Open Access Policy Update

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The Imaginary Journal of Poetic Economics

http://poeticeconomics.blogspot.com

Beyond Limits: Building Open Access Collections

Preconference to: British Columbia Library Association Conference 2007:

Beyond 20/20: Envisioning the Future April 19, 2007

Overview

- Open Access Status Update
- Why policy?
- Policy elements
- Research Funding Agency Policies
- Institutional Policies

http://www.doaj.org/doaj?func=home
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Sign the petitions:
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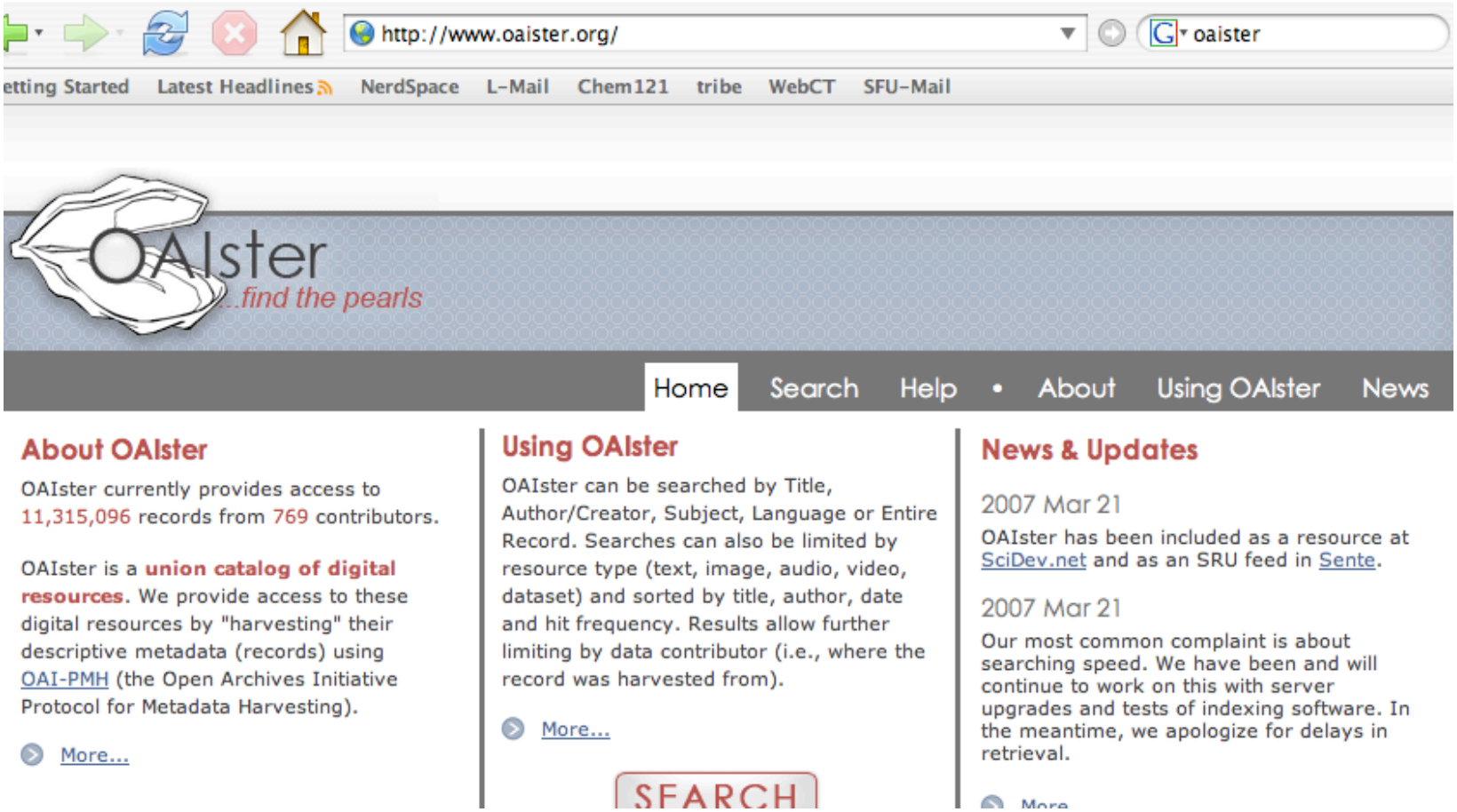
Petition to the European Commission to demonstrate support for Open Access - go to the petition site
Support the development and operation of DOAJ. Sign up for membership - go to the membership page.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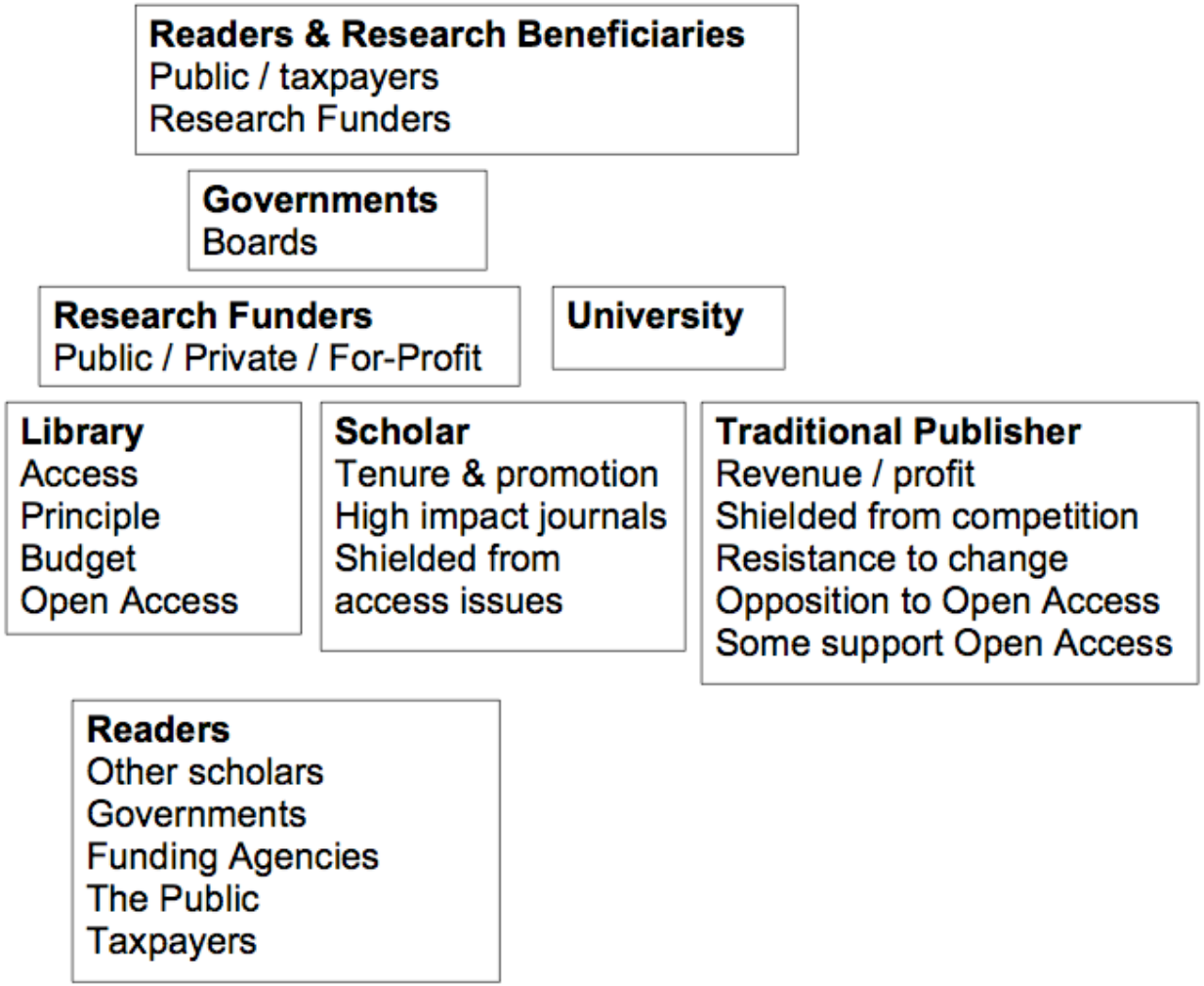
More...
SEARCH
News & Updates
2007 Mar 21
OAIster has been included as a resource at SciDev.net and as an SRU feed in Sente.
2007 Mar 21
Our most common complaint is about searching speed. We have been and will continue to work on this with server upgrades and tests of indexing software. In the meantime, we apologize for delays in retrieval.
More

Open Access Status Update

- Open Access resources significant
- Dramatic Growth of Open Access
- Open Access Archives well established
- Acquisition of content the primary issue (Weistrinen & Lynch)


Readers & Research Beneficiaries
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Research Funders
Governments
Boards
Research Funders
Public / Private / For-Profit
University
Library
Access
Principle
Budget
Open Access
Scholar
Tenure & promotion
High impact journals
Shielded from
access issues
Traditional Publisher
Revenue / profit
Shielded from competition
Resistance to change
Opposition to Open Access
Some support Open Access
Readers
Other scholars
Governments
Funding Agencies
The Public
Taxpayers

Why policy? Scholar / Researchers

- 1 in 10 fully understand open access (Researchers' use of academic libraries and their services)
- Over 80% of researchers would self-archive willingly, if mandated to do so (Swan & Brown, Vézina)
- Open Access Impact Advantage (Hitchcock)

Why policy? Funding agencies

- Maximum dissemination increases effectiveness of funding
- Open access advocates
- Wellcome Trust - *Open and unrestricted access to the outputs of published research* - key strategy

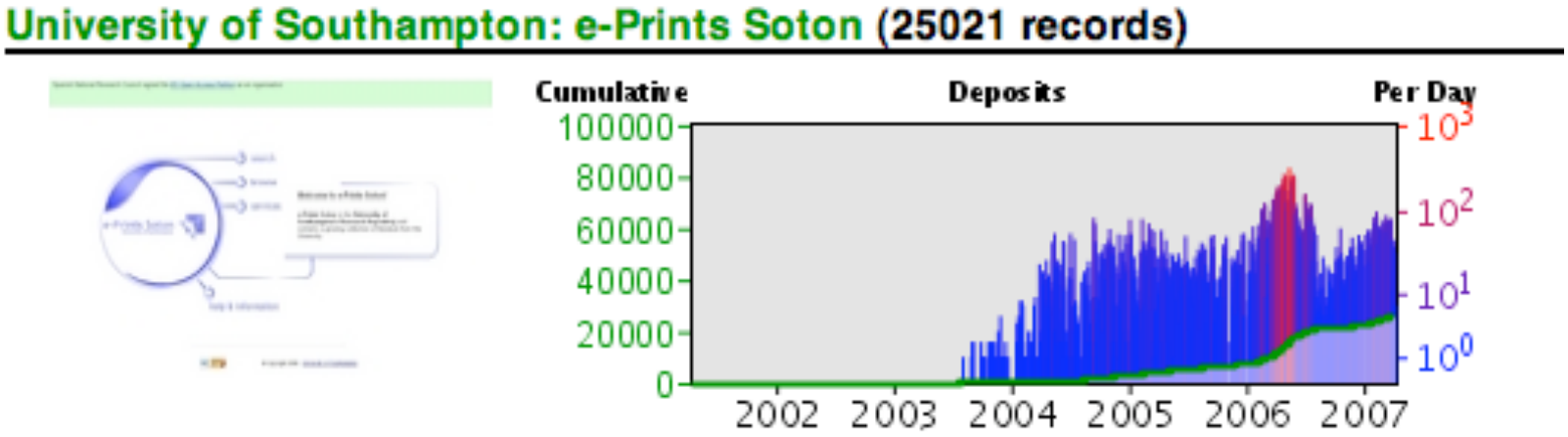
Why policy? Publishers

- Open Access Publishers
- Traditional publishers: focus on revenue / profit - many oppose OA
- STM, AAUP, ACS, ALPSP, ACS
- PR: Nature article: *PR's 'Pit Bull' Takes on Open Access*

Why policy? The Public Interest

- Speeds the research
- Direct benefits (you can read medical research)
- Indirect benefits (your doctor or nurse can read the medical research)
- Taxpayer rights

Why policy? It works!



Southampton (U.K.) - early adopter

From: Registry of Open Access Repositories (ROAR)

http://roar.eprints.org/

Why policy? Summary

- Open access in the best interests of the public, researcher funders & researchers
- Seat belt analogy

Policy Basics

- Requirement vs. encouragement
- “Green” or self-archiving
- Dual deposit / release
- Embargo period permitted
- Types of documents (articles, data)
- Funds for dissemination?
- Suber 2006

Funding Agencies - Policies

- Sherpa Juliet *Research Funders' Open Access Policies*

  http://www.sherpa.ac.uk/juliet/
- Over 20 funding agencies
- At least 10 countries: Austria, Australia, Belgium, Canada, China (data), France, Germany, Scotland, U.S., U.K.

Funding Agencies - Policies

- More than half are medical research funders
- Some in effect, others to come into effect in 2007 or 2008
- More under development (e.g. U.S. Federal Research and Public Access Act, Canadian Institutes of Health Research)

Funding Agencies - Policies

Strong philosophical support for open access

Medical Research Council U.K.

The MRC is a champion of "open access" publishing in science

Funding Agencies - Policies

- Require or encourage deposit in institutional or disciplinary repositories (usually PubMedCentral)
- Deposit of publications and/or data
- Allow embargo periods (6 - 12 months)
- May encourage open access publishing
- May support open access publishing fees

Research Councils UK

- Research Councils' Position Statement on Open Access
- Each council to develop own policy
- Arts & Humanities: Policy in Review
- Biotechnology & Biological Sciences: deposit required, earliest opportunity, respect existing publisher embargoes

Research Councils UK cont'd

- Economic & Social Research: deposit mandatory - publications & conference proceedings, UK data archive, publisher embargoes, from Oct. 1 2006
- Medical Research Council: deposit mandatory, earliest opportunity, no more than 6 months, in UK-PMC

Research Councils UK cont'd

- Natural Environment Research Council: deposit required, earliest opportunity, publisher embargo to be respected
- Science & Technology Facilities: deposit required, fulltext, also bibliographic metadata on publication, including link to publisher's web site, from Dec. 1, 2006

European Commission

- Petition for guaranteed public access to publicly-funded research
- Over 18,000 official (counted) signatories
- Over 14,800 researchers with a specified discipline
- 119 Rectors / Principals of Research Organizations
- 81 University Department Heads
- 34 international or national library organizations

European Commission: the petition

- The following actions could be taken at the European level: (i) Establish a European policy mandating published articles arising from EC-funded research to be available after a given time period in open access archives, and (ii) Explore with Member States and with European research and academic associations whether and how such policies and open repositories could be implemented.

European Commission

- Has adopted the heart of an OA mandate?
- Peter Suber:

  http://www.earlham.edu/~peters/fos/2007_04_08_fosblogarchive.html#117641592916413949

U.S. Federal Research Access Act (FRPAA)

- To be reintroduced this spring
- All U.S. Federal Funding Agencies with a research portfolio of over $100 million would be required to develop an open access policy
- Supported by over 130 US Higher Education Leaders
- More info: SPARC http://www.arl.org/sparc/advocacy/frpaa/index.html

Canada: SSHRC

- Funds research
- Subsidizes academic publishing
- Adopted Open Access in Principle April 2005
- Aid to Open Access Research Journals program: one-year bridge

Canada: Genome Canada

- Data Release Policy: expects funded researchers to deposit publications into PubMedCentral for open access within 6 months of publication
- Research data: not later than acceptance of results for publication
- July 1, 2005

Canadian agencies considering policy

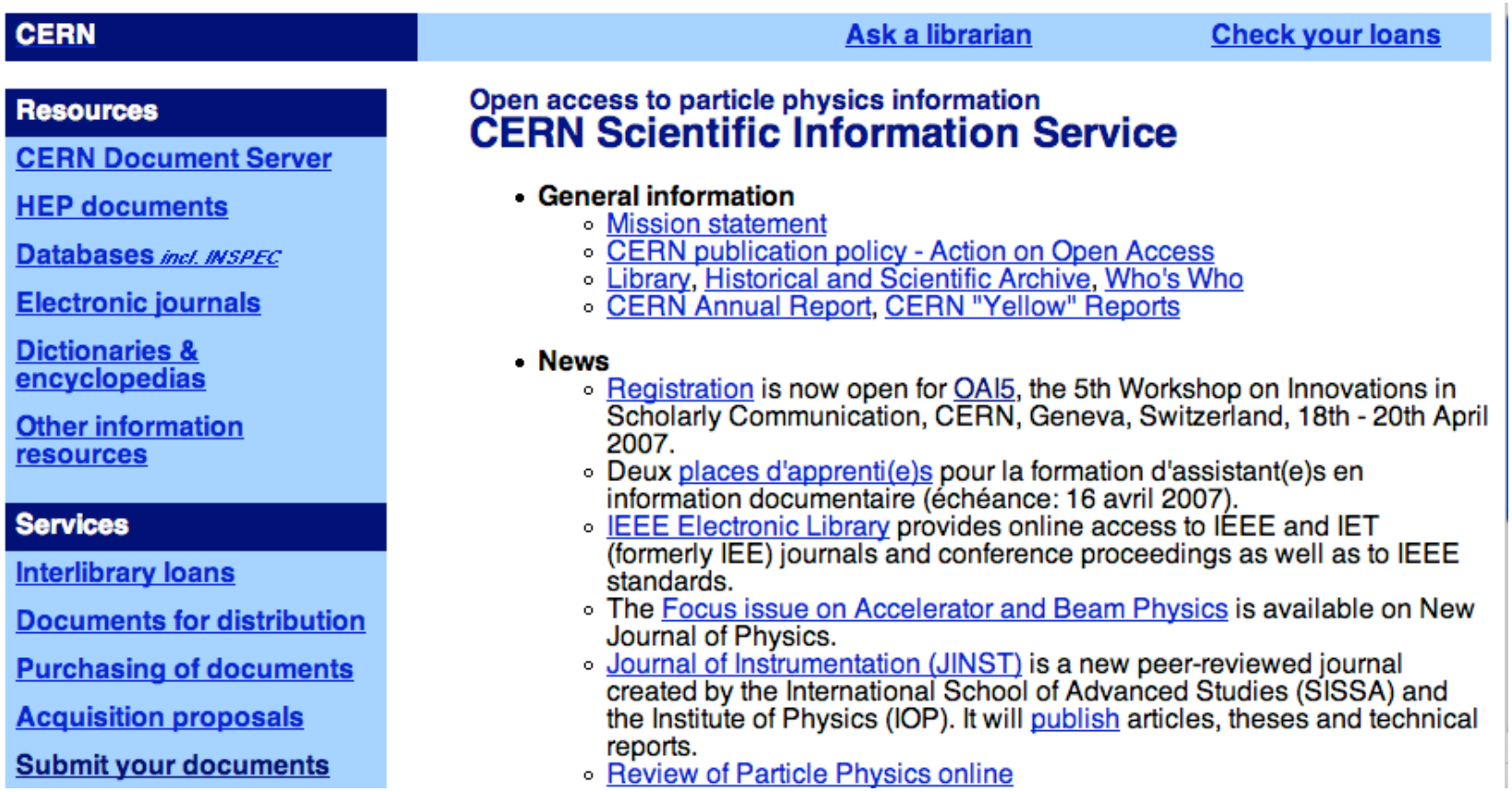
- Canadian Institutes of Health Research Draft Policy on Access to Research Outputs
- Canadian Breast Cancer Research Agency: open access archive, considering policy
- International Development Research Center IDRC / CDRI: open access archive to be launched April 24, with over 8,500 fulltext documents. Considering policy.

Institutional Policies

- Universities, research centres
- ROARMAP: Registry of Open Access Repository Material Archiving Policies
  http://www.eprints.org/openaccess/policysignup/
- (institutional and funding agency policies)
- April 2007: close to 40 policies registered
- 12 countries: Australia, Belgium, Canada, France, Germany, India, Netherlands, Portugal, Sweden, Switzerland, U.S., U.K.

Queensland University of Technology

CERN Library: Homepage
http://library.cern.ch/



CERN Action on Open Access

- Actively promote "author pays" OA
- Support new OA journals
- Support transition of existing journals to OA
- Enforce requirement to submit CERN papers to the CERN document server until there is total coverage
- Give the same a priori relevance that is attributed to refereed articles in traditional journals to refereed articles in the new OA journals.

Athabasca University

Athabasca University requests that academic and professional staff deposit an electronic copy of any published research articles (as elsewhere accepted for publication) in an Athabasca University repository. The contract with the publisher determines whether the article is restricted (lives in the repository as a record of the University's research but is not accessible online by searchers) or open access (accessible online by searchers).

Advocacy

- Canadian Association of Research Libraries
- BCLA
- CLA Task Force on Open Access
- Canadian Open Access Working Group

Summary

Open access policy development

- Early stages
- Happening around the world
- Funding agencies
- Governments
- Institutions

Questions?

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