Paraphrases for Statistical Machine Translation

by

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Abstract

Statistical Machine Translation (SMT) is the task of automatic translation between two natural languages (source language and target language) by using bilingual corpora. To accomplish this goal, machine learning models try to capture human translation patterns inside a bilingual corpus. An open challenge for SMT is finding translations for phrases which are missing in the training data (out-of-vocabulary phrases). We propose to use paraphrases to provide translations for out-of-vocabulary (OOV) phrases. We compare two major approaches to automatically extract paraphrases from corpora: distributional profile (DP) and bilingual pivoting. The multilingual Paraphrase Database (PPDB) is a freely available automatically created (using bilingual pivoting) resource of paraphrases in multiple languages. We show that a graph propagation approach that uses PPDB paraphrases can be used to improve overall translation quality. We provide an extensive comparison with previous work and show that our PPDB-based method improves the BLEU score by up to 1.79 percent points. We show that our approach improves on the state of the art in three different settings: when faced with limited amount of parallel training data; a domain shift between training and test data; and handling a morphologically complex source language.

Keywords: Natural Language Processing; Statistical Machine Translation; Paraphrase Database; resource poor languages; morphologically complex languages; Graph-based semi-supervised method; multilingual resources; PPDB; out-of-vocabulary; OOV

Dedication

To my loving parents whose words of encouragement and push for tenacity ring in my ears.

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Chapter 1

Introduction

1.1 Motivation

Statistical Machine Translation (SMT) is the task of translating between two languages by exploiting bilingual parallel corpora using data-driven machine learning techniques. A parallel corpus is a sequence of sentences in the source language with human provided translations in the target language. Such parallel corpora are often available from sources such as parliament proceedings or similar venues where multi-lingual data is created in large scale by trained human translators. These techniques automatically capture co-occurrence relations between words in the source language and corresponding words in the target language.These word alignments are used to extract phrase translation rules along with several probabilistic feature functions. These stochastic translation rules are combined using a discriminative log-linear model. The weights for this log-linear model are learned by minimizing a loss function that rewards matches against human translations.

After recent achievements in SMT, many potential applications, e.g. real time multilingual translation in e-commerce websites, have been emerging very fast. Despite satisfactory results in some of these applications, many of them are facing problems caused by a rudimentary assumption in most SMT methods: availability of sufficient parallel corpora; this assumption, high dependence of methods on existence of parallel corpora, is not true in many cases and limits the progress. First case comes up when a limited amount of parallel data exists for resource poor languages. With over 6,500 world natural languages, such large bilingual corpora are only available for the official languages of the European Union (EU), Arabic, Chinese, and Russian. This problem become worse considering language pairs instead of individual languages [50]. Lack of resources in these languages, in the first step will increase Out-of-vocabulary (OOV) words, unseen words in bilingual corpus, which dramatically reduce translation quality and fluency. The quality is not desirable due to lack of translation for these new words, and also this has an adverse effect on reordering models inside decoder resulting in reduced fluency.

Even for resource rich languages, lack of resources in certain domains (e.g. biomedical) leads to poor quality translations (second case). Domain shift increases the number of OOVs and also might cause nonsensical translations for phrases. For example, in social networks like Twitter, several spelling variants of existing words and informal words are missing in the training set, which requires domain adaptations methods. Even with a training data size of 10 million word tokens, source vocabulary coverage in unseen data does not go above 90% [10]. The problem is worse with multi-word OOV phrases.

Morphologically complex languages (e.g. Finnish, Arabic, ...) present the third case of situations, in which MT systems will not come up with words forms that have not observed. Many of morphological variants of a phrase are missing even in high amount of parallel corpora, which reduce the chance of finding a correct translation. For example, Arabic verbs, as in other Semitic languages, are extremely complex; many grammatical functions like tense, person, number, mood, voice are applied on a root made up of three consonants to build a correct verb for a sentence. This fact exacerbates the sparsity problem for these languages; in other words, the more complex structure a language has, the more data a machine translation system requires to capture the right translation patterns.

Copying OOVs to the output is the most common solution. However, even noisy translations of OOVs can improve reordering and language model scores [73]. Obtaining more parallel data is time consuming, expensive, and requires domain experts. Although alternatives to alleviate this problem like crowdsourcing in [58] have been suggested, achieving more parallel data is not always feasible for machine translation. Transliteration is not a panacea for the OOV problem [28]. OOVs can be divided into two categories: 1) named entities 2) non-named entities. Identifying named entities like person names, organizations, dates, etc. inside a text, is another task in NLP. For the first category, applying deterministic approaches like transliteration on top of identified named-entities in the source side, provides good translations. Our target in this thesis is non-named entities, therefore we find and remove the named entities, dates, etc. in the source and focus on the use of paraphrases to help translate the remaining OOVs. In Chapter 6 we show that handling such OOVs correctly does improve translation scores.

All of these cases illuminate the need of incorporating other types of resources including monolingual corpus on the source side, paraphrase databases, dictionaries, morphological analyzers and bridge language resources. Therefore, new robust methods that do not just rely on parallel corpora are required to tackle these problems. For instance, [36] was one of the earliest works to find lexical translations by using monolingual resources of the source and target language.

In this thesis, we build on the following research: *Bilingual lexicon induction* is the task of learning translations of words from monolingual data from the source and target [64, 37, 24]. The *distributional profile* (DP) approach uses context vectors to link words as potential paraphrases to translation candidates [59, 37, 24, 22]. DPs have been used in SMT to assign translation candidates to OOVs [45, 16, 29, 27]. Graph-based semi-supervised methods extend this approach and propagate translation candidates across a graph with phrasal nodes connected via weighted paraphrase relationships [60, 62]. Saluja et al. extend paraphrases for SMT from the words to phrases, which we also do in this work [62]. *Bilingual pivoting* uses parallel data instead of context vectors for

paraphrase extraction [43, 64, 3, 10, 76, 9]. Ganitkevitch and his colleagues [20] published a largescale multilingual Paraphrase Database (PPDB)¹ which includes lexical, phrasal, and syntactic paraphrases (with 170 million paraphrases for 22 languages).

PPDB is a natural resource for paraphrases. However, PPDB was not built with the specific application to SMT in mind. Other applications such as text-to-text generation have used PPDB [21] but SMT brings along a specific set of concerns when using paraphrases: translation candidates should be transferred suitably across paraphrases. There are many cases, e.g. when faced with different word senses where transfer of a translation is not appropriate. Our proposed methods of using PPDB use graph propagation to transfer translation candidates in a way that is sensitive to the mentioned SMT concerns.

Using PPDB has several advantages: 1) Resources such as PPDB can be built and used for many different tasks including but not limited to SMT. 2) PPDB contains many features that are useful to rank the strength of a paraphrase connection and with more information than distributional profiles. 3) Paraphrases in PPDB are often better than paraphrases extracted from monolingual or comparable corpora because a large-scale multilingual paraphrase database such as PPDB can pivot through a large amount of data in many different languages. It is not limited to using the source language data for finding paraphrases which distinguishes it from previous uses of paraphrases for SMT.

Our framework has three stages: 1) a novel graph construction approach for PPDB paraphrases linked with phrases from parallel training data. 2) Graph propagation that uses PPDB paraphrases. 3) An SMT model that incorporates new translation candidates. Chapter 4 explains these three stages in detail.

1.2 Comparison to Related Work

There have been some attempts in using paraphrases to improve the performance of machine translation in different levels. Paraphrase pairs can be defined as lexical paraphrases, phrasal paraphrases and sentential paraphrases.

Sentence level paraphrasing has been used to generate alternative reference translations to improve discriminative training for SMT [42, 31], or augmenting the training data with sentential paraphrases [5, 49, 47]. Using sentence level paraphrases to augment the underlying SMT model is not scalable as there are exponentially many paraphrases if you consider each phrase in a sentence.

Another line of work, is to use lexical/phrasal paraphrases, hence human-generated version of these resources are not available. Resnik et al (2010) use crowd-sourcing to obtain paraphrases for source phrases corresponding to mistranslated target phrase [61]. Although using crowd-sourcing, this approach is expensive and also is not applicable to all languages and domains. Thus, it is essential to have accurate automatic ways to find paraphrase pairs. Many possible solution for automatic paraphrase extraction has been proposed, one of the recent ones, is to use word embeddings

¹http://paraphrase.org

extracted using neural networks (i.e. the continuous representations often tend to cluster similar words). Although these bilingual and multilingual word and phrase representation using neural networks have been applied to machine translation successfully [77, 46, 72], these embeddings are not accurate for rare word or phrases [75]. Since having accurate (high precision) paraphrases especially for OOVs is a must for our problem, we decided not to use these methods. The remaining two major ways of extracting paraphrases are: distributional profiling and bilingual pivoting which is discussed in Chapter 3 in details. The first one uses monolingual corpora to extract paraphrases while the second one uses bilingual resources.

Back to our problem, we want to use lexical/phrasal paraphrase pairs to improve translation quality and alleviate the problem of OOV phrases. To improve the OOV phrase coverage different parts of SMT can be altered. Two of the promising ones are changing the decoding stage and augmenting phrase table. There are some works on using phrasal paraphrasing in lattice decoding as well [55, 17]. They build paraphrase lattices for the source sentences which is given to the lattice decoder to select the best one. Alexandrescu and Kirchhoff (2009) [1] use a graph-based semi-supervised model determine similarities between sentences, then use it to re-rank the n-best translation hypothesis. Liu et al. (2012) [41] extend this model to derive some features to be used during decoding. These approaches are dependent on the decoding technique and are orthogonal to our approach. Our approach, which is in the second category, augment the phrase table and any type of decoder can benefits from that.

Similar to our approach, in augmenting the phrase table, Irvine and her colleagues [27] generate a large, noisy phrase table by composing unigram translations which are obtained by a supervised method from a limited parallel data and a bilingual lexicon induced from monolingual data [26]. Comparable monolingual data is used to re-score and filter the phrase table. Zhang et al. [74] use a large manually generated lexicon for domain adaptation.

As mentioned before, the most similar works to ours are done by Razmara et al. and Saluja et al. [60, 62]. [60] use graph-based semi-supervised methods extend this approach and propagate translation candidates across a graph with phrasal nodes connected via weighted paraphrase relationships. Saluja et al. (2014) [62] extend the previous work by using Structured Label Propagation [41] in two parallel graphs constructed on source and target paraphrases. Our work is different from their work in many aspects. First, we used a different (less noisy) approach to extract paraphrases (bilingual pivoting) than distributional profiling. Second, their work is not scalable to the n-grams longer than trigrams and extremely expensive, but our PPDB-based approach covers any length phrases. Finally, because of different accurate filters used for extracting PPDB paraphrases, in experiments we showed that it has a better performance when compared to the work by Razmara et al. [60]. We can not directly compare our results to [62] because they exploit several external resources such as a morphological analyzer and also had different sizes of training and test. In our experiments (Chapter 6) we obtained comparable BLEU score improvement on Arabic-English by using bilingual pivoting only on source phrases. [62] also use methods similar to [23] that expand the phrase table with spelling and morphological variants of OOVs in test data.

1.3 Contributions

The primary contributions of this thesis can be summarized as follows:

- For the first time, we did a comprehensive study of the use of PPDB for statistical machine translation model training. We showed that this resource is useful in all mentioned cases that SMT suffers from a lack of translation for OOVs.
- We compare two major approaches of paraphrase extraction methods (PPDB versus DP) in terms of their effectiveness in solving OOVs problem.
- We introduce a novel method for constructing a graph out of PPDB, and analyze the advantageous and drawbacks of different graph construction methods. We also evaluate the impact of constraints like graph pruning (K-nearest neighbours or e-radius) on improving our framework.

1.4 An Overview of This Thesis

The chapters in this thesis are organized as follows:

- Chapter 2 provides relevant background from the machine learning and machine translation literature. This chapter will covers definition and explanation of Statistical Machine Translation approaches from different perspectives. A brief survey of semi-supervised machine learning techniques is the last section of this chapter.
- **Chapter 3** introduce and compare major ways to automatically extract paraphrase pairs for a language (DP versus PPDB). Distributional profiling (DP) uses monolingual corpora to find paraphrases according to context, while (PPDB) uses bilingual pivoting over a parallel corpus, to find paraphrases.
- Chapter 4 explores possible approaches to transfer translations from seen phrases to unseen phrases. We compare possible options and explain our framework step by step and its desirable features.
- **Chapter 5** analyze our framework in terms of sensitivity to error and required consideration for SMT. we discuss potential ways to improve the performance of our approach.
- **Chapter 6** compares our approach with the state-of-the-art in three different settings in SMT: 1) when faced with limited amount of parallel training data; 2) a domain shift between training and test data; and 3) handling a morphologically complex source language. In each case, we show that our PPDB-based approach outperforms the distributional profile approach.

Chapter 7 summarizes the specific results achieved in the previous chapters on improving statistical machine translations by using paraphrases. I then discuss possible avenues for future research.

Chapter 2

Background

This chapter covers the background material for the topics that are relevant for this thesis. We start by defining and explaining Statistical Machine Translation approaches (Generative versus Discriminative). We illustrate levels of generative models (word-base, phrase-based and hierarchical), followed by a brief description of word-alignment, language model and automatic machine translation evaluation measures. Then, we introduce discriminative machine translation methods. Finally, we review semi-supervised machine learning approaches and close this chapter with a discussion on a graph-based semi-supervised methods and their importance.

2.1 Generative Machine Translation

Machine Translation (MT) is the attempt to automate the process of translating from one natural language (F) to another (E). *Statistical machine translation* (SMT) is an approach to MT characterized by the use of machine learning techniques. SMT models break languages into small units, sentences, and try to translate sentence independently, based on the assumption that each sentence conveys sufficient information. Therefore, the input of the most of machine translation models is a large collection of sentences in the source language and it's corresponding human translation in the target language (parallel corpus or bitext).

 $Bitext(F, E) = \{(f_1, e_1), (f_2, e_2), (f_3, e_3), \dots \mid f_i/e_i \text{ is in source (F) / target language (E) } \}$

Given a source sentence f (e.g. French sentence), our MT model tries to find the target sentence e (e.g. English sentence), that is most likely translation; in other words, given f, it search for the e that maximize the probability P(e|f). Because of the close relationship of machine translation to decipherment this step is called decoding.

best translation =
$$argmax_{e \in E}P(e|f)$$
 (2.1)

Searching over all sentence in language E is very time consuming and not feasible even if you limit the length of English translations to arbitrary length. To tackle this problem, by exploiting Bayes Rule and *The Noisy Channel* concept, the above formula can be rewritten as :

$$argmax_{e \in E} P(e|f) = argmax_{e \in E} P(e) \cdot \frac{P(f|e)}{P(f)}$$
(2.2)

Note that p(f) is a fix number inside the *argmax* and can be ignored.

$$argmax_{e \in E}P(e|f) = argmax_{e \in E}P(e) \cdot P(f|e)$$
(2.3)

This generative model has many benefits such as separating the score of fluency P(e) and translation score P(f|e). The first term, P(e), is the probability of sentence e in the language E and the second one, P(f|e), is the probability translating sentence e into sentence f. But, where P(e)and P(f|e) are coming from? The two following subsections explains how to compute these probabilities, where the first one is called *language model* and the second one is *translation model*. In decoding stage, steps of generation of the target translation e from the source sentence f is the following: Segment the sentence f into units of translations, find the translations of these units in a bilingual dictionary, and finally reorder the translated phrases.

2.1.1 Language Modelling

The goal of language modelling is to compute probability of occurrence of each sentence e in the language E; which a good measure to see how fluent a sequence of words $e = w_0 w_1 w_2 w_3 \dots$ is in this language [7]. To find such a probability distribution, in the simplest case we can compute the frequency of occurrence of each word and then multiply them to find probability of sentence e.

$$P(e) = p(w_0) \cdot p(w_1) \cdot p(w_2) \dots$$
(2.4)

This approach seems pretty straight forward, but it ignores the context around each word. To fix this issue, computers break sentences into smaller substrings bigger than word. An n-word substring is called an n-gram. Computing counts for each n-gram will help to capture more information about the context of each word. For example, after computing counts for bi-grams we can compute the probability of sentence e by multiplying conditional probabilities of words.

$$P(e) = p(w_0) \cdot p(w_1|w_0) \cdot p(w_2|w_1) \dots$$
(2.5)

Now this question comes into mind that why not moving to bigger n-grams or why not just using occurrence of sentences by themselves in the language. The answer is sparsity. When moving to higher n-grams, more training data are required. Even in computing counts for tri-grams, many of the possible trigrams are missing inside the training data. Smoothing techniques can alleviate this

problem by saving probabilities for cases not observed before, but it can not completely solve this problem.

2.1.2 Translation Modeling

Computing P(f|e) is more tricky than computing P(e), since monolingual resources are more available than bilingual resources. P(f|e) is the target in this thesis, and we would like to provide probability distribution for unseen e inside the training data.

Word-based Translation Models

Translation models can be computed in several levels based on their unit of translation. The first level is to do the translation considering words as units of translations [6, 8, 4]. To do so, the model should compute the probability distribution over possible translations for given a word in the source language. Word-based machine translation models exploits unsupervised machine learning techniques (e.g Expectation Maximization(EM), Variational Bayes, ...) to compute mentioned probability distribution. Fundamentally, *alignment* is the task of producing bisegmentation relations inside a bitext that identifies corresponding segments between the texts. Simply put, every alignment algorithm accepts a bilingual corpus and outputs a set of couplings. *Word-level alignment* accepts bilingual sentences and find the relations between a word in the source side and a word in the target side. Figure 2.1 shows these types of couplings by 'X' inside the table. For instance, it this sentence 'schuler' is aligned to the word 'students'.



Figure 2.1: An example of alignments between an English sentences and a German Sentence.

Word Alignment

Aligning words between source and target language by human is a very time consuming and tough. Fortunately, there are some unsupervised machine learning approaches to automatically capture these alignments. Here we explain IBM model 1 [8] method as a simple example which uses Expectation Maximization algorithm.

Expectation maximization (EM) algorithm is the most common iterative learning method when facing incomplete data. It initialize a model (usually with uniform distributions). After initialization, in each iteration it fills the missing part of the data with the expected values (expectation step) and then learn the model from the data (maximization step) (i.e maximization of hallucinated complete data). Iterations will continue until convergence. Assume we have a joint probability distribution P(x, y) over the space of input instances (x) and their labels (y). EM's goal is to find the best $\hat{\theta}$ in a parametric model $P(x, y|\theta)$ by maximizing the incomplete log likelihood of the instances:

$$\mathcal{L}(\theta) = \sum_{i=1}^{l} log P(x_i, y_i | \theta) + \sum_{j=l+1}^{l+u} \sum_{y_t \in Y} log P(x_j, y_t | \theta)$$
(2.6)

In this formulation l is the number of labeled instances and u is the number of unlabeled instances. x_i refers to the observed part of *i*-th instance and y_i refer to latent label of *i*-th instance. For unlabeled instance, all possible latent labels are considered.

EM tries to optimize the incomplete log likelihood of the observed data $\mathcal{L}(\theta)$ in an iteration manner expressed in two phases in Algorithm 1.

Algorithm 1 EM algorithm

Step 1 Initialize the model parameters

Step 2 Expectation (E) using model parameters and observed data, compute the probability distribution for the unobserved data $P(y_{l+1}, \ldots, y_{l+u} | \theta_t)$.

Step 3 Maximization (M) maximizes the log likelihood of the current complete data to find optimum model parameters.

$$\theta_{t+1} = argmax_{\theta} \sum_{i=1}^{l} logP(x_i, y_i|\theta) + \sum_{j=l+1}^{l+u} \sum_{y_t \in Y} \mathbb{E}_{P(y_t|\theta_t)} log[P(x_j, y_t|\theta)]$$
(2.7)

Step 4 If not converge go to step 2.

IBM models are probabilistic models to transform a sentence e in one language to its translation f in another language [8]. IBM model 1 is the simplest one which consider alignments as the hidden part of the data, and find these alignments using EM algorithm. IBM 1 assumes that each target word f_j is the translation of a source word e_i . Alignment A(e, f), represent these connections, which has $(|e| + 1)^{|f|}$ possible choices. The probability P(f|e) is defined as follows:

$$P(f|e) = \sum_{a \in A(e,f)} P(f,a|e) = \sum_{a \in A(e,f)} \frac{\epsilon}{(|e|+1)^{|f|}} \prod_{j=1}^{|f|} t(f_j|e_{a_j})$$
(2.8)

Where a_j means the alignment for the *j*-th position. ϵ is a fixed number and $t(f_j|e_{a_j})$ is translation probability.

By having the optimum parameter $\hat{\theta}$ we can do the alignment inside our bilingual corpus. After finding alignments inside the bilingual corpus, for each word pair (f', e'), P(f'|e') can be computed by :

$$P(f'|e') = \frac{Count(e', f')}{\sum_{f'} Count(e', f')}$$
(2.9)

The final outcome of the model is a big table, called phrase table, containing word pairs (f', e'), where f' is a word in language F and e' is a word in language E with their corresponding translation probability P(f'|e'). Note that, a wide variety of advanced alignments methods exists, which explaining them is out of the scope of this thesis.

Phrase-based Translation Models

As expected word level modelling of translation misses the context and cannot translate properly sentence containing multi-word phrases like idioms. The next level of models, break sentences into collection of phrases. In this level of decomposition, translation probability is computed by probability of translation of each phrase [51, 44, 38]. Note that a phrase is a subsequence of words, and is not necessarily a syntactic or semantic unit; thus, every subsequence of a source sentence and target sentence can be a potential phrase pair. However, because of huge space of all possible phrase pairs, its not computationally practical to compute alignments using mentioned algorithm. Instead, we can apply heuristics on top of word level alignments to extract phrases and alignments between them. Starting from an alignment between the source and target sentences, only the *consistent* phrase pairs are extracted [38]. Consistency means there is at least one alignment link between the source phrase and target phrase. For example, according to Figure 2.1, phrase pair ('have not yet done their work', 'hire albeit notch nicht gametes haven') is a consistent and phrase pair ('students have',' scholar here') is a non-consistent phrase pair. Now we can augment our phrase table with these phrase pairs and their corresponding scores.

So far, we explained the training part of generative models. Figure 2.2 shows an architecture of a generative machine translation system. Word alignment techniques and phrase pairs extraction heuristics are applied on bilingual resources, providing a list of phrase pairs inside the phrase table and corresponding reordering and translation model scores for them. On the other side, a language model is constructed based on smoothed n-grams counts inside a monolingual resource. A decoder, is responsible to find translation for input source sentence f using the explained formulation.



Figure 2.2: Architecture of a generative machine translation system.

Hierarchical Translation Models

Even we can move further and extract synchronous context-free grammars (SCFGs) out of word level alignments information. SCFGs are a generalization of context-free grammars to generate strings in two different languages. These SCFGs are the backbone of Hierarchical phrase-based machine translation (Hiero) [12], another prominent approach for SMT. These rewrite rules can have non-terminals inside them, which results in constructing parse tree at the time of decoding.

Hiero grammars is defined as $G = (T, N, R, R_g)$, where T and N are the set of terminals and non-terminals in G, respectively. R is a set of production rules of the form:

$$[LHS] \to \langle \gamma, \alpha, \sim \rangle, \gamma, \alpha \in \{[LHS] \cup T^+\}$$
(2.10)

In this notation left-hand side (LHS) is the nonterminal category, which typically in Hiero are S (start symbol) and X. γ (the source language right-hand side (RHS)) is a string of source language terminal and non-terminal symbols. Likewise, α (The target RHS) is a sequence of target terminal and non-terminals. The alignment of non-terminals in the source and target right hand side is denoted by \sim , such that the co-indexed not-terminal pair is rewrite synchronously. These production rules are combined by a CKY-style decoder [14, 30, 71] (including R_g glue rules) to derive the start symbol S. Glue rules for Hiero are :

$$S \to \langle X1, X1 \rangle \tag{2.11}$$

$$S \to \langle S1 X2, S1 X2 \rangle \tag{2.12}$$

The non-terminal indices indicate synchronous rewriting of the source and target non-terminals having the same index. The second glue rule is additionally useful for translating longer spans (beyond the length of production rules) by connecting smaller ones.

For convenience, we store these rules into the phrase table with following format :

 $[LHS] \parallel \gamma$ (source RHS) $\parallel \alpha$ (target LHS)

Nonterminal variables are surrounded by square brackets and contain only numbers and uppercase letters. Nonterminal alignments indicate correspondences to nonterminal symbols in the source side.

Hiero uses some heuristics to extract SCFGs from word alignment information. For example, based on alignments in Figure 2.1, following SCFG rewrite rule can be extracted :

[X] ||| have not yet done [X] ||| [1] notch night gametes haben

2.2 Discriminative Machine Translation



Figure 2.3: Architecture of a descriminative machine translation system.

All of these translation models, will compute P(f'|e') according to score they extracted from alignment informations. This score can be viewed as a feature function of a phrase pair (f', e'). Feature functions are functions that compute real-valued (possibly multi-dimensional) features of source sentences (f), target language translations (e), and their translation derivation (selected entries of the phrase table) to evaluate goodness of a certain translation hypothesis. Therefore, potentially its useful to have other feature functions for scoring phrase pairs (e.g. P(e'|f')).

In discriminative machine translation a linear or log-linear combination of these of feature values computed from the derivation hypothesis is used to compute the final score for each sentence [53]. Each feature is associated with a real-valued weight in the linear translation model that can be learned on a held out dev set (tuning).

Previously we have explained the combination of generative translation model log probability and target language model log probability for finding the best translation. In this framework these two are also considered as features. Standard feature functions in this framework are conditional translation probabilities p(e|f) and p(f|e), conditional lexical weights $p_{lex}(e|f)$ and $p_{lex}(f|e)$, phrase penalty, word penalty, glue rule weight and language model.

An standard statistical model of SMT (Hiero) [53] uses a log linear model to score each possible Hiero derivation in terms of different feature functions as :

$$P(derivation) \propto (\prod_{i=1}^{k-1} \prod_{r \in R_d} \Phi_i(r)^{w_i}) P_{lm}(e)^{w_k}$$
(2.13)

where k is the total number of features and w_i denote the weights of the feature function Φ_i . The LM feature is the k-th feature which computed for the target sentence. While other features are computed for each rule r that is used in derivation. These feature weights can be optimized with respect to an automatic evaluation metric.

2.3 Evaluation of MT Systems

Human quality judgment is a good measure to evaluate the output of machine translation but its subjective, time consuming, expensive, and sometimes tough because of ambiguity. An automatic evaluation metric for machine translation is a must; hence it is still an open challenge. The root of difficulty of automatic evaluation is the fact that there is no gold standard translation output for each input sentence. Even a sentence in the source language might have many correct translations which are different in structure and words. Among many possible measures have been proposed, some of them are more common and preferred by researchers in this line of research. These metrics evaluate the quality of SMT-generated translation relative to one or more human-generated reference translation.

- **BLEU** The bilingual evaluation understudy (BLEU) score is geometric mean of n-gram precisions that is scaled by a brevity penalty to prevent very short sentences with some matching material from being given inappropriately high scores [57].
- **TER** Translation Edit Rate (TER) measures the amount of editing that a human would have to perform to change a system output so it exactly matches a reference translation [65].
- **METEOR** is an automatic metric for machine translation evaluation that is based on a generalized concept of unigram matching between the machine-produced translation and humanproduced reference translations. Unigrams can be matched based on their surface forms, stemmed forms, and meanings; furthermore, METEOR can be easily extended to include more advanced matching strategies [2].
- Linear combinations of these metrics has shown promising results recently [63].

In this thesis, we have selected to use BLEU score [57] for automatic evaluating machine translation quality; since it is the most trusted measure and it does not require any type of external resources like METEOR.

2.4 Semi-Supervised Learning (SSL)

Most of famous supervised machine learning methods have some mechanism to avoid overfitting; Support Vector Machine (SVM) maximize the margin to data instances, Maximum Entropy (Max-Ent) models try to minimize the risk of unpredictable data instances. Hence, most of these methods relay on the availability of a good labeled slice of the whole data instances.

Another line of works that recently became a hot topic, is the usage of unlabeled data alongside labeled data known as Semi-Supervised Learning (SSL). Collecting huge amount of unlabeled data is cheaper, faster and sometimes more effective than labeling instances in small portion. For instance, in case (A) of Figure 2.4, the doted line shows the decision boundary of an SVM model trained on two labeled instances (filled cross and filled circle). In the presence of unlabeled instances (not filled ones) models are able to capture the implicit structure of the data in order to have a more accurate decision boundary (see case B). These methods potentially are useful to justify data model for domain adaptation cases, where the testing data is a different domain than training data. Although the best way of using these unlabeled data is still an open question.



Figure 2.4: Cases that unlabeled data can improve decision boundary selection.

2.4.1 Transductive versus Inductive

General speaking, SSL methods can be devided into major groups: *inductive* and *transductive*. Simply put, transductive (data-based) methods first transfer the labels from labeled data to unlabeled data according to a distance measure between data instances (revealed geometry of the distribution of data) and then train a model on both originally labeled data and hallucinated labeled data. On the other hand, inductive (classifier-based) methods prefer to train a model on originally labeled data

and then use their model to predict the label for unlabeled data and gradually produce new training data by injecting (noisy) labels to unlabeled data, and iteratively learn new classifier(s).

Whether to select transductive methods rather than inductive ones are an open discussion between researchers, and there are some cases that one of them fails. Case (c) in Figure 2.4 shows one of cases that inductive methods might fail to fit properly.

2.4.2 Graph Based methods

Transferring the labels between data instances is another view for classifying these methods. One of the most preferred methods is to use a graph structure between data instances and transfer labels based on their distance in graph. Graph based methods have some advantageous: 1) In many cases the unlabeled data are naturally expressed in a graph structure (e.g. social network information), 2) they show more flexibility to be scalable, because of research going on parallelize graph processing, 3) many tools and frameworks have been developed to work with graphs [66]. Scalability is an important concern when using semi-supervised methods, since most of them are non-parametric (i.e. number of parameters grows with data size).

In graph-based transductive methods, a graph is constructed using a similarity measure defined between data instances, then transferring labels occurs based on smoothness assumption. Smoothness assumption implies that if two instances (nodes in the graph) are similar then the output labels for them should be similar. Note that meaning of similarity is highly correlated on the task, therefore, there is no big graph available for all machine learning techniques and creating a graph according to each task is a critical step in these methods.

We built a graph of source language phrases and according to our task (machine translation), we considered the relationship between nodes (phrases), to be paraphrase of each other. If two nodes are paraphrase of each other, they should be close to each other in the graph. For each node we have a distribution over labels. Labels in our graph are translations of a phrase (node) in the target language. Therefore, for each source phrase inside the graph we have a distribution of possible translations. Paraphrases are phrases which share the same meaning but expressed in different words and structure; which is totally in harmony of our task. We would like to transfer the translation from labeled nodes to unlabeled nodes and then train a SMT.

2.5 Summary

In this chapter, we reviewed all the background knowledge needed to follow the rest of the thesis, including: Phrase-based Statistical Machine Translation, Hierarchical Machine Translation and Semi-supervised Learning.

Chapter 3

Automatic Paraphrase Extraction Methods

Our goal is to produce translations for OOV phrases by exploiting paraphrases from the multilingual PPDB [20] and by using graph propagation. Since our approach relies on phrase-level paraphrases we compare with the current state of the art approaches that use monolingual data and distributional profiles to construct paraphrases and use graph propagation [60, 62].

3.1 Paraphrases from Distributional Profiles

A *distributional profile* (DP) of a word or phrase was first proposed in [59] for SMT. Given a word *f*, its distributional profile is:

$$DP(f) = \{ \langle A(f, w_i) \rangle \mid w_i \in V \}$$

V is the vocabulary and the surrounding words w_i are taken from a monolingual corpus using a fixed window size. We use a window size of 4 words based on the experiments in [60]. The counts of words in the surrounding context can be positional or non-positional. Following [60], we use non-positional counts to alleviate sparsity problem. DPs need an association measure $A(\cdot, \cdot)$ to compute distances between potential paraphrases. A comparison of different association measures appears in [45, 60, 62] and our preliminary experiments validated the choice of the same association measure as in these papers, namely *Point-wise Mutual Information* [40] (PMI). For each potential context word w_i :

$$\mathbf{PMI}(f, w_i) = \log_2 \frac{P(f, w_i)}{P(f)P(w_i)}$$
(3.1)

Positive values of PMI shows that under standard independence assumptions, the given words cooccur more than what we expect [40]. We refer to PMI using the notation $A(\cdot, \cdot)$. To evaluate the similarity between two phrases we use cosine similarity. The cosine coefficient of two phrases f_1 and f_2 is:

$$S(f_1, f_2) = \cos(DP(f_1), DP(f_2)) = \frac{\sum_{w_i \in V} A(f_1, w_i) A(f_2, w_i)}{\sqrt{\sum_{w_i \in V} A(f_1, w_i)^2} \sqrt{\sum_{w_i \in V} A(f_2, w_i)^2}}$$
(3.2)

where V is the vocabulary. Note that in Eqn. (3.2) w_i 's are the words that appear in the context of f_1 or f_2 , otherwise the PMI values would be zero.

Considering all possible candidate paraphrases is very expensive. Thus, we use the heuristic applied in previous works [45, 60, 62] to reduce the search space. For each phrase we just keep candidate paraphrases which appear in one of the surrounding context (e.g. *Left_Right*) among all occurrences of the phrase.

Figure 3.1 visualize the distance between the distributional profiles of phrases "in check" and "under control" considering "kept" and "brought" as context words. These two phrases are paraphrase of each other since they have very similar distributional profiles. On the other hand, Figure 3.2 is a bad example of distributional profiling for extracting paraphrases; Phrase "in check" and "together" are not paraphrase of each other but they have close distributional profiles considering the same context words. Thus, DP-based extracted paraphrases are noisy paraphrases.



Figure 3.1: A good example of distributional profiling for extracting paraphrase.



Figure 3.2: A bad example of distributional profiling for extracting paraphrase.

3.2 Paraphrases from Bilingual Pivoting

Bannard and Callison-Burch (2005) for the first time proposed to use bilingual pivoting to extract paraphrases [3]. The intuition is that two strings e_1 and e_2 in the source language that translate to the same foreign string f can be assumed to have the same meaning. Bilingual pivoting uses parallel corpora between the source language, F, and a pivot language T. This pivot language can be any language other than the target language of SMT. If two phrases, f_1 and f_2 , in a same language are paraphrases, then they share a translation in other languages with $p(f_1|f_2)$ as a paraphrase score:

$$S(f_1, f_2) = p(f_1|f_2) \propto \sum_t p(f_1|t)p(t|f_2)$$
(3.3)

where t is a phrase in language T. $p(f_1|t)$ and $p(t|f_2)$ are taken from the phrase table extracted from parallel data for languages F and T. In Fig. 6.4 from [3] we see that paraphrase pairs like (*in check*, *under control*) can be extracted by pivoting over the German phrase *unter kontrolle*.

Extracting many possible paraphrase pairs by bilingual pivoting requires other scoring metrics to filter out unrelated paraphrases. For example for English phrase "thrown into jail", it extracts "arrested", "detained", "imprisoned", "incarcerated", "jailed", "locked up", "taken into custody", and "thrown into prison", along with a set of incorrect/noisy paraphrases that are due to errors in alignments.

Using syntactic machine translation techniques [34] on top of extracted paraphrase pairs, we can also extract a syntactic paraphrase grammar. The paraphrase rules obtained using this method



Figure 3.3: English paraphrases extracted by pivoting over German shared translation.

are very useful for generalizations of meaning-preserving rewrites. For example, following example can be captured :

$$NP \rightarrow$$
 the NP_1 of NNS_2 the NNS_2 's NP_1

These rules can only be extracted for the languages that at least a part of speech tagger exists, which is not the target cases of this thesis.

3.2.1 PPDB

The multilingual Paraphrase Database (PPDB) [20] is a published resource for paraphrases extracted using bilingual pivoting and filtered by syntactic information. PPDB version one extract paraphrases by bilingual pivoting over 106 millions sentence pairs, 22 pivot languages. PPDB is available in the format of lexical paraphrases, phrasal paraphrases and syntactic paraphrases. Table 3.1 shows an example for each of these categories.

LHS	source	target	features	alignments
[VBN] pruned		cropped	p(elf),	0-0
			p(fle)	
[X]	in check	under control	p(elf),	0-0 1-1
			p(fle)	
[NP]	the NP_1 of NNS_2	the NNS_2 's NP_1	p(elf),	0-0
			p(fle)	

Table 3.1: A subset of PPDB showing paraphrases in different levels

It also leverages other resources to evaluate and scores each paraphrase pair using a large set of features. Ganitkevitch et al. (2013) suggest Synchronous Context Free Grammars (SCFGs) format

to store paraphrase relationship in paraphrase databases.

$$LHS \mid\mid\mid source \mid\mid\mid target \mid\mid\mid (feature = value)^* \mid\mid\mid alignment$$

For example :

$$[VBN] \parallel pruned \parallel cropped \parallel p(e|f) = 4.33, \ p(f|e) = 4.88 \ \dots \ \parallel 0 - 0$$

This format allows us to store additional feature scores for each paraphrase pair, since there are various ways to estimate score $S(f_1, f_2)$. These features can also contains monolingual information like the scores from the previous technique, which we found very useful.

LHS	source	target	features		alignment
[NN]	issue	matter	p(elf)	xW_1 +	0-0
			p(fle)	xW_2 +	
			Lex(fle)	xW_3 +	
			Lex(elf)	xW_4 +	
			p(elf,LHS	$) xW_{5}+$	
			GoogleNg	gram-	
			Sim x W	6 +	

= score(issue,matter)

Table 3.2: Scoring paraphrase pairs by linear combination of features inside the PPDB

These features can be used by a log linear model to score paraphrases [76]. We used a linear combination of these features using the equation in Sec. 3 of [20] to score each paraphrase pair (see Table 3.2). PPDB version 1 is broken into different levels of coverage. The smaller sizes contain only better-scoring, high-precision paraphrases, while larger sizes aim for high coverage. Table 3.3 shows number of rules available inside English PPDB for each size divided into different categories.

Size	lexical	one-to-many	phrasal	syntactic
S	31K	47K	637K	585K
М	69K	94K	1.2M	1.0M
L	198K	188K	3.0M	2.2M
XL	548K	376K	6.9M	4.4M
XXL	2.1M	752K	29.2M	9.3M
XXXL	7.6M	1.5M	68.4M	16.1M

Table 3.3: English PPDB version 1 statistics (number of rules)

3.3 Summary

We describe two major methods for automatically acquiring paraphrase pairs, then we introduce PPDB, a multi-lingual paraphrase database, and its desirable properties. We have chosen PPDB as a paraphrase database for the rest of this work because of the following reasons:

- DP-based paraphrases are noisy in compare to bilingual pivoted extracted paraphrases
- Computing distributional profile for n-grams higher than bigrams is computationally intractable
- PPDB provides many features for each paraphrase pair including features extracted from monolingual resources.

In chapter 6 we examine DP-based paraphrases in compare to PPDB paraphrases in the task of machine translation.

Chapter 4

Methodology

4.1 Overview

Considering we have a automatic method to extract paraphrase pairs, we would like to transfer the translations from seen phrases (available inside the phrase table) to their unseen paraphrases. Before that let's have a quick overview of an state of the art statistical machine translation [35] (Figure 4.1).



Figure 4.1: An overview of an SMT system

For translating from a source language to a target language a bilingual parallel corpus is required. According to translation patterns inside the parallel sentence a phrase table can be extracted which later on used by a translation system. Translation system tries to search for the phrases of any input sentence inside the phrase table. Not surprising, some of these phrases are not available inside the phrase table (shown by red color), which we call it OOV phrases. A group of these OOV phrases are Named-Entities, which can be translated using a transliterator [32]. Even if we remove these Named-Entities, there still a huge collection of unseen phrases missing inside the phrase table. We want to use a paraphrase database to transfer the translation from seen phrase to their unseen paraphrases and augment the phrase table with these new translation. These new translations can potentially increase the OOV coverage at the test time. The remaining question is how to transfer these translations.

4.2 Transferring Translations

4.2.1 Naive Approach

A naive approach for transferring the translation is to use the following formulation :

 $P(\text{translation}|\text{unseen phrase}) = \sum_{\text{para} \in \{ \text{ paraphrases } \}} P(\text{translation}|\text{para}) \cdot P(\text{para}|\text{unseen phrase})$

In this formulation we pivot over paraphrases and multiple paraphrase probability and translation probability for each translation. For example in Table 4.1 f_1 is an unseen phrase which we compute the probability of translating to e_1 according to its paraphrases f_2 and f_3 .

paraphrase database		phrase	phrase table		
puruj	c c		ouse	f_2	e_1
	J_1	J_2		f_2	e_2
	f_1	f_3		f_3	e_1
	f_1	$ f_4 $		$\frac{10}{f_4}$	e_3

Table 4.1: Naive approach example

$$P(e_1|f_1) = P(e_1|f_2) \cdot P(f_2|f_1) + P(e_1|f_3) \cdot P(f_3|f_1)$$

In chapter 6 we have shown that this approach, because of its limitation in transferring translation in a multi-hop fashion, is not very promising, therefore we move to a more promising method: graph propagation.

4.2.2 Graph Propagation

After paraphrase extraction we have paraphrase pairs, (f_1, f_2) and a score $S(f_1, f_2)$ we can induce new translation rules for OOV phrases using the steps in Algo. (2): 1) A graph of source phrases

(Sec. 3)
(Sec. 4.2.2)
(Sec. 4.2.2)
(Sec. 4.3)

Size	Nodes	Edges	Max	Ave
			Neigh.	Neigh.
S	23K	31K	32	1.38
М	41K	69K	33	1.69
L	74K	199K	67	2.69
XL	103K	548K	330	5.33
XXL	122K	2073K	1231	16.968
XXXL	125K	7558K	5255	60.27

Table 4.2: Statistics of the graph constructed using the English lexical PPDB

is constructed as in [60]; containing both sides of paraphrase pairs and source side of phrase table; 2) translations are propagated as labels through the graph as explained in Fig. 4.6; and 3) new translation rules obtained from graph-propagation are integrated with the original phrase table.

Graph Construction

We construct graph G(V, E, W) over all source phrases in the paraphrase database and the source language phrases from the SMT phrase table extracted from the available parallel data. V corresponds to the set of vertices (source phrases), E is the set of edges between phrases and W is weight of each using the score function S defined in Sec. 3. V has two types of nodes: seed (labeled) nodes, V_s , from the SMT phrase table, and regular nodes, V_r (including OOVs). Fig. 4.6 shows a small slice of the actual graph used in one of our experiments; This graph is constructed using the paraphrase database on the right side of the figure. Filled nodes have a distribution over translations (the possible "labels" for that node). We use only the p(e|f) feature from the SMT phrase table and propagate this distribution to unlabeled nodes in the graph. Table 4.2 show statistics of the graph constructed using the English lexical PPDB. Sizes in this table are standard sizes provides in the release version 1.0 of PPDB. We have built similar graphs for French and Arabic with lexical and phrasel nodes.

Graph Propagation

Considering the translation candidates of known phrases in the SMT phrase table as the "labels" we apply a soft label propagation algorithm in order to assign translation candidates to "unlabeled" nodes in the graph, which include our OOV phrases. As described by the example in Fig. 4.6 we wish two outcomes: 1) transfer of translations (or "labels") to unlabeled nodes (OOV phrases) from labeled nodes, and 2) smoothing the label distribution at each node. We use the Modified Adsorption (MAD) algorithm [68] for graph propagation. Suppose we have m different possible labels plus one dummy label, a soft label $\hat{Y} \in \Delta^{m+1}$ is a m + 1 dimension probability vector. The dummy label is used when there is low confidence on correct labels. Based on MAD, we want to find soft label vectors for each node by optimizing the objective function below:



Figure 4.2: Modified Adsorption objective function visualization.

$$\min_{\hat{Y}} \mu_{1} \sum_{v \in V_{s}} P_{1,v} ||Y_{v} - \hat{Y}||_{2}^{2} + (1)$$

$$\mu_{2} \sum_{v \in V, u \in N(v)} P_{2,v} W_{v,u} ||\hat{Y}_{v} - \hat{Y}_{u}||_{2}^{2} + (2)$$

$$\mu_{3} \sum_{v \in V} P_{3,v} ||\hat{Y}_{v} - R_{v}||_{2}^{2} \quad (3)$$

In this objective function, μ_i and $P_{i,v}$ are hyper-parameters ($\forall v : \Sigma_i P_{i,v} = 1$). $R_v \in \Delta^{m+1}$ is our prior belief about labeling. First component of the function tries to minimize the difference of new distribution to the original distribution for the seed nodes. The second component insures that nearby neighbours have similar distributions, and the final component is to make sure that the distribution does not stray from a prior distribution. At the end of propagation, we wish to find a label distribution for our OOV phrases.

The MAD graph propagation generalizes the approach used in [60]. The Structured Label Propagation algorithm (SLP) was used in [62, 75] which uses a graph structure on the target side phrases as well. However, we have found that in our diverse experimental settings (see Sec. 6) MAD had two properties we needed compared to SLP: one was the use of graph random walks which allowed us to control translation candidates and MAD also has the ability to penalize nodes with a large number of edges (also see Sec. 5.2.2). Graph propagation has some benefits over the naive approach:

- It filters unrelated translations for an unlabeled nodes using other neighbours informations (See Fig. 4.3)
- It enables multi-hop transferring of labels (See Fig. 4.4)
- It enrich label options for fully connected nodes (See Fig. 4.5)



Figure 4.3: Graph propagation feature 1 - filtering unrelated translations



Figure 4.4: Graph propagation feature 2 - multi-hop translation transferring

For example, figure 4.6 shows small sample of the real graph constructed from the Arabic PPDB for Arabic to English translation. Filled nodes (1 and 6) are phrases from the SMT phrase table



Figure 4.5: Graph propagation feature 3 - enriching translation options for morphological variants of a phrase

(unfilled nodes are not). Edge weights are set using a linear combination of scores from PPDB following [20]. Phrase #6 has different senses ('gold' or 'left'); and it has a paraphrase in phrase #7 for the 'gold' sense and a paraphrase in phrase #2 for the 'left' sense. After propagation, phrase #2 receives translation candidates from phrase #6 and phrase #1 reducing the probability of translation from unrelated senses (like the 'gold' sense).

Phrase #8 is a misspelling of phrase #7 and is also captured as a paraphrase. Phrase #6 propagates translation candidates to phrase #8 through phrase #7. Morphological variants of phrase #6 (shown in bold) also receive translation candidates through graph propagation giving translation candidates for morphologically rich OOVs.



Figure 4.6: A small sample of the real graph constructed from the Arabic PPDB for Arabic to English translation

4.3 Phrase Table Integration

After propagation, for each OOV phrase we have a list of possible translations with corresponding probabilities. The original phrase table is now augmented with new entries providing translation candidates for OOVs. A new feature is added to the standard SMT log-linear discriminative model and introduced into the phrase table. This new feature following [60] is set to either 1.0 for the phrase table entries that already existed; or ℓ_i which is the log probability (from graph propagation) for the translation candidate *i* for OOVs. In case the dummy label exists with high probability (higher than probabilities of other labels) or the label distribution is uniform, an identity rule is added to the phrase table (copy over source to target). One can also try to use translation for these words. After augmenting the phrase table, it is the time to retune the Machine Translation system with a dev set.

f	e	p(f e)	p(e f)		$p_g(e f)$
f_1	e_1	0.7	0.8		1.0
f_2	e_2	0.2	0.4		1.0
$f_1 f_2$	e_2e_1	0.2	0.15		1.0
oov_1	t_1	1.0	1.0	1.0	$p_g(t_1 oov_1)$
oov_1	t_2	1.0	1.0	1.0	$p_g(t_2 oov_1)$
oov_2	t_1	1.0	1.0	1.0	$p_g(t_1 oov_2)$

Table 4.3: Phrase table augmentation with the new phrase pairs

4.4 Summary

In this chapter, we illustrate three steps of our framework: graph construction, propagation propagation, and phrase table integration in details. We explained the reasons to do graph propagation in compare to naive approach for transferring the translations.

Chapter 5

Analysis of the Framework

5.1 **Propagation of poor translations**

Automatic paraphrase extraction generates many possible paraphrase candidates and many of them are likely to be false positives for finding translation candidates for OOVs. Distributional profiles rely on context information which is not sufficient to derive accurate paraphrases for many phrases and this results in many low quality paraphrase candidates. For example, fruit names *apple* and *orange* occur in similar context, but if we translate *apple* to *naranja* in Spanish, it conveys the wrong meaning. Thus, filtering the paraphrase database by other resources like syntactic information can be useful. Bilingual pivoting uses word alignments which can also introduce errors depending on the size and quality of the bilingual data used. Alignment errors also introduce poor translations. In graph propagation, these errors may be propagated and result in poor translations for OOVs.

We could address this issue by aggressively pruning the potential paraphrase candidates to improve the precision. However, this results in a dramatic drop in coverage and many OOV phrases do not obtain any translation candidates. We use a combination of the following three steps to augment our graph propagation framework.

5.1.1 Graph pruning and PPDB sizes

Pruning the graph avoids error propagation by removing unreliable edges. Pruning removes edges with an edge weight lower than a minimum threshold (e- Neighbourhood) or by limiting the number of neighbours to the top-K edges(K-NN) [67]. Each of these methods has their own advantageous and disadvantageous. Figure 5.1 shows cases that K-NN will result in a asymmetric graph (case A) or an irregular graph (Case B). On the other hand, *e*-neighbourhood method is very sensitive to the value of *e* (i.e. this method can lead to disconnected components or uncultured structure) (see Figure 5.2)

PPDB version 1 provides different sizes with different levels of accuracy and coverage. We can do graph pruning simply by choosing to use different sizes of PPDB. As we can see in Fig. 5.3 results



Figure 5.1: Cases that K-nearest neighbours graph pruning fails.



Figure 5.2: Cases that *e*-neighbourhood graph pruning fails.

vary from language to language depending on the pruning used. For instance, the L size results in the best score for French-English. We choose the best size of PPDB for each language based on a separate held-out set and independently from each of the SMT-based tasks in our experimental results. Our conclusion from our experiments with the different sizes of PPDB is that removing phrases (or nodes in our graph) is not desirable. However removing unreliable edges is useful, it is not trivial the amount of this removal. As seen in Table 4.2, increasing the size of PPDB leads to a rapid increase in nodes followed by a larger number of edges in the very large PPDB sizes.

5.1.2 Pruning the translation candidates

Another solution to the error propagation issue is to propagate all translation candidates but when providing translations to OOVs in the final phrase table to eliminate all but the top L translations for each phrase (which is the usual *ttable* limit in phrase-based SMT [39]). Based on a development set, separate from the test sets we used, we found that the best value of L was 10 to achieve the highest BLEU score on a held-out dev set.



Figure 5.3: Effect of PPDB size on improving BLEU score.



Figure 5.4: Sensitivity issue in graph propagation for translations. "Lager" is a translation candidate for "stock", which is transferred to "majority" after 3 iterations.

5.1.3 External Resources for Filtering

Applying more informative filters can be also used to improve paraphrase quality. This can be done through additional features for paraphrase pairs. For example, edit distance can be used to capture misspelled paraphrases. We use a Named Entity Recognizer to exclude names, numbers and dates from the paraphrase candidates. In addition, we use a list of stop words to remove nodes which have too many connections. These two filters improve our results (more in Sec. 6).

5.2 Path sensitivity

Graph propagation has been used in many NLP tasks like POS tagging, parsing, etc. but propagating translations in a graph as labels is much more challenging. Due to huge number of possible labels (translations) and many low quality edges, it is very likely that many wrong translations are rapidly propagated in few steps. Razmara and his colleagues show that unlabeled nodes inside the graph, called *bridge nodes*, are useful for the transfer of translations when there is no other connection between an OOV phrase and a node with known translation candidates [60]. However, they show that using the full graph with long paths of bridge nodes hurts performance. Thus the propagation has to be constrained using *path sensitivity*. Fig. 5.4 shows this issue in a part of an English paraphrase graph. After three iterations, German translation "Lager" reaches "majority" which is totally irrelevant as a translation candidate. Transfer of translation candidates should prefer close neighbours and only with a very low probability to other nodes in the graph.

5.2.1 Pre-structuring the graph

Razmara et al. (2013) avoid a fully connected graph structure [60]. They pre-structure the graph into bipartite graphs (only connections between phrases with known translation and OOV phrases) and tripartite graphs (connections can also go from a known phrasal node to a potentially OOV phrasal node through another potential OOV node that is a paraphrase of both but does not have translations, i.e. it is an unlabeled node). Note that in these pre-structured graphs there are no connections between nodes of the same type (known, OOV or unlabeled). We apply this method in our low resource setting experiments (Sec. 6.3) to compare our results to [60]. In the rest of our experiments we use the following methods.

5.2.2 Graph random walks

Our goal is to limit the number of hops in the propagation of translation candidates preferring closely connected and highly probable edge weights. Optimization for the Modified Adsorption (MAD) objective function in Sec. 4.2.2 can be viewed as a controlled random walk [69, 68]. This is formalized as three actions: *inject, continue* and *abandon* with corresponding pre-defined probabilities P_{inj} , P_{cont} and P_{abnd} respectively as in [68]. A random walk through the graph will transfer labels from one node to another node, and probabilities P_{cont} and P_{abnd} control exploration of the graph. By reducing the values of P_{cont} and increasing P_{abnd} we can control the label propagation process to optimize the quality of translations for OOV phrases. Again, this is done on a held-out development set and not on the test data. The optimal values in our experiments for these probabilities are $P_{inj} = 0.9$, $P_{cont} = 0.001$, $P_{abnd} = 0.01$.

5.2.3 Early stopping of propagation

In Modified Adsorption (MAD) (see Sec. 4.2.2) nodes in the graph that are closely linked will tend to similar label distributions as the number of iterations increase (even when the path lengths increase). In our setting, smoothing the label distribution by matching to the uniform distribution (see the third term in the MAD objective function), helps in the first few iterations, but is harmful as the number of iterations increases due to the factors shown in Fig. 5.4. We use *early stopping* which limits the number of iterations. We varied the number of iterations from 1 to 10 on a held-out dev set and found that 5 iterations was optimal.

5.3 Summary

In this chapter, we reviewed graph simplification techniques for obtaining better results for improving SMT. Note that these improvements are not specific to our target problem, but for all other problems which contains noisy source of weights for the edges and also noisy label distribution for each labeled nodes.

Chapter 6

Evaluation

We first show the effect of OOVs on translation quality, then evaluate our approach in three different SMT settings: low resource SMT, domain shift, and morphologically complex languages. In each case, we compare results of using paraphrases extracted by Distributional Profile (DP) and PPDB in an end-to-end SMT system.

Important: no subset of the test data is used in the paraphrase extraction process.

6.1 Experimental Setup

We use CDEC¹ [19] as an end-to-end SMT pipeline with its standard features². fast_align [18] is used for word alignment, and weights are tuned by minimizing BLEU loss on the dev set using MIRA [15]. This setup is used for most of our experiments: oracle (Sec. 6.2), domain adaptation (Sec. 6.4) and morphologically complex languages (Sec. 6.5). But as we wish to fairly compare our approach with Razmara et al. (2013) [60] on low resource setting, we follow their setup in Sec. 6.3: Moses [35] as SMT pipeline, GIZA++ [54] for word alignment and MERT [52] for tuning. We add our own feature as described in Sec. 4.3.

KenLM [25] is used to train a 5-gram language model on English Gigaword (V5: LDC2011T07). For scalable graph propagation we use the Junto framework³. We use maximum phrase length 10 to make it computationally intractable in propagation and decoding phases.

For French, we apply a simple heuristic to detect named entities: words that are capitalized in the original dev/test set that do not appear at the beginning of a sentence are named entities. The reasons to use this simple heuristic is the fact that no accurate named entities recognizer is available for many resource poor languages. Note that using a more accurate named-entity recognizer and more removal of named-entities will improve the results even more. Based on eyeballing the results, this works very well in our data. For Arabic, AQMAR is used to exclude named-entities [48].

¹http://www.cdec-decoder.org

²EgivenFCoherent, SampleCountF, CountEF, MaxLexFgivenE, MaxLexEgivenF, IsSingletonF, IsSingletonEF
³Junto : https://github.com/parthatalukdar/junto

Experiments	OOV type	OOV token
Case 1	1830	2163
Case 2: Medical	2294	4190
Case 2: Science	5272	14121
Case 3	1543	1895

Table 6.1: Statistics of OOVs for each setting in Sec. 6.

6.2 Impact of OOVs: Oracle experiment

This oracle experiment shows that translation of OOVs beyond named entities, dates, etc. is potentially very useful in improving output translation. We trained a SMT system on 10K French-English sentences from the Europarl corpus(v7) [33]. WMT 2011 and WMT 2012 are used as dev and test data respectively. Table 6.2 shows the results in terms of BLEU on dev and test. The first row is baseline which simply copies OOVs to output. The second and third rows show the result of augmenting phrase-table by adding translations for single-word OOVs and phrases containing OOVs. The last row shows the oracle result where all the OOVs are known (the oracle cannot avoid model and search errors). For each of the experimental settings below we show the OOV statistics in Table 6.1.

Fr-En	Dev	Test
Baseline	27.896	28.084
+ Lexical OOV	28.104	28.312
+ Phrasal OOV	28.497	28.849
Fully observed	46.882	49.211

Table 6.2: The impact of translating OOVs.



Figure 6.1: The structure of oracle experiment for baseline



Figure 6.2: The structure of oracle experiment for lexical OOVs



Figure 6.3: The structure of oracle experiment for phrasal OOVs



Figure 6.4: The structure of oracle experiment for complete OOVs

6.3 Case 1: Limited Parallel Data

In this experiment we use a setup similar to [60]. We use 10K French-English parallel sentences, randomly chosen from Europarl to train translation system. ACL/WMT 2005⁴ is used for dev and test data. We re-implement their paraphrase extraction method (DP) to extract paraphrases from French side of Europarl (2M sentences). We use unigram nodes to construct graphs for both DP and PPDB. In bipartite graphs, each node is connected to at most 20 nodes. For tripartite graphs, each node is connected to 15 labeled nodes and 5 unlabeled nodes.

For intrinsic evaluation, we use Mean-Reciprocal-Rank (MRR) and Recall. MRR is the mean of reciprocal rank of the candidate list compared to the gold list (Eqn. 6.1). Recall shows percentage of gold list covered by the candidate list (Eqn. 6.2). Gold translations for OOVs are given by concatenating the test data to training and running a word aligner.

$$MRR = \frac{1}{|O|} \sum_{i=1}^{|O|} \frac{1}{rank_i} \text{ for } O = \{\text{OOVs}\}$$
(6.1)

$$Recall = \frac{|\{\text{gold list}\} \cap \{\text{candidate list}\}|}{|\{\text{gold list}\}|}$$
(6.2)

Table 6.3 compares DP and PPDB in terms of BLEU, MRR and Recall. It indicates that PPDB (large size) outperforms DP in both intrinsic and extrinsic evaluation measures. Although tripartite graph did not improve the results for DP, it results in significantly better BLEU score for PPDB in compare to DP (evaluated by MultEval [13]). Thus we use tripartite graph in the rest of experiments. The last row in the table shows the result of combining DP and PPDB by multiplying the normalized scores of both paraphrase lists.

System	MRR	Recall	BLEU
baseline	-	-	28.89
DP-bipartite	5.34	11.90	29.27
DP-tripartite	5.34	11.95	29.27
PPDB _{fr} (L)-bipartite	12.05	22.08	29.46
PPDB _{fr} (L)-tripartite	10.22	22.87	29.52
Combined-tripartite	-	-	29.28

Table 6.3: Case 1: Limited Parallel Data - Results of PPDB and DP techniques

⁴http://www.statmt.org/wpt05/mt-shared-task/

6.4 Case 2: Domain Adaptation

Domain adaptation is another case that suffers from massive number of OOVs. We compare our approach with Marginal Matching [29], a state of the art approach in SMT domain adaptation (applying monolingual marginal matching). We use their setup and data and compare our results to their reported results [29]. 250K lines of Hansard parliamentary proceeding are used for training MT. Dev and test sets are available for two different domains: Medical and Science domains. For medical domain random subset of EMEA corpus [70] and for the science domain a corpus of scientific articles [11] has been used. Unigram paraphrases using DP are extracted from French side of Europarl.

Table 6.4 compares the results in terms of BLEU score. In both medical and science domains, graph-propagation approach using PPDB (large) performs significantly better than DP (p < 0.02), and has comparable results to Marginal Matching. Marginal Matching performs better in science domain but graph-propagation approach with PPDB outperforms it in medical domain getting a +1.79 BLEU score improvement over the baseline.

Systems	Science	Medical
baseline	22.20	25.32
Naive Approach-PPDB	22.41	25.39
Graph-based-DP-tripartite	22.76	25.81
Graph-based-PPDB _{fr} (L)-tripartite	22.97	27.11
Marginal Matching	23.62	26.97

Table 6.4: Case 2: Domain Adaptation - Results of PPDB and DP techniques

6.5 Case 3: Morphologically Rich Languages

Both Distribution Profiling and Bilingual Pivoting propose morphological variants of a word as paraphrase pairs. Even more so in PPDB due to pivoting over English. Ganitkevitch et al. (2014) mentioned that these paraphrase pairs might be desirable or not based on downstream task[20].

This section shows that these paraphrase pairs are helpful in improving machine translation when having a morphologically rich language as the source language. We choose Arabic-English task for this experiment. We train the SMT system on 1M sentence pairs (LDC2007T08 and LDC2008T09) and use NIST OpenMT 2012 for dev and test data. Arabic side of the training data is used to extract unigram paraphrases for DP. Table 6.5 shows that PPDB (large; with phrases) resulted in +1.53 BLEU score improvement over DP which only slightly improved over baseline.

Systems	BLEU
baseline	29.59
Naive approach-PPDB	29.83
Graph-based-DP-tripartite	30.08
Graph-based-PPDB _{fr} (L)-tripartite	31.12

Table 6.5: Case 3: Morphologically Rich Source Language - Results of PPDB and DP techniques for Arabic-English.

6.6 Examples

Table 6.6 shows outputs of DP-based and PPDB-based method on some of test sentences and their corresponding reference translation. NNs refer to nearest neighbours inside the graph for OOV phrase. Each row corresponds to different settings in our experiments (cases 1 to 3 respectively). In the second row, DP was not able to find a right translation for "quantique" which results in a bad reordering too. In the third row, both methods failed to increase the BLEU scores, but PPDB provided a better translation in compare to DP.

OOV	PPDB	DP NNs	Reference sentence	PPDB output	DP output
	NNs				
procédés	processus	méthodes	an agreement on pro-	an agreement on the	an agreement on
		outils	cedures in itself is a	procedure is a good	products is a good
		matéri-	good thing		
		aux			
quantique	e quantiques	-	allowed us to achieve	allowed quantum	quantique allowed
			quantum degeneracy	degeneracy	degeneracy
mlzm	mlzmA	ADTr	voted 97-0 last week	voted 97 last week	voted 97 last week
			for a non-binding reso-	on not binding resolu-	on having resolution
			lution	tion	

Table 6.6: Examples comparing DP versus PPDB outputs on the test sets.

6.7 Summary

In this chapter, we have shown significant improvements to the quality of statistical machine translation in three different cases: low resource SMT, domain shift, and morphologically complex languages. We also provide experimental setup for each of these cases.

Chapter 7

Conclusion and Future work

We presented improvements to Statistical Machine Translation system to alleviate the problem of OOVs. In the first part of the thesis research, we focused on the task of automatic paraphrase extraction and explained the two major method *distributional profiling* and *bilingual pivoting*. We compared these two methods and introduced PPDB, a large-scale paraphrase database extracted using bilingual pivoting but re-scored using monolingual resource.

Next, we highlighted the importance of the graph-based semi-supervised techniques for improving SMT, followed by an analysis of these methods. In the experiments, we showed that PPDB-based paraphrases pairs are more accurate than DP-based paraphrase, which results in better improvements for the task of machine translation. We have shown significant improvements to the quality of statistical machine translation in three different cases: low resource SMT, domain shift, and morphologically complex languages. In conclusion, PPDB has been successfully used in other NLP tasks so far, but for the first time we showed that through the use of semi-supervised graph propagation, a large scale multilingual paraphrase database can be used to improve the quality of statistical machine translation.

7.1 Future work

In future work, we would like to include translations for infrequent phrases which are not OOVs. For example, in Figure 7.1 we used a very resource rich SMT trained on millions lines of English-French parallel sentence. "early bird" is an unseen or infrequent phrase, which result in a wrong translation.

Furthermore, we would like to explore new propagation methods that can directly use confidence estimates and control propagation based on label sparsity. One of these methods Graph-Based Transduction with Confidence (TACO) [56] which consider confidence measure for any possible labels. In other words, it consider noisy seed label distributions.



Figure 7.1: SMT results for infrequent phrases.

And finally we would like to explore other available resources for morphologically rich languages (e.g. Morphological analyzer) and integrate them into our system to achieve a better performance. A morphological analyzer can be used to prune the graph or add more nodes to graph to have a better and accurate coverage of morphological variants of a phrase.

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