shortest path/distance problem: Given a graph  $G$  and two vertices  $s$  (called the source) and  $t$  (called the destination), find a shortest path/distance from  $s$  to  $t$  in  $G$ . The point-to-point shortest path/distance problem is the foundation of other shortest path/distance problems and has many applications. One of the most commonly used applications is route-finding in real road networks (which share many properties with planar graphs). Take Google Maps as an example, it asks you to choose a starting location and a destination, and then outputs a route from the starting location to the destination that is the “shortest” with respect to either travel time or travel distance. In computer networks, it is common that a “shortest” route between two computers is required in order to build up a connection between the two computers. Whether the application is in a map system or a computer network, the larger the graph is, the more important the efficiency of answering a shortest path/distance query is: in applications like Geographic Information System (GIS), a delay of a few minutes may not be tolerable. Classic algorithms like Dijkstra’s algorithm [13], however, may not be efficient for new applications that require an answer for a shortest path/distance query in a large graph in a very short time. The problem with algorithms like Dijkstra’s algorithm is that they use the raw information of the graph only and compute everything on the graph itself. Distance oracles, on the other hand, is an approach to address this new challenge.

A distance oracle (sometimes also called an index or a labeling scheme) is a data structure that is precomputed and stores some information that helps computing the shortest distance to answer a distance query [39]. A distance oracle can be classified into static oracles and dynamic ones. A static oracle is mainly evaluated by the following parameters: (1) the time used for the oracle to answer a distance query (query time), (2) the memory size used by the oracle (oracle size), and (3) the time used for creating the oracle (pre-processing time). In addition to the criteria above, a dynamic oracle is also evaluated by the update time: the time to update the oracle when there is a dynamic change in the input graph.

The oracle can be either centralized or distributed, that is, an oracle can be entirely stored in a server (central node), or be stored separately in each node in a network.

In this thesis, we consider the static oracles. We propose an oracle for the point-to-point distance problem in edge weighted planar graphs. The oracle itself can be either centralized or distributed, but the pre-processing is performed in a centralized way, a more detailed description of the oracle will be given in Section 3.

## 1.1 Related Work

There are two extreme methods of solving point-to-point exact shortest path/distance problems: one is by classical shortest distance algorithms like Dijkstra algorithm [13] and Bellman-Ford algorithm [5, 17]. These algorithms do not use a distance oracle, instead, they compute the shortest distance completely on the graph itself and on the fly. Another method is to use a 2-dimensional distance array to store the pre-computed all-pairs distances in the graph. When a shortest distance query comes in, the program can then just look up the distance array and return the desired answer. Let  $G$  be an edge weighted graph with  $n$  vertices and  $m$  edges. Dijkstra's algorithm takes  $O(m + n \log n)$  time and  $O(m)$  memory space to answer a point-to-point shortest distance query for  $G$  without negative edge weight. Bellman-Ford algorithm takes  $O(mn)$  time and  $O(m)$  memory space to answer the query but can handle the problem for graphs with negative edge weights (without negative cycles) [37]. The oracle of 2-dimensional distance array takes  $O(1)$  time (query time) to answer the query but requires  $O(n^2)$  memory space (oracle size). Further more, it takes  $O(n(m + \log n))$  time (pre-processing time) to create the oracle. There is a trade-off between the query time and oracle size. The area that this thesis focuses on is to study and develop oracles that have better trade-offs between the two extreme examples.

### 1.1.1 Dijkstra Algorithm

Dijkstra algorithm and its variants are so far the most well-known one-to-all exact shortest distance algorithm without the use of a distance oracle. The algorithm starts with a set initially containing only the source vertex, and continues adding vertices with the shortest distance from the source vertex to the set, until the destination vertex is found or there is no vertex that the source vertex can reach [13]. An advantage of this algorithm is that in the process, it computes the shortest distances from the source to all the vertices in the set, thus Dijkstra algorithm is often used as a tool to compute one-to-all shortest distances in a graph. The algorithm is so popular that it is often used as a benchmark in evaluating the efficiency of an oracle. It is used in this thesis as a comparison object as well.

### 1.1.2 Distance Oracle in General graphs

A distance oracle is a data structure that stores some pre-computed information and answers a shortest distance query efficiently. An exact distance oracle is a distance oracle that gives the shortest distance  $d_G(s, t)$  from vertex  $s$  to vertex  $t$  in graph  $G$ . An approximate distance oracle, together with an  $(\alpha, \beta)$  approximation stretch ( $\alpha$  is called a multiplicative stretch and  $\beta$  is called an additive stretch), is a distance oracle that gives a distance  $\tilde{d}_G(s, t)$  with  $d_G(s, t) \leq \tilde{d}_G(s, t) \leq \alpha d_G(s, t) + \beta$ . An approximation oracle with stretch  $(\alpha, 0)$  is called an  $\alpha$ -approximate distance oracle.

In 2003, Cohen, Halperin, Kaplan, and Zwick [8] introduced a distributed exact distance oracle for general graphs using 2-hop cover. Assume the 2-hop cover for a graph  $G$  is  $H$ , then their oracle size is  $O(|H|)$ , and has an average query time of  $O(\frac{|H|}{n})$ . They also pointed out that the size of the oracle is unpredictable, but using a heuristic in the paper, an almost optimal 2-hop cover can be found (a  $(\log n)$ -approximation algorithm), thus bounding the size of the portal sets to  $O(\log n)$  for each vertex [8]. In 2013, Babenko, Gledberg, Gupta, and Nagarajan [3] further improved the 2-hop cover algorithm that gives an  $\log n$  approximation on the optimal maximum size of the portal sets, thus reducing the worst-case query time of the oracle up to a log factor. In 2014, Jiang, Fu, Wong, and Xu developed a distributed exact distance oracle for unweighted directed graphs using 2-hop labeling, and provided a oracle size bound of  $O(hn)$  on scale-free networks with  $O(n \log M \cdot (\frac{n}{M} + \log n))$  pre-processing time and  $O(n \cdot \frac{\log n}{M} \cdot \frac{n}{B})$  query time, where  $h$  is a small constant,  $M$  is the memory size, and  $B$  is the disk block size [27].

Sommer pointed out in [39] that Thorup and Zwick in 2005 [41] gave a tight trade-off between approximation ratio and space complexity for general graphs: an oracle of size  $O(kn^{1+\frac{1}{k}})$  gives a  $(2k - 1)$ -approximation on the shortest distance and has an  $O(k)$  query time for any  $k \geq 1$  [41]. He also pointed out that the trade-offs for distance oracles that use embedded information of the graphs is less studied [39].

Many distance oracles have been developed and studied for general graphs, readers may refer to Sommer's survey paper (Section 2) [39] for more details.

### 1.1.3 Distance Oracle in Planar Graphs

Planar graphs are considered widely in the application of shortest distance queries due to their similarity with real world road networks [39]. Though real road networks are not all planar graphs, they share some properties (small separators, etc.) [15] that can be used as a tool of answering distance queries efficiently. Because of this similarity with the road networks, many researchers came to the realization of the necessity of answering shortest distance queries efficiently in planar graphs.

## Theoretical Results

In 1997, Djidjev [14] proved that there exists an exact distance oracle of size  $S \in [n, n^2]$  that answers the shortest path/distance query in  $O(\frac{n^2}{S})$  time for planar graphs. If  $S \in [n^{\frac{4}{3}}, n^{\frac{3}{2}}]$ , then there exists an oracle of size  $S$  with query time  $\tilde{O}(\frac{n}{\sqrt{S}})$  [14]. Djidjev uses  $r$ -divisions (a partition of the edge set into  $O(\frac{n}{r})$  regions,  $R_1, R_2, \dots$  of size  $r$ ) to divide a graph  $G$  into subgraphs, and uses the set of boundary vertices, denoted as  $\partial R$ , (vertices adjacent to edges of different regions) as portal sets (vertices in which can be used to compute/estimate shortest paths). The algorithm then computes the pairwise distances between portals and stores them in a table with  $O(\frac{n^2}{\sqrt{r}})$  space and can answer shortest distance queries in  $O(r)$  time. For more details and improvements, readers can refer to [7, 14, 29]. In 2004, Gavoille [18] proved a lower bound of  $\Omega(n^{\frac{3}{2}})$  for the oracle size of a bounded degree weighted planar graph for any query time. In 2006, Fakcharoenphol and Rao [16] expended the embedded information in planar graphs into exact distance oracle and came up with an exact distance oracle with  $O(n \log^3 n)$  query time and  $O(n \log n)$  size that is suitable for machines with limited space and preprocessing time. Wulff-Nilsen proposed an exact distance oracle with constant query time and  $O(\frac{n^2 (\log \log n)^4}{\log n})$  size for weighted directed planar graphs in 2010 [42]. Mozes and Sommer [29] then introduced an exact distance oracle for planar graphs with oracle size  $O(S)$  and pre-processing time  $\tilde{O}(S)$ , and answers shortest distance queries in  $\tilde{O}(\frac{n}{\sqrt{S}})$  time, given that  $S \in [n \log \log n, n^2]$ . They also came up with a linear space exact distance oracle for planar graphs with query time  $O(n^{\frac{1}{2}+\epsilon})$  for any  $\epsilon > 0$ . In 2017, Cohen-Addad, Dahlgaard and Wulff-Nilsen [9] developed an exact distance oracle using  $r$ -division that achieves  $O(n^{\frac{5}{3}})$  space and  $O(\log n)$  query time on planar weighted directed graphs. They also provided a way to construct an exact distance oracle of size  $O(S)$  that answers shortest distance oracle queries in  $O(\frac{n^{5/2}}{n^{3/2}} \log n)$  time for any  $S \geq n^{\frac{3}{2}}$ , which improves the previous  $O(n^{\frac{1}{4}})$  query time.

In 2004, Thorup [40] proposed a  $(1 + \epsilon)$ -approximation oracle with  $O(\log \log(nN) + \frac{1}{\epsilon})$  query time and  $O(\frac{n(\log(nN)) \log n}{\epsilon})$  size for planar digraphs with edge weights drawn from  $\{0, 1, \dots, N\}$ , and a  $(1 + \epsilon)$ -approximation oracle with  $O(\frac{1}{\epsilon})$  query time and  $O(\frac{n \log n}{\epsilon})$  size for undirected planar graphs. In 2015 [22], Gu and Xu proposed a  $(1 + \epsilon)$ -approximation oracle with an  $O(1)$  query time independent of  $\epsilon$  and  $O(n \log n (\frac{\log n}{\epsilon} + f(\epsilon)))$  space for undirected planar graphs, where  $f(\epsilon) = 2^{O(1/\epsilon)}$ . In 2016, Wulff-Nilsen [44] came up with a  $(1 + \epsilon)$ -approximate distance oracle with  $O(\frac{(\log \log n)^3}{\epsilon^2} + \frac{\log \log n \sqrt{\log \log(\log \log n/\epsilon^2)}}{\epsilon^2})$  query time and  $O(\frac{n(\log \log n)^2}{\epsilon} + \frac{\log \log n}{\epsilon^2})$  space for undirected planar graphs. This improves the previous best product of query time and space.

## Computational Results

Due to the popularity of route planning and GIS, many distance oracles specialized for real road networks were developed in the recent years.

In 2008, Geisberger, Sanders, Schultes, and Delling [19] came up with a hierarchical distance oracle called Contraction Hierarchies (CH). The algorithm recursively contracts vertex with “less importance”, constructing layers of contracted graphs. It answers shortest distance queries by searching paths from the most contracted layer to the original graph. CH performs well on practical data (road network of Western Europe) due to its small overhead and fast pre-processing time [19, 39]. An improved version of the oracle, PHAST, was then introduced in 2013 in [10], taking the advantages of the modern CPU architectures and making it suitable for shortest distance queries for continental road networks.

Inspired by the recent research findings on graph partitioning, Delling, Goldberg, Pajor, and Werneck [11] proposed an algorithm called Customizable Route Planning (CRP) in 2011. The algorithm partitions a graph into connected subgraphs with no more than  $N$  vertices, together with a set of boundary graphs (induced by boundary vertices and arcs) then uses bidirectional Dijkstra algorithm to construct the oracle. The algorithm was tested on continental road networks and was more than 3000-7000 times faster than the Dijkstra algorithm [11].

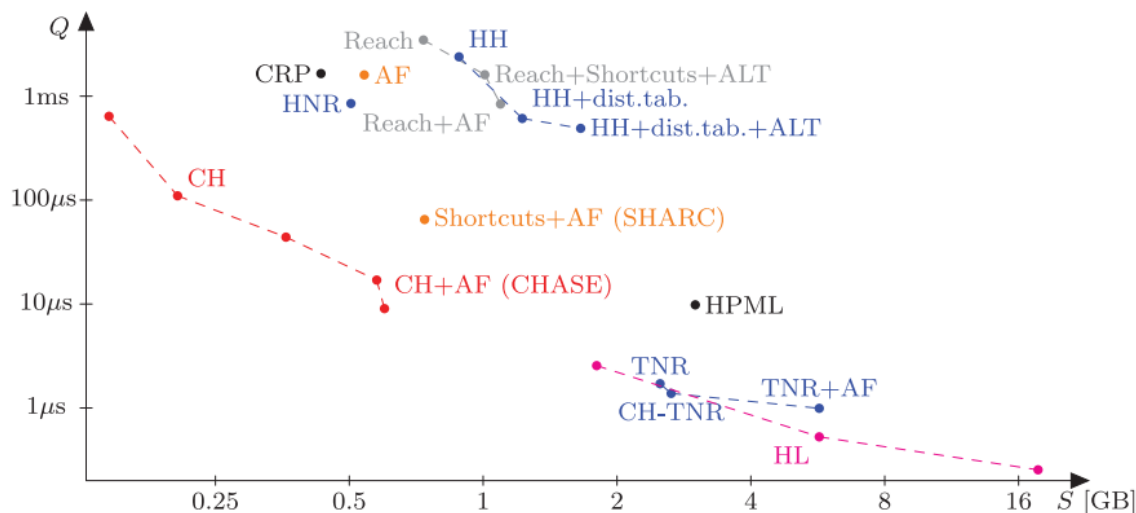


Figure 1.1: Practical results for road planing in real road networks. This figure is extracted from [39]. It shows the trade-offs between space ( $S$ ) and query time ( $Q$ ) for many distance oracles developed for real world road networks.

Other distance oracles that performs well on practical data like road networks includes TreeMap [45], Arc Flags (AF) [28], Transit-Node Routing [2], Hub Labels [12], and etc.. Readers may refer to Sommer’s survey paper [39] for more detailed descriptions of the above oracles. Most of such distance oracles are tuned using techniques specialized for specific networks like road networks, etc., and the algorithms/heuristics rely on the topological/spatial properties of the networks heavily. Figure 1.1 shows the computational results (space-query-time trade-offs) on some of the above algorithm.

### 1.1.4 Graph Decomposition and Its Use in Distance Oracles

Graph decomposition is a commonly used approach for developing distance oracles. Common decomposition techniques include tree decomposition [33], path decomposition [32, 40] and branch decomposition [34]. For any particular decomposition technique, a graph  $G$  is decomposed into subgraphs using some kind of graph separator (e.g. a vertex cut set, an edge set, etc.). Each separator cuts the induced graph (a subgraph of  $G$ ) into subgraphs. A decomposition of a graph  $G$  is often represented by a tree like structure called decomposition tree, together with a function  $\tau$  that maps either vertices or edges of the graph to nodes in the decomposition tree. Recent studies use these decomposition techniques and separators to design distance oracles (whether exact or approximate). The reason is that if some separators separate the source and the destination into two different subgraphs, then the shortest path from the source to the destination has to intersect with those separators, thus reducing the number of vertices needed to compute the shortest distance. Thorup [40], Wulff-Nilsen [43], and Gu and Xu [22] proposed approximate distance oracles based on graph decompositions. Xiang [45] introduced a distributed exact distance oracle using tree decomposition of a graph. It creates a separation tree (whose nodes represent vertex sets that separate the graph)  $T$  by recursively removing centroids in the tree decomposition and labeling the nodes using Breadth First Search (BFS).  $T$  is then transformed into a binary tree  $T'$  by adding dummy nodes to it, and the labels are updated accordingly. The construction takes  $O((tw(G))^2 \cdot n \cdot \log^2 n + tw(G) \cdot m \cdot \log n)$ . The oracle answers the shortest distance query in  $O(tw(G))$  time, where  $tw(G)$  is the treewidth (defined in Section 2) of  $G$ .

## 1.2 Contribution of the Thesis

A branch-decomposition is a system of using vertex cut sets as separators to decompose a graph  $G$  into subgraphs (a formal definition of branch-decomposition is given in Chapter 2). It is a useful technique for many problems in graph theory, the shortest path problem is one of such problems.

In this thesis, we present a simple exact distance oracle for planar graphs  $G$  based on branch decompositions. The oracle has  $O(bw(G))$  query time,  $O(bw(G)n \log n)$  size and  $O(n^2 \log n)$  pre-processing time, where  $bw(G)$  is the branchwidth of  $G$ . The construction of the oracle starts with computing a branch decomposition of the input planar graph  $G$  from which a branch decomposition tree  $T_B$  is built. Then a perfect “virtual” rooted binary tree  $T_V$  is constructed by transforming  $T_B$  into a rooted binary tree  $T_L$  (each tree node in  $T_L$  corresponds to a tree edge in  $T_B$ ) and adding dummy nodes. The algorithm then computes the cut set associated with each node in  $T_L$  (a tree edge in  $T_B$ ) and the shortest distances between each vertex in a leaf node and vertices in the cut set associated with each ancestor in  $T_V$ , and keeps the shortest distances in the oracle.

In the query phase, assume that the source is  $s$  and the destination is  $t$ , the cut set  $C$  that separates  $s$  and  $t$  is found using  $T_V$ , the shortest distance between  $s$  and  $t$  is then defined by  $d_G(s, t) = \min_{v \in C} \{d_G(s, v) + d_G(v, t)\}$ , where  $d_G(v, u)$  denotes the shortest distance between  $u$  and  $v$  in  $G$ .

Due to its close relation with the branch decomposition of  $G$ , the oracle has a small query time and oracle size for graphs with small branchwidth. Unlike the exact distance oracles that are specialized for real world road networks, our oracle is efficient for a wide range of undirected planar graphs, and is easy to implement from algorithm engineering point of view due to the simplicity of the data structure.

Computational study shows that our oracle performs well on planar graphs, it beats both Dijkstra's algorithm and Bi-directional Dijkstra's algorithm by a factor of at least 30 for planar graphs with 5000+ edges.

### 1.3 Thesis Structure

In this thesis, we will give the preliminaries of the thesis in Chapter 2 and introduce the branch-decomposition based exact oracle for the point-to-point distance problem in arbitrary planar graphs in Chapter 3. We then present the computational study results for the branch decomposition based oracle and Dijkstra's algorithm, followed by a discussion of the results in Chapter 4. Finally, we conclude the thesis in Chapter 5.

## 2. Preliminary

We denote  $G = (V, E)$  as a graph with  $V$  as the vertex set and  $E$  as the edge set (i.e., a set of pairs of elements in  $V$ ). We let  $|V| = n$  and  $|E| = m$ . We denote by  $V(G)$  and  $E(G)$  as the vertex set and the edge set of a graph  $G$ , respectively. In the rest of this thesis, we consider  $G$  as a simple connected graph (no multi-edge or loop).  $G$  is weighted if each edge  $e$  is associated with a real number, denoted as  $w(e)$ , as the edge weight, otherwise unweighted. For an un-weighted graph, we assume each edge has weight one. If the pairs in  $E$  is ordered, we say that the graph  $G$  is directed, otherwise, the graph  $G$  is undirected. We will use graph for undirected graph and digraph for directed graph in the rest of the thesis.  $G$  is said to be *planar* if there is a drawing of  $G$  onto a plane with no two edges crossing each other. One interesting property of a planar graph is that  $m = O(n)$ .

A path  $P$  in  $G$  is a sequence of edges  $e_1e_2\cdots e_k$  of  $G$ , where  $e_i = (v_i, v_{i+1})$  for  $i = 1, 2, \dots, k$  such that each vertex of  $G$  appears in  $P$  at most once. When  $v_1 = v_{k+1}$ ,  $P$  is called a cycle. The length of a path  $P$ , denoted by  $l(P)$ , is the sum of the weights of all edges in  $P$ . Formally, for  $P = e_1e_2\cdots e_k$ ,  $l(P) = \sum_{i=1}^k w(e_i)$ . A *shortest path* from a vertex  $s$  to a vertex  $t$  in  $G$  is a path from  $s$  to  $t$  with the minimum length. The length of a shortest path from  $s$  to  $t$  in  $G$  is the *shortest distance* from  $s$  to  $t$  in  $G$ , denoted by  $d_G(s, t)$ .

A subgraph  $H = (V', E')$  of  $G$  is a graph such that  $V' \subseteq V$  and  $E' \subseteq E$ , and  $V'$  contains all endpoints of the edges in  $E'$ . A connected component  $Q = (V_Q, E_Q)$  of  $G$  is a subgraph of  $G$  such that every pair of vertices in  $V_Q$  is connected by a path, and no vertex in  $V_Q$  is connected to any vertex in  $V \setminus V_Q$ . A *vertex cut set*  $C \subseteq V$  of  $G$  is a set of vertices of which the removal (together with their incident edges) will decompose  $G$  into at least two components. For a graph  $G$  and a subset  $A \subseteq E(G)$  of edges, we denote the complement of  $A$ ,  $E(G) \setminus A$ , by  $\bar{A}$ . A *separation* of graph  $G$  is a pair  $(A, \bar{A})$  of subsets of  $E(G)$ . Notice that for each separation  $(A, \bar{A})$ , there is a vertex cut set  $C = V(A) \cap V(\bar{A})$  associated with it. The order of a separation  $(A, \bar{A})$  is  $|V(A) \cap V(\bar{A})| = |C|$ .

We will use node for vertex and link for edge in a tree. In a rooted tree  $T$  with root  $r$ , node  $v$  is a child of node  $u$  if  $(u, v)$  is a link of  $T$  and  $d_T(v, r) = d_T(u, r) + 1$ ; and  $u$  is called the parent of  $v$ . Node  $v$  is a descendant of  $u$  if  $u$  is on the path between  $v$  and  $r$ , and  $d_T(v, r) > d_T(u, r)$ ; and  $u$  is called an ancestor of  $v$ . A node of  $T$  is called a leaf if it does not have any child, otherwise an internal node. An internal node  $u$  of a binary tree  $T$  has at



most two children. The *depth* of a node  $v$  in  $T$ , denoted by  $dep(v)$ , is the length of the path from the root to this node. The depth of a tree  $T$  is defined by  $dep(T) = \max_{v \in V} \{dep(v)\}$ . A *perfect binary tree* is a tree where every internal node has exactly two children and all leaf nodes have the same depth. For each vertex  $u$  in  $T$ , the subgraph induced by each child  $v$  of  $u$  and  $v$ 's descendants is a subtree of  $T$ . We call one subtree the left subtree of  $u$  and the other (if  $u$  has two children) the right subtree of  $u$  (see Figure 2.1 for an example). For a tree  $T$ , we denote by  $lf(T)$  the number of leaves in  $T$ . For a link  $e = (u, v)$  of  $T$  with root  $r$ , removing  $e$  partitions  $T$  into two subtrees  $T_L(e)$  and  $T_R(e)$ , one contains the root  $r$  and the other does not. If the node  $v$  is the left child of  $u$ , then we say that the subtree containing  $r$  is the right subtree (namely  $T_R(e)$ ) induced by the link  $e$ . The other subtree  $T_L(e)$ , which is rooted at  $v$ , is called the left subtree induced by the link  $e$  (see Figure 2.2(a) for an example). If  $v$  is the right child of  $u$ , then  $T_L(e)$  is the subtree containing  $r$  and  $T_R(e)$  is the subtree rooted at  $v$  (see Figure 2.2(b) for an example). We denote by  $lf_L(e)$  the number of leaves in the left subtree  $T_L(e)$  and by  $lf_R(e)$  the number of leaves in the right subtree  $T_R(e)$  induced by  $e$ .

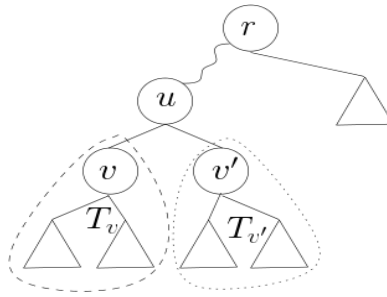


Figure 2.1: An example of the left/right subtrees of a node  $u$  in a binary tree rooted at  $r$ . Circles represent nodes in the tree, and triangles represent implicit subtrees. In this figure,  $u$  has two children  $v$  and  $v'$ . We say that the binary tree  $T_v$  (the dashed region), induced by  $v$  and its descendants, is the left subtree of  $u$ ; and the binary tree  $T_{v'}$  (the dotted region), induced by  $v'$  and its descendants, is the right subtree of  $u$ .

An *inorder search* of a rooted tree is a tree traversal that first visits the left subtree of the root, then the root, then the right subtree of the root, and each subtree is visited recursively. The *inorder search index*, or just the *index*, of a node  $u$  is the order (numbered from 1 to  $n$ ) in which  $u$  is visited by an inorder search in the rooted tree. The index of a node  $u$  is denoted by  $index(u)$ . For a pair of nodes  $u$  and  $v$  in a rooted tree  $T$  with root  $r$ , a common ancestor of  $u$  and  $v$  is a vertex  $w$  which is on the path from  $u$  to  $r$  and the path from  $v$  to  $r$ . The *nearest common ancestor (nca)* is therefore the common ancestor  $w$  with highest depth. Notice that if  $w$  is the nca of  $u$  and  $v$ , then one of  $u$  and  $v$  is in the left subtree of  $w$  and the other is in the right subtree of  $w$ .

Branch decomposition was first introduced by Robertson and Seymour in 1991 [34]. A *branch decomposition* of a graph  $G$  is a pair  $(T_B, \tau)$ , where  $T_B$  is a ternary tree with  $|E|$

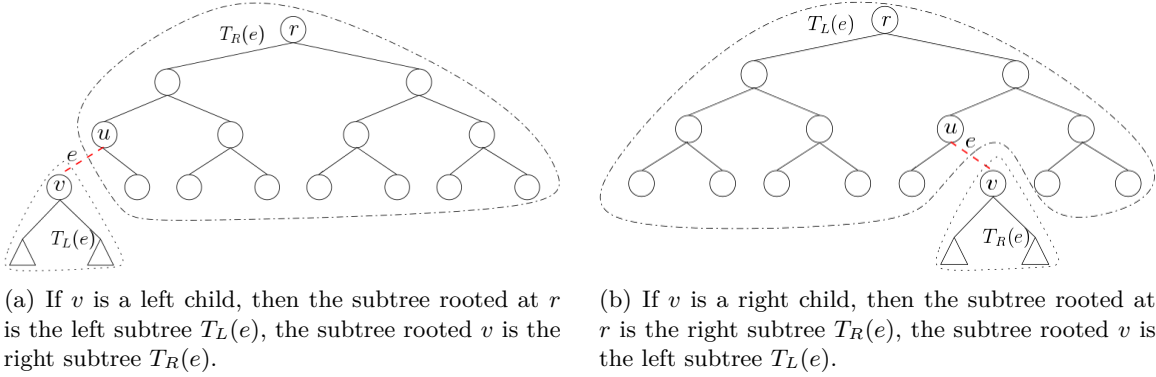


Figure 2.2: An example for the two cases of left/right subtrees. Circles represent nodes in the tree  $T$ , and triangles represent implicit subtrees. The dashed link  $e = (u, v)$  is a removed link and  $r$  is the root of the tree  $T$ .

leaf nodes and  $\tau$  is a bijection from the edges in  $G$  to the leaves in  $T_B$ . The removal of any link  $e$  in  $T_B$  (say the resulting two subtrees are  $T_1$  and  $T_2$ ) “cuts”  $G$  into two subgraphs, one induced by the leaves in  $T_1$ , the other induced by the leaves in  $T_2$ . Thus each tree link in  $T_B$  has a vertex cut set associated with it. We say that the separation  $(\tau(T_1), \tau(T_2))$  is induced by the link  $e$ . We define the width of a branch decomposition  $(T_B, \tau)$  to be the largest order of the separations induced by links of  $T_B$ . The *branchwidth* of  $G$ , denoted by  $bw(G)$ , is the minimum width of all branch decompositions of  $G$ . In the rest of this thesis, we identify a branch decomposition  $(T_B, \tau)$  with the tree  $T_B$ , leaving the bijection implicit and regarding each leaf of  $T_B$  as an edge of  $G$ . We call a link  $e$  in a branch decomposition tree *valid* if  $\frac{lf(T_B)}{3} \leq lf_L(e) \leq \frac{2lf(T_B)}{3}$ .

For any perfect binary tree  $T$  and a leaf node  $x \in V(T)$ , we define the *forward port set* and *backward port set* as follows:

**Definition 1.** let  $v$  be a node in  $T$  with the in-order index  $a \cdot 2^i$ , where  $a$  is some integer, we define  $v$  as a level  $i$  port.

For each leaf node  $x$  of  $T$ , let  $y$  be the node with the minimum index such that  $\text{index}(x) \leq \text{index}(y)$ . Assume that  $y$  is a level  $i$  port and we rename  $y$  as  $y_i$ . For every  $j > i$ , let  $y_j$  be the level  $j$  port with the minimum index such that  $\text{index}(x) \leq \text{index}(y_j)$ . We call  $y_i, y_{i+1}, \dots$  the forward ports for  $x$ . The set  $F_x$  containing all the forward ports of  $x$  is the forward port set for  $x$ . Similarly, let  $z$  be the port with the maximum index such that  $\text{index}(x) \geq \text{index}(z)$ . Assume  $z$  is a level  $p$  port. For every  $q > p$ , let  $z_q$  be the level  $q$  port with the maximum index such that  $\text{index}(x) \geq \text{index}(z_q)$ . We call  $z_p, z_{p+1}, \dots$  the backward ports for  $x$ . The set  $B_x$  containing all backward ports of  $x$  is the backward port set for  $x$ .

Notice that for any node  $y$  in  $F_x$ ,  $x$  is in the left subtree of  $y$ , and for any node  $z$  in  $B_x$ ,  $x$  is in the right subtree of  $z$ . Additionally, any ancestor of  $x$  is either in  $F_x$  or  $B_x$  but not in both. These are direct observations of the in-order search on a perfect binary tree.

Throughout the paper, we refer shortest path/distance query problem as the point-to-point shortest distance query problem: Given a pair of vertices  $s$  and  $t$  in a weighted planar graph  $G$ , find the shortest distance  $d_G(s, t)$  from  $s$  to  $t$  in  $G$ .

# 3. Algorithm Design

## 3.1 Algorithm Description

To construct our oracle, we first compute a branch decomposition  $T_B$  of an input planar graph  $G$ . An optimal branch-decomposition can be computed in  $O(n^3)$  time in worst case and  $O(n^2 \log n)$  in average case [20]. A branch-decomposition of width  $O(k)$  can be computed in  $\min\{O(n \log^3 n \log k), O(nk^2 \log k)\}$  time, where  $k = bw(G)$  [21].

As mentioned above, every leaf node in  $T_B$  corresponds to an edge in  $G$ . For each tree link  $e$  of  $T_B$ ,  $e$  is associated with a vertex cut set (the separator) that cuts  $G$  into two subgraphs (or regions). For querying the shortest distance of a pair of vertices  $s$  and  $t$ , one just need to find the cut set that separates  $s$  and  $t$  into two regions, the shortest path has to go through a vertex in the cut set. Thus to find the shortest distance between  $s$  and  $t$  using a branch decomposition  $T_B$ , one just need to find a cut set in  $T_B$  that separates  $s$  and  $t$  into two regions. Based on the structure of a branch decomposition  $T_B$ , the cut set is one of these associated with the three links incident to the nca  $w$  of the leaf containing  $s$  and the leaf containing  $t$ , as shown in Figure 3.1. The shortest distance path between  $s$  and  $t$  in  $G$  will have to intersect with some vertices in at least one of the cut sets associated with  $e_1$ ,  $e_2$ , or  $e_3$  in Figure 3.1. Therefore, if we want to find the shortest path distance from  $s$  to  $t$ , we will have to consider all three cut sets, thus increasing the query time. To increase the efficiency, we need to use the correct cut set for  $s$  and  $t$  for computing the distance between  $s$  and  $t$ , not all of the three cuts. Also, the number of ancestors of a node  $s$  is the height of  $T_B$  and thus if  $T_B$  has a large height ( $T_B$  is not balanced) then the oracle has a large size. To address the above problems, we perform the following transformation to get a rooted binary tree  $T_L$ , which we will refer to as a logical tree in the rest of the paper, such that for every two vertices  $s$  and  $t$  in  $G$ , the nca of the node containing  $s$  and the node containing  $t$  in  $T_L$  is associated with a cut set which separates  $s$  and  $t$  in two regions, and the height of  $T_L$  is  $O(\log n)$ .

Given a branch decomposition tree  $T_B$ , we choose a link  $e$  that is valid and “virtually remove” it from the tree. Assume that the separation is  $(E(G_1), E(G_2))$ , where  $G_1$  and  $G_2$  are the two subgraphs induced by  $e$ . We then recur the above process on  $G_1$  and  $G_2$ , say the valid links chosen in  $G_1$  and  $G_2$  are  $f$  and  $g$  respectively. We view  $e$ ,  $f$ , and  $g$  as logical

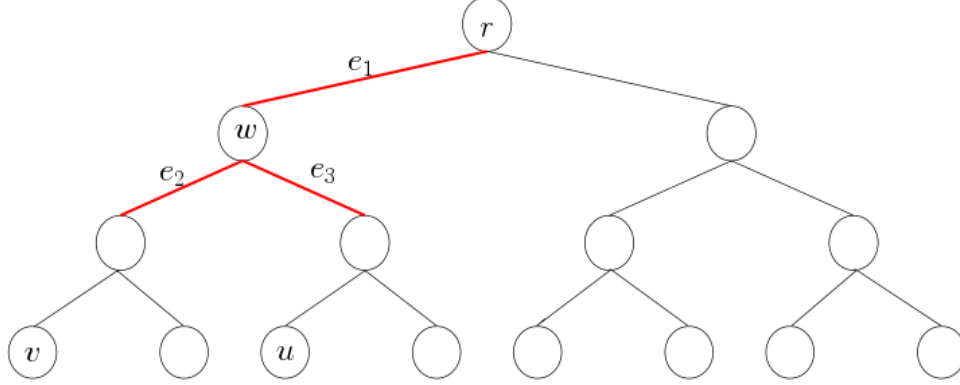


Figure 3.1: In this branch decomposition tree  $T$  of  $G$  rooted at  $r$ ,  $w$  is the nearest common ancestor of  $u$  and  $v$ . The three links (bold lines)  $e_1$ ,  $e_2$ , and  $e_3$  are the three potential vertex cut sets that may separate  $u$  and  $v$  in  $G$ .

nodes and connect  $f$  and  $e$ , and  $g$  and  $e$  using logical links. We repeat the process until all links are “removed” from  $T_B$ . The logical nodes and links form a rooted binary tree, denoted by  $T_L$ . Notice that each node in  $T_L$  corresponds to a link in  $T_B$ . Further more, the order we choose links in  $T_B$  to remove in the transformation phase does not affect the theoretical bound of our oracle, as long as the chosen links are valid in every iteration.

To make this process simpler to implement, we first change  $T_B$  to a rooted binary tree by first pick up a link  $e = (u, v)$  with  $u, v$  both internal nodes. Then  $e$  is physically removed from the tree and two links  $(r, u)$  and  $(r, v)$  are added to  $T_B$ , where  $r$  is a newly added node, and also the root of  $T_B$ . The detailed process is described in Algorithm 1 and Algorithm 2.

---

**Algorithm 1** TreeTransformation

---

**Input:**  $G$ , an input planar graph

- 1: Find a branch decomposition tree  $T_B$  of  $G$
  - 2: Convert  $T_B$  to a rooted binary tree rooted at  $r$
  - 3:  $Tab \leftarrow$  An empty table with links in  $T_B$  as horizontal indexes and  $L$  (left side) &  $R$  (right side) as vertical indexes.
  - 4: Count  $lf_L(e)$  and  $lf_R(e)$  for every link  $e$  and fill the table  $Tab$  by first virtually remove  $e$  and then count the number of leaves in each resulting subtree
  - 5:  $r_L \leftarrow \text{ModifyDecompTree}(T_B, Tab)$
  - 6: **return**  $T_L$ , rooted at  $r_L$
- 

We now prove that the cut set associated with the nca of the nodes containing  $s$  and  $t$  in  $T_L$  contains the correct vertex that intersect with the shortest path between  $s$  and  $t$  in  $G$ : at any stage of the transformation, say the tree link that is currently being removed is  $e$ , then  $e$  separates the graph into two subgraphs, say the two subgraphs are  $G_1$  and  $G_2$ , if  $u$  resides in  $G_1$  and  $v$  resides in  $G_2$ , then  $e$  contains the cut set that separates  $u$  and  $v$ . After the transformation,  $u$  will be in a node in one subtree of  $e$  in  $T_L$  and  $v$  will be in a node in the other subtree of  $e$  in  $T_L$ . Thus the nca of the two nodes containing  $u$  and  $v$  will

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**Algorithm 2** ModifyDecompTree

---

**Input:**  $r$ , the root of the current branch decomposition subtree  $T_r$ ;  $Tab$ , the table computed in Algorithm 1;  $N$ , the number of leaves in  $T_r$

- 1:  $e_0 = (u, v) \leftarrow ValidLinkSelection(r, N)$  (Algorithm 4)
- 2: **if** no such  $e_0$  is found **then**
- 3:   **return** NULL
- 4: **end if**
- 5:  $updateTable(r, Tab, e_0)$  (Algorithm 5)
- 6:  $dir \leftarrow 0$  if  $v$  is the right child of  $u$ , 1 otherwise
- 7:  $n_r \leftarrow Tab[r][LEFT] + Tab[r][RIGHT]$ , the number of leaves in  $T_r$  with  $e_0$  removed
- 8:  $n_v \leftarrow Tab[v][LEFT] + Tab[v][RIGHT]$ , the number of leaves in  $T_v$
- 9:  $e_1 \leftarrow ModifyDecompTree(r, Tab, n_r)$
- 10:  $e_2 \leftarrow ModifyDecompTree(v, Tab, n_v)$
- 11: link  $e_0$  &  $e_1$  and  $e_0$  &  $e_2$  with logical links,  $e_0$  is the parent of the two
- 12: **if**  $dir = 0$  **then**
- 13:    $e_1$  is the left child of  $e_0$  and  $e_2$  is the right child of  $e_0$
- 14: **else**
- 15:    $e_2$  is the left child of  $e_0$  and  $e_1$  is the right child of  $e_0$
- 16: **end if**
- 17: **return**  $e_0$

---

be  $e$ , hence we can correctly find the cut set that separates them. Notice that because we always select valid links, the resulting tree  $T_L$  will be balanced. Thus the height of  $T_L$  is  $O(\log n)$ .

### 3.1.1 Valid Link Selection

There is, however, one problem remains unsolved: the selection of such a valid link. To address this problem, we count the number of leaf nodes in the induced left and right subtrees for each link, and store the result in a  $3 \times O(n)$  table  $Tab$ . The first row of  $Tab$  is the reference to all the links in  $T_B$ , the second and the third rows contain the number of leaves in the left/right subtrees of the corresponding link.

The general idea of valid link selection is to do it in a recursive way, from top to bottom, so that each edge is considered at most once in each selection. In any valid link selection stage of the tree transformation, suppose  $e = (u, v)$  ( $u$  is the parent of  $v$ ) is selected in the tree  $T_r$  rooted at  $r$  that has  $m$  leaves (recall that  $m = O(n)$  in planar graphs). Link  $e$  is then marked as removed, thus we have a separation  $(E(T_1), E(T_2))$ . Suppose  $T_1$  is the subtree rooted at  $r$  and  $T_2$  is the subtree rooted at  $v$ . The table  $Tab$  is then updated by the following rule: for the subtree  $T_1$ , do a search from  $r$  and count the number of leaves, stops when reaches a leaf or a removed edge (Algorithm 3); for the subtree  $T_2$ , we do a search from  $v$  and count the number of leaves. To find a valid child link, we again start from the root to preserve the right/left direction of the links. Suppose we want to select a child in  $T_1$ , we first start from  $r$ , checking each link incident to  $r$ , and recurse on the children of  $r$

until we find one edge that is valid. For more detailed description of the algorithms, please refer to Algorithm 4 and Algorithm 5.

The table update process takes  $O(n)$  time and requires  $O(n)$  space for the table  $Tab$ . To find children links in the worst case, we need to iterate through the table, thus taking  $O(n)$  time. Therefore, valid link selection stage takes  $O(n)$  time and  $O(n)$  space.

---

**Algorithm 3** LeavesCount

---

**Input:**  $r$ , the root of the current branch decomposition tree

- 1: **if**  $r$  is NULL or both children links of  $r$  is removed **then**
- 2:     **return** 0
- 3: **else if**  $r$  is a leaf node **then**
- 4:     **return** 1
- 5: **else if** one of the child link of  $r$  is removed **then**
- 6:     **return** The *LeavesCount* of the other child link
- 7: **else**
- 8:     **return** The sum of the *LeavesCount* for both children links
- 9: **end if**

---



---

**Algorithm 4** ValidLinkSelection

---

**Input:**  $r$ , the root of the current branch decomposition tree,  $Tab$ , the table,  $n$  the total number of leaves in the tree rooted at  $r$ , and  $e = (u, v)$ , the edge being selected

- 1: **for** each child  $u$  of  $r$  (two at most) **do**
- 2:     **if**  $(r, u)$  is valid **then**
- 3:         **return**  $(r, u)$
- 4:     **else**
- 5:         **return**  $ValidLinkSelection(u, Tab, Tab[u][LEFT] + Tab[u][RIGHT])$
- 6:     **end if**
- 7: **end for**
- 8: **return** NULL

---



---

**Algorithm 5** UpdateTable

---

**Input:**  $r$ , the root of the current tree,  $Tab$ , the table, and  $e = (u, v)$ , the link being removed

- 1: Mark  $e$  as removed
- 2: Suppose the two subtrees are  $T_1$  and  $T_2$ , with roots  $r$  and  $v$
- 3:  $LeavesCount(r)$ , update  $Tab$  accordingly
- 4:  $LeavesCount(v)$ , update  $Tab$  accordingly

---

### 3.1.2 In-Order Search Index Assignment

After we obtain  $T_L$ , the question that remains is to find the nca of two nodes efficiently. Harel and Tarjan [23] proposed an  $O(1)$  algorithm that finds the nca of two nodes in 1984. The algorithm, however, requires the tree to be a complete binary tree (for correct node

indexing used in the algorithm), otherwise a complex transformation is required in order to find the nca of two nodes in an arbitrary tree. A detailed algorithm description is given in Section 3.1.3. Recall that by our transformation,  $T_L$  is already a rooted binary tree, thus changing  $T_L$  into a complete tree is trivial: recall that each node in  $T_L$  is a link (associated with a cut set) in  $T_B$ . For a leaf node (some link in  $T_B$ )  $u$  in  $T_L$ , suppose the graph induced by the leaves in the subtree  $T_u$  of  $T_B$  is  $G_u$ , then the cut set associated with  $u$  cuts  $G_u$  into two subgraphs (called atom nodes). Let  $T_E$  be the tree obtained from  $T_L$  by attaching atom nodes to the corresponding leaf nodes. Let  $T_V$  be a perfect binary tree obtained from  $T_L$  also, but with the following rules: let the height of  $T_L$  be  $h$ . For a leaf node  $u$  in  $T_L$  with depth less than  $h$ , create two dummy perfect binary trees (solely for node indexing)  $T_{u_1}$  and  $T_{u_2}$  of height  $h - \text{dep}(u)$  and attach them to  $u$ . Suppose the two atom nodes attached to  $u$  in  $T_E$  are  $a_1$  and  $a_2$ , attach  $a_1$  and  $a_2$  to  $T_{u_1}$  and  $T_{u_2}$  so that their nca is  $u$  (attach one on the rightmost leaf on  $T_{u_1}$  and other on the leftmost leaf on  $T_{u_2}$ ); for a node  $u$  with depth equals  $h$ , simply attach the two associated atom nodes. We then add dummy nodes to the leafs so that  $T_V$  becomes a perfect binary tree of height  $h + 1$ , which is also complete. After creating  $T_V$ , we do an in-order search to assign each node the in-order search index.

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**Algorithm 6** InorderSearch

---

**Input:**  $v$ , the current tree node in  $T_L$ ;  $i$ , the last assigned index, initially 0

- 1: **if**  $v$  is NULL **then**
- 2:     **return**  $i$
- 3: **end if**
- 4: **if**  $v$  has a left child  $w_1$  **then**
- 5:      $i \leftarrow \text{InorderSearch}(w_1, i)$
- 6: **else**
- 7:     Create a new atom node  $a_1$  and attach it to the left of  $v$  and assign  $i + 1$  to  $a_1$
- 8:      $i \leftarrow i + 2^{h+1-\text{dep}(v)}$
- 9: **end if**
- 10: Assign the new  $i$  to  $v$
- 11: **if**  $v$  has a right child  $w_2$  **then**
- 12:      $i \leftarrow \text{InorderSearch}(w_2, i)$
- 13: **else**
- 14:      $i \leftarrow i + 2^{(h+1)-\text{dep}(v)+1} - 1$
- 15:     Create a new atom node  $a_1$  and attach it to the left of  $v$  and assign  $i$  to  $a_2$
- 16: **end if**
- 17: **return**  $i$

---

One disadvantage of the above algorithm is that we need to physically create and add dummy nodes and dummy links to  $T_E$ , thus creating additional memory consumption. The dummy nodes and dummy links are solely for assigning the correct index to the non-dummy nodes in  $T_E$ . To further speed up the pre-processing, notice that Algorithm 7 is based on the in-order search index of each node in the perfect binary tree, thus we do not need to physically add dummy nodes and links to  $T_E$ , we only need the correct index. Therefore, we



“virtually” add dummy nodes and links to make  $T_E$  a perfect binary tree  $T_V$ . The process is also trivial: because  $T_V$  is a perfect binary tree of height  $h + 1$ , as long as we know that depth of a node  $v$  and the last assigned index  $i$ , we can assign the indexes to  $v$  and its two atom nodes  $a_1$  and  $a_2$  by the following rules:

- if  $v$  is a leaf node in  $T_L$  (not in  $T_B$ ) with  $dep(v) < h + 1$ , then
  - $index(v) = i + 2^{(h+1)-dep(v)}$ , or in another word, the number of nodes in the left virtual subtree plus  $i$ ;
  - the left atom node  $a_1$  of  $v$  has  $index(a_1) = i + 1$ ;
  - the right atom node  $a_2$  of  $v$  has  $index(a_2) = i + 2^{(h+1)-dep(v)+1} - 1$ , or in another word, the number of nodes in the left and right virtual subtree plus  $i$  minus 1.
- if  $v$  is not a leaf node in  $T_E$ , then
  - recur on the left child
  - $index(v) = i + 1$ .
  - recur on the right child

By using the above rules, we can use an in-order search algorithm to correctly assign the desired index to all the nodes in  $T_E$  as if they are in a complete binary tree  $T_V$  without physically adding dummy nodes and links. Thus we use the term “virutal”. The algorithm is shown in Algorithm 6.

The in-order search step takes only  $O(n)$  in time since each node is visited only once in  $T_E$ .

### 3.1.3 Finding the Nearest Common Ancestor

Now we are in position to discuss how the nca of two nodes in  $T_E$  can be found efficiently. We first have the following theorem.

**Theorem 1.** *For a pair of distinct leaf nodes  $u$  and  $v$  in  $T_E$ , with  $index(u) < index(v)$ , the forward port set of  $u$ ,  $F_u$ , and the backward port set of  $v$ ,  $B_v$ , has exactly one node  $w$  in common, which is also their nca. Additionally,  $index(u) < index(w) < index(v)$ .*

*Proof.* Suppose the nca of  $u$  and  $v$  is the node  $w$ , and the tree rooted at  $w$  is  $T_w$ . Since all ancestors of any leaf node  $x$  is either in  $F_x$  or  $B_x$  but not both, and  $u$  and  $v$  are distinct,  $w$  has to be in one of  $F_u \cap B_v$ ,  $F_u \cap F_v$ ,  $B_u \cap F_v$ , or  $B_u \cap B_v$ . If  $w$  is in  $B_u \cap B_v$ , then  $u$  and  $v$  are both in the right subtree of  $T_w$ . Say the right child of  $w$  is  $w'$  ( $w'$  exists because  $u$  and  $v$  are distinct and they are leaf nodes), then  $u$  and  $v$  has to be in the subtree rooted at  $w'$ , therefore  $w'$  is a common ancestor of  $u$  and  $v$  with a larger depth than  $w$ , contradiction. A similar argument can apply to prove that the nca is not in  $F_u \cap F_v$ . If  $w$  is in  $B_u \cap F_v$ ,

then  $u$  is in the right subtree of  $w$  and  $v$  is in the left subtree of  $w$ , hence according to the in-order search,  $\text{index}(u) > \text{index}(v)$ , contradiction. Thus the nca of  $u$  and  $v$  is in the intersection of  $F_u$  and  $B_v$ .

We now want to prove that  $F_u \cap B_v$  has only one element. Suppose that there are at least two elements in  $F_u \cap B_v$ , clearly the nca  $w$  is the one with the largest depth. Say another node, different from  $w$ , in  $F_u \cap B_v$  is  $p$ , then either  $\text{index}(p) > \text{index}(v)$  or  $\text{index}(p) < \text{index}(u)$ . Since  $w$  and  $p$  are both in  $F_u$  and  $B_v$ ,  $w$  is in the left subtree and the right subtree of the subtree induced by  $p$ , contradiction. This also proves that  $\text{index}(u) < \text{index}(w) < \text{index}(v)$ .  $\square$

To answer nca query (for later use in the shortest distance query) between a pair of nodes  $u$  and  $v$  in  $T_E$  with  $\text{index}(u) < \text{index}(v)$ , if we first “virtually remove” (ignore) all nodes in  $F_u$  and  $B_v$  that are not in the range  $[\text{index}(u), \text{index}(v)]$ , then sort the remaining elements in  $F_u$  and  $B_v$  in ascending depth in  $T_E$ , call the resulting sets  $F'_u = \{u_{fmin}, \dots\}$  and  $B'_v = \{v_{bmin}, \dots\}$ , We then have the following theorem:

**Theorem 2.** *The nca of a pair of nodes  $u$  and  $v$  with  $\text{index}(u) < \text{index}(v)$  is  $u_{fmin} = v_{bmin}$ .*

*Proof.* Suppose the nca of  $u$  and  $v$  is  $w$ , and  $\text{dep}(w) > \text{dep}(u_{fmin})$ , then  $w$ , as well as  $v$ , is in the left subtree of the tree rooted at  $u_{fmin}$ , thus  $\text{index}(v) < \text{index}(u_{fmin})$ , contradicts to Theorem 1. Similarly, if  $\text{dep}(w) > \text{dep}(v_{bmin})$ , then  $\text{index}(u) > \text{index}(v_{bmin})$ . Again, it contradicts to Theorem 1.  $\square$

The above theorem provides a way to quickly identify the nca of  $u$  and  $v$  using only  $F_u$  or  $B_v$ : the first element  $w$  in sorted  $F_u$  or sorted  $B_v$  such that  $\text{index}(u) < \text{index}(w) < \text{index}(v)$  is the nca of  $u$  and  $v$ . Thus when querying the nca of  $u$  and  $v$ , we need only one of  $F_u$  or  $B_v$ . Suppose we use  $F_u$  only, then all we need to do is to iterate through  $F_u$ , as soon as we find a node  $w$  in  $F_u$  with  $\text{index}(u) < \text{index}(w) < \text{index}(v)$ , this  $w$  will be the nca of  $u$  and  $v$ . The process takes  $O(\log n)$  time in theory. In practice, however, it is good enough, because even if we have a planar graph with one billion edges, the nca search takes only 30 comparisons. The space consumption for each node is  $O(\log n)$ , because we need to store  $F_u$  and  $B_v$  for each node  $v$  in  $T_E$ .

To further improve the time on computing the nca of two nodes in  $T_E$ , we can use the algorithm proposed by Harel and Tarjan [23]. In their algorithm, they define an operation  $\oplus$  on the index of the nodes: let  $\text{bin}(i)$  be the binary representation of the integer  $i$ , then  $i \oplus j$  is the integer  $k$ , where  $\text{bin}(k) = \text{bin}(i) \text{ XOR } \text{bin}(j)$ . Harel and Tarjan used this operation to compute the nca of two nodes in a perfect binary tree. The algorithm is shown in Algorithm 7. Here we omit the proof of the correctness of the algorithm. Readers may refer to [23] for the proofs.

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**Algorithm 7** NearestCommonAncestor
 

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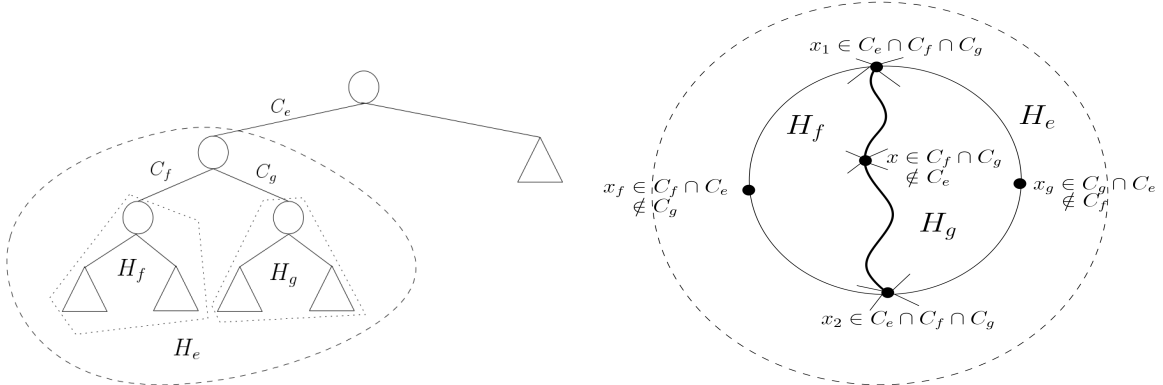
**Input:**  $T$ , a perfect binary tree,  $v$  &  $u$ , the two nodes in  $T$

**Output:**  $w$ , the nca of  $v$  and  $u$

- 1: **if**  $v$  is the ancestor of  $u$  **then**
  - 2:     **return**  $v$
  - 3: **end if**
  - 4: **if**  $u$  is the ancestor of  $v$  **then**
  - 5:     **return**  $u$
  - 6: **end if**
  - 7:  $h \leftarrow \lfloor \log(\text{index}(v) \oplus \text{index}(u)) \rfloor$
  - 8: **return** The node  $w$  whose index is  $2^{h+1} \lfloor \text{index}(v) / 2^{h+1} \rfloor + 2^h$
- 

### 3.1.4 Construction of the Cut Sets

This can be done in  $T_B$ . To find a cut set  $C_e$  of a link  $e$  in  $T_B$ , say the two children links of  $e$  are  $f$  and  $g$ , as shown in Figure 3.2(a). We can do:  $C_e = C_f \setminus C_g \cup C_g \setminus C_f$ , where  $C_f$  and  $C_g$  are the cut sets associated with  $f$  and  $g$ , respectively. However, by doing this, we may ignore at most 2 vertices on the boundary of the region  $H_e$  induced by  $C_e$  ( $x_1$  &  $x_2 \in C_e \cap C_f \cap C_g$ , as shown in Figure 3.2(b)).



(a) An example of  $T_B$ , where circles represents nodes and triangles represents subtrees.  $C_e$ ,  $C_f$ , and  $C_h$  are the cut sets associated with the three links in  $T_B$ . The dotted regions  $H_f$  and  $H_g$  are the subgraphs induced by the cut sets  $C_f$  and  $C_g$ . The dashed region  $H_e$  is the subgraph induced by the cut set  $C_e$ .

(b) An example of regions in  $G$ . The dashed circle is the input graph  $G$ , the solid line circle represents the region  $H_e$  induced by the cut set  $C_e$ .  $H_e$  is further decomposed into  $H_f$  and  $H_g$ .  $x_1$  and  $x_2$  are the example of the two ignored vertices in  $C_e = C_f \setminus C_g \cup C_g \setminus C_f$ .

Figure 3.2: An illustration of the correspondence between links (cut sets) in  $T_B$  and regions/subgraphs in  $G$ .

To address this, we can either check if there is a vertex in both  $H_f$  and  $H_g$  which is incident to some other vertex outside  $H_e$ ; or, we can check the node degree of the vertices, if  $\deg_{H_f}(u) + \deg_{H_g}(u) < \deg_G(u)$ , then such an  $u$  is an ignored vertex. Both method takes  $O(n^2)$  time to find in worst case. More specifically, if we initially set all graph edges to be external, and while we are constructing the cut sets from bottom up, say we are merging

$H_f$  (induced by  $C_f$ ) and  $H_g$  (induced by  $C_g$ ) to form  $H_e$ , we mark the edges incident to a vertex in either  $C_f$  or  $C_g$  (a vertex on the boundary) as internal **with respect** to  $H_e$ . We can then check for each node in  $C_g \cap C_f$  if some nodes has both internal edges (with respect to  $H_g$  and  $H_f$ ) and external edges (may be internal with respect to some other region), then these nodes are the ignored ones. For each edge, we only need to store the largest region it resides in to keep track of in which regions the edge is internal.

If we have embedded information, we can then use the embedded information to find such ignored vertices. When checking the two possibly ignored nodes, since we have the embedded information, we have an ordering of the nodes in  $C_e \cap C_f \cap C_g$ . The ignored vertices can only be the first and/or the last nodes in this ordering, thus we only need to check the first and the last node. This approach reduces the time complexity to  $O(n)$  in theory.

There are  $O(n)$  nodes in  $T_E$ , thus the process has a time complexity of  $O(n^2)$  and uses  $O(bw(G)n)$  space.

### 3.1.5 Shortest Distances from Vertices to Cut Sets

To finish up the pre-processing, we need to calculate the shortest distances from  $s$  to every vertex in the subgraph containing  $s$  (in the atom node that contains  $s$ ), and the shortest distance from  $s$  to the vertices in the cut set of every ancestor of  $w$  in  $T_E$  (there are at most  $\log n$  of such ancestors). This can be done by running the one-to-all Dijkstra's algorithm for each vertex  $s \in V(G)$  and storing only the shortest distances from  $s$  to the vertices described above. This takes  $n$  executions of Dijkstra's algorithm and thus taking a total of  $O(n^2 \log n)$  time and  $O(bw(G)n \log n)$  space.

### 3.1.6 Answering Shortest Distance Queries

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#### Algorithm 8 Query

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**Input:**  $T_V$ , the computed virtual tree,  $s$ , the source,  $t$ , the destination

**Output:**  $d_G(s, t)$ , the shortest distance from  $s$  to  $t$  in  $G$

- 1: Find the two atom nodes  $w_1$  and  $w_2$  that contain  $s$  and  $t$
  - 2:  $w \leftarrow \text{NearestCommonAncestor}(T_V, w_1, w_2)$
  - 3:  $C_w \leftarrow$  the cut set associated with  $w$
  - 4:  $d \leftarrow$  positive infinity
  - 5: **for** each vertex  $v \in C_w$  **do**
  - 6:     **if**  $d_G(s, v) + d_G(v, t) < d$  **then**
  - 7:          $d \leftarrow d_G(s, v) + d_G(v, t)$
  - 8:     **end if**
  - 9: **end for**
  - 10: **return**  $d$
-

To answer a shortest distance query from  $s$  to  $t$  in  $G$ . Assume the pre-processing is done, we first locate the atom nodes  $w_1$  and  $w_2$  in  $T_E$  that contains  $s$  and  $t$ , respectively. If  $w_1 = w_2$ , then we just look up the shortest distance. If not, we first find the nca of  $w_1$  and  $w_2$ . Assume the nca is  $w$ , and the cut set associated with  $w$  is  $C_w$ . We then find the vertex  $u \in C_w$  such that  $d_G(s, u) + d_G(u, t)$  is the smallest. The result is the shortest distance from  $s$  to  $t$  in  $G$ . In the worst case, it takes  $O(bw(G))$  to find the desired shortest distance. The algorithm is shown in Algorithm 8

## 3.2 Distributed Version of the Oracle

By the centralized construction of the oracle, it can be stored independent from the input graph  $G$ . It can, however, also be stored in a distributed way. As discussed in Section 3.1.6, the information we need to calculate the shortest distance from  $s$  to  $t$  in  $G$  is the distances mentioned in Section 3.1.5 and the nca of the two atom nodes in  $T_E$  containing  $s$  and  $t$ . The nca can be found using the forward port set and the backward port set as discussed in Section 3.1.3. Thus, for each vertex  $v$ , we can store the indexes of the ancestors of the atom node containing  $v$  in  $T_E$ , and the required shortest distances. Therefore, we have a distributed version of the oracle as well. The labeling scheme takes  $O(bw(G) \log n)$  space.

## 3.3 The Complexities and the Trade-Off of the Oracle

The oracle takes  $\min\{O(n \log^3 n \log k), O(nk^2 \log k)\}$  time to find a branch decomposition tree  $T_B$  of width  $O(k)$  theoretically for a planar graph  $G$  with  $k = bw(G)$  [21],  $O(n^2)$  time to transform  $T_B$  into  $T_E$ ,  $O(n)$  time to assign in-order indexes,  $O(n^2)$  time to construct cut sets, and  $O(n^2 \log n)$  time to compute selected shortest path distances. Thus it takes  $O(n^2 \log n)$  time to construct. It answers the shortest distance query in  $O(bw(G))$  time and uses  $O(bw(G)n \log n)$  space. The label size for each node in  $T_E$ , if the oracle is stored distributively, is  $O(bw(G) \log n)$ . The product of query time and oracle size of our oracle is  $O(k^2 n \log n)$ , where  $k = bw(G)$ . For planar graphs  $G$  with  $bw(G) = o(n^{\frac{1}{3}})$ , our oracle has a better product of query time and oracle size than the best known result of  $O(n^{\frac{5}{3}} \log n)$  in [9].

## 4. Computational Study

The program was implemented in Java 1.7. The implementations of the above algorithms are straight forward using the pseudo code provided above. We used Algorithm 7 to find the nca of a pair of vertices. Notice that because of the structure of a branch decomposition tree, a vertex  $v$  in the graph  $G$  may appear in multiple atom nodes in the virtual tree  $T_V$ . When answering a shortest distance query from  $s$  to  $t$ , we always choose the first atom node we can find that contains the vertex  $s$ , and so does for the vertex  $t$ . We tested our implementations on three classes of graph instances against both one-directional Dijkstra’s algorithm (or just Dijkstra’s algorithm) and bi-directional Dijkstra’s algorithm. Both versions of Dijkstra’s algorithms are implemented in Java. For the three classes of graph instances, Class (1) instances include Delaunay triangulations of point sets taken from TSPLIB [31]. The instances were used as test instances in the previous studies [6, 25, 24] on branch decompositions. Class (2) instances are generated by LEDA library based on some geometric properties [6]. Class (3) instances are generated by PIGALE library [1], which generates random planar graphs with a given number of edges based on the algorithm [36]. The three classes of planar graphs are commonly used in the previous computational studies on planar graphs. The three classes were chosen as test subjects because the three libraries are popular and available to us. The branch decompositions were calculated using previously implemented algorithms in [6]. The branch decomposition program was written in C++ and was tested on the three classes of graph instances as well, readers may refer to [6] for computation times of the graph instances. We tested the program on the three classes of graph instances for point-to-point shortest distance queries and reported the following attributes:

- $G$ , the name of the graph;
- $E(G)$ , the number of edges in the graph  $G$ ;
- $bw(G)$ , the branchwidth of the graph  $G$ ;
- Preprocessing Time (PT) in seconds, given that we already have the branch decomposition;
- Oracle Size (S) in MB;

- Oracle Query Time (OQT) per 1000 queries in seconds;
- Dijkstra’s algorithm Query Time (DQT) per 1000 queries in seconds;
- Bi-directional Dijkstra’s algorithm Query Time (BDQT) per 1000 queries in seconds;
- $D/O = DQT/OQT$ , a ratio that tells us how much faster can our oracle answer a query compared to Dijkstra’s algorithm; and
- $B/O = BDQT/OQT$ , a ratio that tells us how much faster can our oracle answer a query compared to Bi-directional Dijkstra’s algorithm.

All the above data are either rounded to two decimal places, or to one significant digit if two decimal places are not enough.  $D/O$  and  $B/O$  are calculated using the un-rounded data, then rounded to two decimal places. We may refer to  $D/O$  and/or  $B/O$  as improvement ratios.

The program was executed on a server with Intel(R) Xeon(R) 2.80GHz x86\_64 CPU, 8GB physical memory and 8GB swap memory. The operating system is CentOS 6.9, and the programming language we used is Java.

## 4.1 Experimental Results

### 4.1.1 Results for instances in the Three Classes

The computational results for Class (1) instances are shown in Table 4.1. The data show that the shortest distance query time using our oracle is much faster than those using the two versions of Dijkstra’s algorithm, by a factor of 270 to 800 for instances of more than 5000 edges compared to Dijkstra’s algorithm, and by a factor of 30 to 163 compared to Bi-directional Dijkstra’s algorithm.

$G$	$E(G)$	$bw(G)$	PT	S	OQT	DQT	BDQT	D/O	B/O
d1655	4890	29	15.67	318.08	0.02	3.00	0.65	150.96	32.77
pr1002	2972	21	5.11	131.96	0.01	2.28	0.33	155.79	22.72
pr2392	7125	29	36.18	439.67	0.02	4.86	0.61	239.62	30.01
rl1323	3950	22	11.82	206.82	0.02	2.49	0.75	123.55	37.43
rl1889	5631	22	24.75	376.60	0.01	3.68	0.42	272.41	31.28
fl3795	11326	25	123.97	976.49	0.01	7.03	1.60	718.365	163.46
fnl4461	13359	48	202.28	1369.4	0.02	7.25	1.07	478.87	70.58
pcb3038	9101	40	56.91	867.4	0.01	4.68	0.65	325.82	44.97
rl5915	17728	41	372.45	2139.74	0.02	10.85	0.49	723.28	32.39
rl5934	17770	41	340.06	2289.7	0.01	10.39	0.70	798.37	53.82

Table 4.1: Computational Results (in seconds) of Shortest Distance Query Using This Oracle v.s. Dijkstra’s Algorithm and Bi-directional Dijkstra’s Algorithm for Class (1) Graphs

The computational results for Class (2) and (3) instances are shown in Tables 4.2 and 4.3. The data in these two tables show a similar result: our oracle answers shortest distance queries much faster than both Dijkstra’s algorithm and Bi-directional Dijkstra’s algorithm. This shows that the performance of our oracle is independent on the type of the input planar graph.

The data for all three classes also show that the query time is dependent on the branch-width of the input graph  $G$ . The data show that smaller branchwidth yield a faster query time, regardless of the number of edges. This outcome is not surprising, since in section 3.1.6 we showed that the query time is  $O(bw(G))$ , which depends only on the value of  $bw(G)$ . This also rule out the possibility that the  $O(\log n)$  time algorithm, Algorithm 7, we used in our program to find the nca is a major reason that slows the query process. Thus indicating that in practice, Algorithm 7 is good enough.

The preprocessing time is also relatively small compared to the time needed to compute the optimal branch decomposition as shown in Tables 1, 2, and 3 in [6]. Thus the whole preprocessing time including the branch decomposition process is dominated by the time used to find the branch decomposition. The oracle size is not very small, but it is also not intolerably large. The data show that the oracle size is proportional to the number of edges in the input graph. The oracle size may be reduced if we use another programming language or improve our algorithm.

$G$	$E(G)$	$bw(G)$	PT	S	OQT	DQT	BDQT	D/O	B/O
rand1160	2081	8	2.32	135.06	0.005	30.86	0.25	156.57	44.62
rand1672	3047	10	6.66	193.06	0.006	1.28	0.24	201.29	38.04
rand2236	4002	10	10.50	462.43	0.007	1.67	0.48	238.71	69.39
rand2780	5024	10	18.08	480.62	0.007	2.00	0.56	291.71	81.05
rand3325	6035	9	26.73	961.84	0.006	2.38	0.67	394.70	111.06
rand3857	7032	11	38.35	970.42	0.007	3.03	0.76	418.43	104.43
rand5446	10093	11	97.12	2000.19	0.007	4.00	0.79	538.76	106.89
rand8098	15031	13	323.84	5155.72	0.01	9.81	3.86	735.15	289.11
rand10701	20044	13	716.45	7310.19	0.01	16.38	1.99	1460.08	117.07
rand15902	30010	14	1466.30	17841.22	0.01	21.59	3.21	1615.78	240.25

Table 4.2: Computational Results (in seconds) of Shortest Distance Query Using This Oracle v.s. Dijkstra’s Algorithm and Bi-directional Dijkstra’s Algorithm for Class (2) Graphs

One potential reason that slows the query process of our oracle is the number of memory accesses in the query stage. The program needs to do multiple memory accesses in order to get the correct nca as well as the cut set associated with it. While in Dijkstra’s algorithms, one to two memory accesses are enough.

The main improvement in our oracle is that when answering a shortest distance query from  $s$  to  $t$ , the oracle needs only to consider  $bw(G)$  number of vertices given the nca of  $s$  and  $t$ , while in the two versions of Dijkstra’s algorithm, a much larger number of redundant



$G$	$E(G)$	$bw(G)$	PT	S	OQT	DQT	BDQT	D/O	B/O
PI1180	2202	7	3.28	110.06	0.007	1.58	0.37	210.15	56.64
PI1182	2016	7	3.87	93.44	0.007	1.76	0.22	250.50	31.43
PI1186	2029	6	3.81	86.78	0.008	1.38	0.33	179.91	43.45
PI1193	2019	6	3.95	87.83	0.006	1.54	0.28	252.49	45.49
PI1207	2029	9	3.74	88.18	0.006	1.38	0.29	225.53	46.31
PI2995	5043	7	37.94	592.45	0.006	2.85	0.59	476	98.42
p3586	6080	8	40.05	840.81	0.007	3.41	1.68	465.16	229.67

Table 4.3: Computational Results (in seconds) of Shortest Distance Query Using This Oracle v.s. Dijkstra’s Algorithm and Bi-directional Dijkstra’s Algorithm for Class (3) Graphs

vertices needed to be considered. Intuitively, for a shortest path  $P$  that contains a large number of edges, the two Dijkstra’s algorithm tend to do a huge number of iterations in order to find  $P$ , due to its BFS-like search pattern. This redundancy is even worst when the graph is unweighted: the search is BFS. This improvement is also reflected in the experimental results: the larger the number of edges in a graph, the better the improvement ratios tend to be. Thus one may suspect that for a shortest path distance of a path with a large number of edges, our oracle can have an even better improvement ratios than those shown in Tables 4.1, 4.2, and 4.3. The above observation is experimented and discussed in section 4.1.2.

#### 4.1.2 Experiments and Results for Shortest Distance Queries with Long Paths

As mentioned above, long paths (shortest paths with a large number of edges) may yield a larger improvement ratio and short path (shortest paths with a small number of edges) may yield a less appealing ratio. Consider two vertices  $s$  and  $t$ , which are relatively close, in the sense that they have a small number of vertices between them in a shortest path. Bi-directional Dijkstra algorithm will find the path using only a small number of iterations. Whereas, if the branchwidth is large, the oracle needs to consider a large number of vertices in the boundary in order to find the shortest distance. For shortest paths with large numbers of vertices, Bi-Dijkstra’s algorithm tends to consider more redundant vertices than the oracle does, thus making oracle more efficient than Bi-directional Dijkstra’s algorithm.

We tested the query time on paths with a large number of edges and paths with a small number of edges for several graphs, they all yield similar results: long paths queries has a better improvement ratios than short paths. In the experiment, a random source  $s$  in  $G$  is selected, then the shortest path distance and the number of edges in the shortest path from  $s$  to every vertex in  $G$  is computed using Dijkstra’s algorithm. As a result, a list of vertices is returned. The list is sorted in ascending order such that the shortest path from  $s$  to the first vertex in  $G$  in the list has the smallest number of edges, and the shortest path from  $s$  to the last vertex in  $G$  in the list has the largest number of edges. The long paths

Graph $G$	$E(G)$	$bw(G)$	Number of Edges in the Shortest Path	B/O
rand3857	6035	11	830 - 855	165.83
			10 - 25	87.22
p3586	6080	8	200 - 224	237.55
			5 - 15	165.71
fnl4661	13349	48	780 - 807	78.28
			10 - 25	60.88

Table 4.4: Computational Results of Long/Short Path Shortest Distance Queries Using This Oracle v.s. Bi-Directional Dijkstra’s Algorithm

are selected around the tail of the list and the short paths are selected starting from the  $(\frac{n}{100})$ -th position of the list. Here we listed three graphs from the three classes. The results are shown in Table 4.4. We did not test the oracle on paths with only one to two edges because the associated cut set sizes will be small, and thus both algorithm should yield a good query time.

The table shows that for long paths, our oracle performs better than the average; and for short paths, our oracle performs worse. The data also show that for long paths, the B/O ratio is affected by both branchwidth of the input graph and the number of edges in the shortest path. With similar branchwidth, the B/O ratio of the graph rand3857 is increased by approximately 58.80%, while that for the graph p3586 is only increased by 3.43%. With similar number of edges in a long path for rand3857 and fnl4661, the B/O ratio is increased only by 10.91% for fnl4661. The reason for such dependence is easy to understand: if there are many edges in a path, then Dijkstra’s algorithm tends to use more iterations in order to find the desired shortest path distance than our oracle. And the larger the branchwidth is, the more vertices in the cut set our oracle needs to consider when computing the shortest path distance.

For short paths, the reason that the B/O is below what is listed in Tables 4.1, 4.2, and 4.3 is that bi-directional Dijkstra’s algorithm needs to explore much fewer vertices than for the long paths. Also, the proportion of the time spend on the memory accesses becomes larger. It is anticipated that if the program is written in programming languages that has less overhead than Java, the performance would be much better. The results, however, still show that our oracle has a better query time than the two versions of Dijkstra’s algorithms even for short paths.

## 5. Conclusion

We proposed and implemented a branch-decomposition based exact oracle for point-to-point distance problem in planar graphs. Computational studies show that our oracle performs well on planar graphs with small branchwidth. The oracle is particularly much more efficient than Dijkstra’s algorithm when answering a shortest distance query of a shortest path with a large number of edges, but less improvement over Dijkstra’s algorithm is achieved if the path contains a small number of edges. This is due to the fact that when we transform the decomposition tree into a virtual tree, we include every link in the decomposition tree. Thus making the subgraph associated with each atom node very small, namely, one edge. Hence the query rely heavily on the cut sets. This makes it interesting to consider a case where we do not completely transform the decomposition tree into a virtual tree, rather, we stop when there is a certain number of leaf nodes in the subtree. By doing so, the subgraphs associated with each atom node will be a graph with a small number of vertices.

An interesting future work is to implement the above idea and experiment on it to see if this can improve the query time on paths with small number of edges. Another interesting open problem is that is there a way to choose associated atom nodes for  $s$  and  $t$  so that the nca is as close to  $s$  and  $t$  as possible?

Moreover, planarity properties used in our oracle are for finding a good branch decomposition. Although planarity give us a good embedded information that we can use to deal with the ignored boundary vertices as mentioned in Section 3.1.4, it is not mandatory. Thus, the input graph does not have to be planar as long as a good branch decomposition can be obtained. Theoretically, a graph  $G$  of a constant genus (the minimum number of handles that must be added to the plane to embed the graph without any crossings) or non-orientable genus, a branch decomposition of  $G$  with branchwidth  $O(bw(G))$  can be computed in polynomial time [26]. For an arbitrary graph  $G$ , it is NP-hard to compute an optimal branch decomposition of  $G$  [35], but a branch decomposition of  $G$  with branchwidth  $O(bw(G))$  can be computed in  $O(2^{O(bw(G))}n^2)$  time [38]. For graphs that are close to planar, however, there may be some techniques we can use to find a good branch decomposition.

In [4], Bashir finds a good carving decomposition for non-planar graphs  $G$  by first removing edges so that the graph becomes planar, say the resulting graph is  $G'$ , then computing an optimal carving decomposition on  $G'$ , and finally adding the edges back.

The above idea is based on the fact that a carving decomposition of  $G'$  is also a carving decomposition of  $G$ , given that  $V(G) = V(G')$ . Although this fact is not true for branch decomposition, it provide us a potential approach to deal with non-planar graphs: first remove some edges in the input graph  $G$  so that the resulting graph  $G'$  becomes planar, then compute an optimal branch decomposition  $T_B$  of  $G'$ . To add back the removed edges, for any removed edge  $e = (x, y)$ , first find a link  $l = (u, e')$  in  $T_B$  where  $e'$  and  $e$  share a common end point, namely  $x$ . Then remove the link  $l$ , add a new link  $l' = (u, e'')$  in  $T_B$ , where  $e''$  is a new node. Finally we attach  $e$  and  $e'$  to  $e''$ . The resulting branch decomposition may not be optimal, but it is very close to optimal if the input graph is close to planar. Another way to deal with non-planarity is that we can contract a small non-planar subgraph of the input graph  $G$  into a “super vertex”, making the resulting graph  $G'$  planar, then run our construction. With this approach, however, we need extra space for storing shortest distances between vertices within “super vertices”, and shortest distances from each vertex in a “super vertex” to vertices in the cut sets of the parent nodes. The above two approaches for adapting our oracle to non-planar graphs exploit many interesting open problems and hence are good future works.

The program we used in the experiments was implemented in Java, thus everything has to be implemented in an object-oriented point of view. This creates a large amount of overheads and increases the memory usage of the oracle. Therefore it is also interesting to test if the oracle can perform better if it is implemented in another language like C/C++.

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