### ASPECT AND CATEGORY IN OKANAGAN WORD FORMATION

by

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M.I.S., The University of Montana, 1987

# THESIS SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

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### Aspect and Category in Okanagan Word Formation

This thesis describes the role of aspect and category in the word formation processes of Okanagan, a Southern Interior Salish language spoken in north central Washington and south central British Columbia. The data come from field work conducted in 1992-1996 and the study of published and unpublished Okanagan texts. The goal of the thesis is to articulate what it is that Okanagan speakers know about lexical items that allows them to derive new words and inflect them properly.

In Chapter 1, I briefly introduce the concepts and assumptions of lexeme-based morphology. I explain the difference between bases, the basic vocabulary items of the language, and stems, the lexical items that are related to bases by word formation rules. Bases undergo derivational processes that produce a cluster of conceptually related stems; stems undergo inflectional processes that produce grammatical words. Chapter 2 provides an overview of Okanagan morphology and syntax. It concludes with a preliminary description of the derivational and inflectional word formation rules that I will discuss in the remainder of the thesis.

Chapter 3 contains a classification of bases in Okanagan. I describe four base classes which are ontologically defined. The bases in three of the four

classes encode either a temporal span or the potential to express a change of state. As a group these three classes denote prototypical situations. As situations, these bases have aspectual variants that are formed by the regular core of derivational rules. Bases in the fourth class denote atemporal entities. Unlike bases denoting situations, bases that denote entities do not form aspectual variants via derivation. In line with a major cross-linguistic pattern, Okanagan situations are grammatically coded as verbs, while entities are coded as nouns.

In Chapter 4, I use morphological and syntactic tests to demonstrate the grammatical differences between nouns and verbs in Okanagan. I discuss the areas of the grammar where the contrast between nouns and verbs is less than sharp, i.e. in predicate nominal constructions and nominalizations. Despite some overlap in syntactic function and morphological shape, nouns and verbs belong to distinct derivational and inflectional classes. All lexemes, whether bases or stems, have aspectual properties and category features that speakers use to properly derive and inflect words.

In Chapter 5, I review evidence that the form of a lexeme does not reliably classify it. Many key affixes serve multiple functions, and a single function may be marked by a variety of affixes, or no affix at all. The relatively poor fit between form and function in Okanagan word formation

suggests that base and stem classification is based on the speakers' knowledge of the ontological, semantic, aspectual, and categorial properties of bases and stems. This finding suggests that the Okanagan lexicon contains all the lexemes of the language, regardless of their morphological complexity. Furthermore, affixes do not have the status of lexemes, therefore they are not listed in the lexicon. Affixes are merely the formatives found in an autonomous morphological component that spells out the shape of a lexeme.

Although various works have explored the morphology and syntax of Salishan languages, this thesis is the first work wholely devoted to the organization of the lexicon of a Salish language. Lexeme-based morphology illuminates lexical patterns in Okanagan that morpheme-based morphology cannot, particularly in the area of derivation. Moreover, these patterns suggest a significant relationship between cognition and grammar that is crosslinguistically viable.

### Acknowledgments

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Thanks are due to all the members of my Committee, especially M. Dale Kinkade, Nancy Hedberg, and Donna Gerdts who guided me from the dissertation proposal through the defense. Donna Gerdts gave generously of her time, expertise, hospitality, and patience to see me through this project. I might not have finished without her encouragement and counsel during my moments of doubt. Charles Ulrich made many insightful comments on the penultimate draft of the thesis. Where my comma usage is correct, it is due to him. Anthony Mattina read early drafts, with particular attention to the Okanagan data. I am grateful to have had the benefit of his knowledge of Okanagan. All errors of detail and judgement are my own.

I also wish to thank six Okanagan women for their patience and friendship over the last four years: Hazel Burke, Delphine Derrickson, Elaine

Emerson, Clara Jack, Sarah Peterson, Millie Steele, and Jane Stelkia. Thanks also go to the En'owkin Centre (Penticton, B.C.) for creating the conditions under which I was able to spend two years living in the Okanagan Valley.

Those were halcyon days.

Because I wanted to complete my doctoral program without living apart from my husband and two children, I spent most of my research and writing time in our home rather than in a library or departmental cubicle. I thank my family for accommodating me whenever they could, even when I asked too much. This thesis is dedicated to Sarah and Joseph Mattina, who make difficult things worth doing.

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# List of Abbreviations

ABS	intransitive subject	LCS	Lexical Conceptual
ac	anticausative		Structure
art	article (determiner)	loc	locative
asp	aspect	mid	middle
caus	causative	neg	negator
con	continuative	neut	neut
cont	continuous	nom	nominalizer
conj	conjunction	OBJ	object of transitive
cs	case marker	otr	open transitional
ctr	closed transitional	p	plural
cust	customary	pcont	perfect continuous
dat	dative	perf	perfective
des	desiderative	pos	possessional
dis	distributive	POSS	possessor
ES	Event Structure	prfı	perfect
ev	evidential	prep	preposition
fact	factive	prt	particle
fut	future	ref	reflexive
dpr	deictic (pronoun)	S	singular
ERG	transitive subject	sb	subordinator
GEN	genitive subject	Sub	subject of predicate nominal
imp	imperative	Trans	transitive
Intr	intransitive	UnPOSS	unrealized possessor
interr	interrogative	UnSub	underspecified transitive
irr	irrealis		subject
lc	limited control	Vi	intransitive verb

### Chapter 1. Background

1.0 Introduction. This thesis is an investigation of the relationship between aspect and category in the formation of words in Okanagan Salish. It is motivated by the observation that although Okanagan has a rich supply of free and bound morphological formatives, the combination of these within a word is sharply limited. How does an Okanagan speaker know what combinations are possible? In the chapters to follow I demonstrate that word formation is constrained by the inherent and grammaticalized aspectual and categorial features of lexemes. These features are best discovered in a lexemebased morphological framework such as that developed in Matthews 1972, Beard 1987, 1993, 1995, Zwicky 1990, Anderson 1992, and Aronoff 1992, 1994. In a lexeme-based model of word formation, the lexeme contains all of the lexical semantic information that conditions derivation and inflection. Thus, the lexeme provides a single locus for the sometimes complex (and interdependent) sets of semantic features that play a role in word formation. A morpheme-based approach, by contrast, scatters this information across the lexicon in the subcategorization frames of affixal morphemes. In lexeme-based morphology, an affix is merely the formative that an autonomous morphological component associates with a word formation process.

Okanagan is a language spoken in north-central Washington state and south-central British Columbia. There are approximately 500-1,000 predominantly adult speakers of varying fluency. Speakers who live in the United States refer to the Okanagan language as Colville, but there are only minor dialectal differences between Okanagan and Colville. Okanagan is classified with six other Salishan languages as Interior Salish. It is more narrowly classified as Southern Interior Salish along with Coeur d'Alene, Moses-Columbia, and the Spokane-Kalispel-Flathead language continuum. Recent comparative work on the Northern Interior subgroup suggests that grammatical patterns even within a subgroup may show surprising variety (Gardiner et al. 1993, Demirdache et al. 1994). Therefore, the results of this investigation of Okanagan should not be taken to imply uniformity within the Southern Interior subgroup. A thorough comparative and historical study of aspect, mood, category, and word formation in Southern Interior Salish remains to be done.

There is a substantial amount of published literature on Okanagan produced by a small number of analysts. Donald Watkin's dissertation (1970)

<sup>&</sup>lt;sup>1</sup>My fieldwork was carried out primarily in British Columbia, which leads me to refer to the language as Okanagan. The language is often referred to in the linguistic literature as Colville-Okanagan or its abbreviation, CvOk. The members of the Colville Language Preservation Program in Omak, Washington refer to CvOk as *nsylxcín* or *nsəlxcín*.

focussed on the phonology of the most northerly Okanagan dialect known as Head-of-the-Lakes Okanagan. Yvonne Hébert (1982a, 1982b, 1983) carried out morphosyntactic work on a western dialect (Nicola Valley). She produced an a dissertation on its syntax in the Relational Grammar framework and articles describing aspectual and lexical categories. Anthony Mattina began work on Okanagan in 1968, first producing a grammatical sketch of it in 1973. He has since edited and published a long analyzed text by Colville speaker Peter Seymour (A. Mattina 1985), a Colville-Okanagan dictionary (A. Mattina 1987), and several dozen notes and articles on Okanagan grammar and narrative practices. The En'owkin Centre, a cultural and educational center developed and staffed by Okanagan tribal members in Penticton, B.C., has begun to publish pedagogical materials developed by local Okanagan speakers and A. Mattina (e.g. En'owkin 1993, 1994).

Few other languages of the Southern Interior have been so well studied. The critical mass of data and analysis provide an excellent opportunity for the study of the lexicon and word formation. The data on which this thesis is based come from published materials and from my own fieldwork with speakers in the Okanagan Valley of British Columbia. My primary consultants have been Sarah Peterson (Similkameen Band), Jane Stelkia (Osoyoos Band), Delphine Derrickson (West Bank Band) and Clara Jack (Penticton Band). Colville

speakers Mildred Steele (originally from Vernon, B.C.), Hazel Burke, and Elaine Emerson, all of Omak, Washington, have also provided me with data. Field studies were conducted chiefly between September 1992 and June 1994, and more sporadically since that time.

My overall goal in this thesis is to add to what is already known about Okanagan. In particular, I wish to be more explicit about the organization of the Okanagan lexicon and what is recorded there, although I do not promise an exhaustive, completely integrated account. Because my account is couched in the assumptions and terms of lexeme-based morphology, it presents a challenge to the morpheme-based approach to word formation. The primary assumptions of the morpheme-based model are these: 1) that the morpheme exhibits a regular correspondence between form and function, i.e. morphemes are linguistic signs, 2) that words are exhaustively parseable into constituent morphemes, and 3) that free and bound morphemes alike are listed in the lexicon. These assumptions, articulated in Bloomfield's classic monograph Language (1933), are evident in recent influential studies in theoretical morphology including Selkirk 1982, Di Sciullo and Williams 1987, Baker 1988, and Lieber 1992.

The morpheme-based approach is well established in the Salish literature. It has yielded a wealth of data and analysis that provides the new

student of Salish with fundamental insights into these languages. However, as the literature on Salish has grown, the opportunity to ask new questions has also increased. My study of Okanagan has led me to ask if the morphemebased approach is the best model of word formation for this language. For example. I find that a morpheme-based analysis of Okanagan does not reflect the extent to which form diverges from function, i.e. in cases where an affix has multiple functions, or, when a function has phonologically diverse markers. In addition, the morpheme-based approach directs our attention to the etymology of words rather than to their status in an active grammar. That is, the morpheme-based approach allows linguists to parse a word using historical and comparative information. However, as historical and comparative analysis is not available to a child learning Okanagan, the linguist's post hoc analyses do not explain the synchronic, language-specific basis of word formation. Many morphemes have been identified in Salish; now the question is whether or not we can **predict** their distribution as a child might.

Finally, the morpheme-based approach tends to obscure the general organization of the lexicon, because it focuses our attention on individual affixes rather than on sets of derivational phenomena. The high level of interest shown by Salishanists in the identification of morphemes may explain why little attention has yet been paid to the workings of the Salish lexicon and the

existence of an independent morphological component. As Spencer (1993) observes, if we do not look for specifically morphological principles, we will never find them.

While I find that lexeme-based morphology is an insightful approach to the study of word formation, this thesis is not a theoretical defense of lexeme-based morphology. I do not argue for all the claims of any one version of lexeme-based morphology, nor do I rigorously pursue all its implications.

Rather, I use some common assumptions of lexeme-based morphology to help me integrate the aspectual and categorial facts of Okanagan word formation.

An important result of this approach is that it reveals that the lexicon is not 'incredibly boring' or lawless, as Di Sciullo and Williams (1987:3) have argued. This finding, I believe, opens new areas of discussion that will potentially enrich our understanding of Salish morphology, syntax, and semantics.

1.1 Lexeme-based morphology. The goal of lexeme-based morphology is to describe the formal and grammatical relationship between a lexeme and its realizations. In so doing it employs concepts and a vocabulary that differ slightly from that of morpheme-based morphology.<sup>2</sup> In the

<sup>&</sup>lt;sup>2</sup>In fact, morphological labels are frequently polysemous. I have developed my own morphological vocabulary for Okanagan, in an effort to follow as closely as possible the traditional Salishan labels.

following sections, I define the basic vocabulary (section 1.1.1), and give a brief overview of the assumption of lexeme-based morphology as a model of word formation (section 1.1.2.)

1.1.1 Definition of terms. Matthews (1972:22) first proposed the term lexeme to denote the 'fundamental unit...of the lexicon', as abstract and dual in nature as its terminological counterparts the phoneme and morpheme. The lexeme is an abstraction of the common elements in sets of word like Latin amo 'I love', amas 'you love', amavi 'I have loved', and amatur 's/he is loved'. This abstract 'word' is captured in various lexicographic conventions including the infinitive (Romance languages), the root (Sanskrit), and first person singular present indicative (Latin and classical Greek) with the understanding that many, if not all variants of the lexeme are suggested by one form of the lexeme. However, the lexeme is not itself a form but rather

...a (potential or actual) member of a major lexical category, having both form and meaning but being neither, and existing outside of any particular syntactic context. (Aronoff 1992:14)

The lexeme, then, is a psychological entity; a full definition of it should include psychological and grammatical elements. The lexeme is the semantic core of a word, which means that it contains enough information to realize the derivational and inflectional categories marked in a language. It is not itself marked for all such categories in every realization, but it shares a core

meaning with each of its realizations. Although grammatical tests can serve to distinguish lexemes from non-lexemes, it is often the case that we must rely on speaker intuition and our theoretical assumptions to make the distinction.<sup>3</sup> For my descriptive purposes here, I assume that lexemes, like words, are psychologically significant to Okanagan speakers.

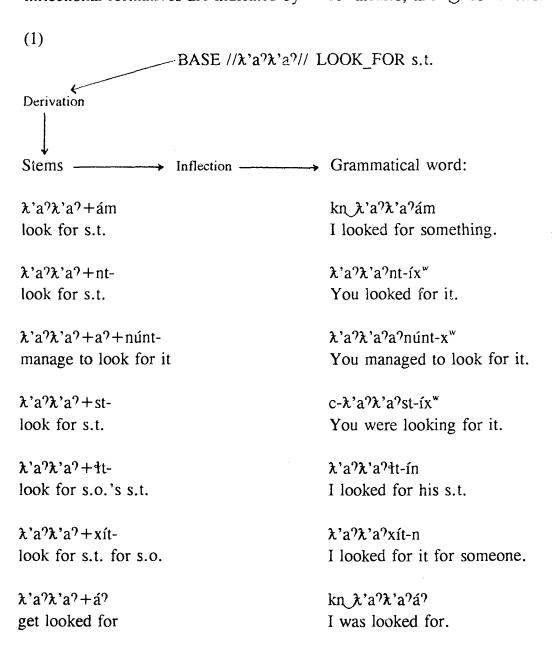
In this thesis, I will refer to the basic, underived lexemes of Okanagan as bases. Bases are the simplest lexical items of the language; they are parallel to English root words. Each base is related by word formation rules (WFRs) to one or more stems. Stems are derived lexemes, or derivates, that are semantic alternants of the base. Stems that are related to a single base are alternants. The WFRs that produce stems from bases are derivational rules. Stems may be inflected (via inflectional WFRs) to produce grammatical words. The morphological material that marks a derivational or inflectional category is referred to as a formative. Formatives in Okanagan are either affixes (including reduplicative skeletons) or clitics. S

<sup>&</sup>lt;sup>3</sup>For a detailed argument that affixal morphemes differ from lexemes in form, function, organization, and operation, see Beard 1987.

<sup>&</sup>lt;sup>4</sup>The term *root* has a special use in Salish linguistics (see section 1.2) that does not correspond well to the morphological unit I call the base. In the cross-linguistic literature, the term *base* usually denotes any form to which morphological operations apply. I use *base*, as defined in this section, to denote an entity that would be considered a root in English.

<sup>&</sup>lt;sup>5</sup>There may also be evidence for morphologically-related ablaut (A. Mattina p.c.).

The relationships between bases, stems and grammatical words are depicted in (1) using the Okanagan base  $//\lambda$ 'a $^{\gamma}\lambda$ 'a $^{\gamma}/$  LOOK\_FOR s.t. (Henceforth, bases are given in double slanted brackets and their glosses are in upper case letters.) Derivational formatives are indicated by '+' boundaries; inflectional formatives are indicated by '-' for affixes, and ',' for clitics.



kn səc-λ'a<sup>γ</sup>λ'a<sup>γ</sup>míx I am looking for it.

 $\lambda'a^{\gamma}\lambda'a^{\gamma}+mix$ 

look for s.t.

In the vocabulary of this thesis, derivation produces versions of the base (i.e. stems) while inflection produces versions of the stem (i.e. grammatical words). The relationships between bases, stems, and grammatical words are understood in the context of several assumptions of the lexeme-based morphological model I adopt here. I discuss these in the following section.

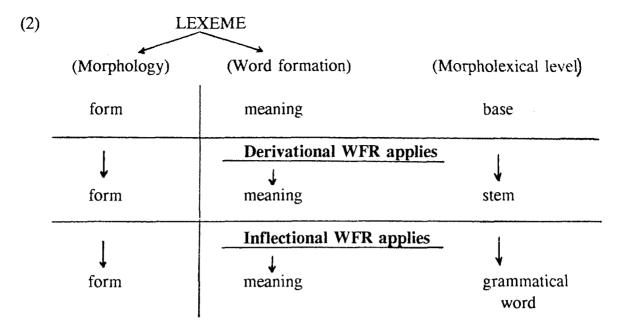
1.1.2 Assumptions of the morphological model. The data in (1) depict the semantico-syntactic connections between bases, stems, and grammatical words. It does not assume that semantico-syntactic features are isomorphic to phonological forms. Rather, the morphological spell-out of a lexeme (in any of its realizations) is essentially free of semantico-syntactic information. This conception of the relationship between morphological form and meaning is known as the Separation Hypothesis (Beard 1987, 1993, 1995, Aronoff 1994). The indirect relationship between form and meaning allows for the possibility of forms without meaning (empty morphs), forms with multiple meanings (polyfunctionality), and multiple forms with a single meaning (functional underspecification). Examples of these phenomena are not hard to find cross-linguistically (see Beard 1987, Aronoff 1992, Anderson 1992, and sources cited therein).6

<sup>&</sup>lt;sup>6</sup>English provides several examples of affixal polyfunctionality, e.g. the suffixes -ing, -s, and -en. Aronoff (1992) cites the example of the English perfect participle (as in had eaten) and passive participle (as in was eaten) which have the same form but are not semantically or syntactically related. He

The frequency of these phenomena in Okanagan (and other languages) suggests that there is an independent mcrphological component that is concerned with form alone, which works with the semantico-syntactic word formation component.<sup>7</sup> The separation of form from meaning in word formation is schematized in (2).

points out that perfect participles and passive participles are used interchangeably. If a speaker produces a new passive participle s/he will also use the new form as the perfect participle (e.g. bit and bitten). This suggests that there is a single stem form which undergoes two word-formation rules-perfect participle formation and passive participle formation. Aronoff has also demonstrated that the three basic stem types of Latin realize morphosyntactic categories in a less than semantically systematic fashion (Aronoff 1992:28). Beard 1987 notes that in the morphologically rich Amerindian language Kiowa, polyfunctionality is the rule rather than the exception. Finally, the commonplace of affixal polyfunctionality was noticed in Coeur d'Alene by Gladys Reichard (1938:588), who wrote: 'Coeur d'Alene, like other languages, once it developed or adopted a device seems to have worked it overtime, and so it is with the prefix s-'. I discuss several cases of affixal polyfunctionality in Okanagan in Chapter 5.

<sup>&</sup>lt;sup>7</sup>Beard (1987) argues that morphological rules operate in the morphological component, while derivational rules operate in the lexicon. Under this view, affixation is the morphological spell-out that takes place after all derivational and inflection WFRs have applied. This differs from the 'split morphology' approach which places derivational rules and affixation in the lexicon and inflectional rules and affixation in the phonological component. At this time, I see no reason to argue that affixation takes place in two components of Okanagan grammar.



As depicted in (2), WFRs mediate the morpholexical relationships between bases, stems, and grammatical words. I assume that WFRs are of two different types: derivational and inflectional. Derivational rules affect the lexical representation of a base prior to insertion in phrase structure. They do this by altering either the lexical conceptual structure (LCS) (the semantic definition of a lexeme) or the lexical features (such as category and inherent aspect) of a lexeme. Lexical-derivational processes tend to show lexical gaps, sensitivity to narrow semantic constraints, reanalysis, and irregularity of expression.

<sup>&</sup>lt;sup>8</sup>The key issue in the derivation vs. inflection debate is how to define the two types of processes cross-linguistically (Anderson 1985). Internal to most languages, the two types are usually distinguishable, but when comparing a large number of languages, it has proven difficult to abstract universal features. As pointed out by Anderson (1992), the theoretical implications of the derivation/inflection distinction have not yet been fully identified.

Unlike derivational WFRs, inflectional WFRs are structure-preserving in the sense of Emonds 1976: they may add features to a lexeme, but they do not alter existing feature specifications. For example, a stem that is lexically marked as a noun cannot be converted to a verb by an inflectional rule. In Okanagan, inflection marks syntactically relevant categories such as person, grammatical function, and sentential aspect. The addition of this kind of information to a stem does not alter the basic meaning of the stem, and it is completely regular, regardless of the stem meaning. As inflectional formatives never occur 'inside' derivational formatives in Okanagan, I assume that inflectional rules apply after derivation.<sup>9</sup>

Another important assumption of lexeme-based morphology is that word formation processes cluster into derivational and inflectional paradigms.<sup>10</sup>

Assuming that all paradigms are logically closed, we shall see that the shape of a formative associated with a WFR is less important than the fact that a paradigmatic slot is filled. The paradigmatic nature of both derivation and

<sup>&</sup>lt;sup>9</sup>In lexeme-based morphology, as in other models, a rule can apply only where its conditions are met. Since only lexemes inflect, all lexeme formation must take place before inflection, hence the ordering of all derivation before inflection.

<sup>&</sup>lt;sup>10</sup>Paradigmaticity, like productivity, is not restricted to inflection (see Anderson 1992, Beard 1987, Bybee 1985, and Spencer 1991 for dicussion). If by a paradigm we mean the set of forms that realize a set of functional categories, then a derivational phenomenon such as category conversion (e.g. V → N) is paradigmatic in the same way that tense is in English. That is, we expect that certain stems will be formed, even if those forms are suppletive.

inflection begins to explain why many of the affixal combinations that might exist in Okanagan never occur. This is because there are many fewer paradigms, and WFRs within them, than there are affixes.<sup>11</sup>

Finally, lexeme-based morphology supports a view of the lexicon as a rule-governed domain of knowledge. Although the lexicon includes a vast store of idiosyncratic data, having a lexicon also means having 1) lexical rules that generate and relate lexical items, 2) principles of storage and retrieval, and 3) linking rules (or principles) that guide lexical insertion and argument realization. I assume that the lexicon includes all the lexemes of a language, regardless of their formal complexity, and that a word need be created only once before it can be stored as a lexeme. The lexicon is therefore, in part, a database that allows speakers to produce a word by looking it up. It is also generative in that it allows speakers to construct new words from basic or

<sup>&</sup>lt;sup>11</sup>Spencer (1991:181) cites the work of Fabb (1988) to illustrate the magnitude of the descriptive problem of co-occurence restrictions among affixes. Fabb selected 43 commonly occuring English derivational suffixes and calculated that there are 1,849 possible pairings. Allowing for restrictions on category, phonology, and level-ordering, the potential pairings reduce to 459. The number of attested pairings is close to 50. The implication is that factors other than subcategorization and rule ordering constrain derivation, an implication that casts doubt on the descriptive adequacy of non-paradigmatic approaches to morphology.

<sup>&</sup>lt;sup>12</sup>This does not mean that each newly created word is necessarily stored. Speakers can coin a word for a particular occasion, and never use it again. Bybee (1985) advances the notion of 'lexical strength' to explain this option.

derived lexemes by rule, analogy, or a host of non-grammatical means (Anshen and Aronoff 1988).

1.2 Lexemes, roots, and affixes. The term root has special meaning in Salishan studies, where it is used to designate morphemes of the shape CVC (or more rarely CVCC or CCVC) that accept derivational and inflectional formatives. Under this view, a root morpheme must have a certain shape, as well as certain semantico-syntactic features that allow it to participate in word formation. Salishanists traditionally segment words into affixes, free roots, and bound roots. The latter are known widely as lexical affixes, morphemes that are 'root-like in meaning and trace historically to free forms' (Carlson and Bates 1990). 13 Lexical affixes are indicated by '=' in morphemic analyses, as in sy-sy=us 'powerful' and mal=qn=ups '(American) eagle'. Thompson and Thompson (1992) note that in Thompson Salish a large proportion of Thompson words are complex, containing at least one root and one or more affixes. This is probably true across the Salish family.

Because of the importance of the canonical (C)CVC(C) shape in defining Salish roots, we cannot equate the Salishan *root* with the general term *lexeme*, as we can in many other languages. The most obvious reason for this is that there are many lexemes that do not have the canonical shape of a root.

<sup>&</sup>lt;sup>13</sup>Not all Salishanists agree that lexical affixes derive historically from free forms. For example, see Hagege 1976 and sources cited there.

These are forms that are wholly or partially unanalyzable by speakers, but which speakers nevertheless recognize as words. Some of these are listed in (3).

(3)			
captík <sup>w</sup> l	tell Indian fairytales	q <sup>w</sup> á <sup>c</sup> lži	gills
siw4k <sup>w</sup>	water	sqwlenink	stomach
cpłan'	eyelash	k'twnlsmist	think that one cannot
ka <sup>9</sup> tís	three	tr'íw'ya <sup>9</sup> m	be under a curse
skmxíst	black bear	nłxwp'mitkw	dive into water
<sup>?</sup> a <sup>?</sup> úsa <sup>?</sup>	egg	nkt'kt'us	cut off s.o's head
<sup>9</sup> ickn	s.o. play	<sup>9</sup> ayxáxa	in a little while
<sup>9</sup> ácqa <sup>9</sup>	s.o. go out	ntqtqána <sup>9</sup>	deaf
ny <sup>e</sup> 'ip	always, still	xarkstm	waste s.o.'s time

Personal, animal, plant, and place names are commonly larger than the canonical root shape. Other stems involve a root-like form, but have no unreduplicated form, as in  $x^w \partial x^w \partial t$  'alive', c'iqc'qt 'Engelmann spruce', and  $c'q^w aq^w$  'cry'. There are also 'cranberry' morphemes such as the putative roots in  $\sqrt{k}\partial n + x\hat{u}t$  'help s.o.',  $\sqrt{cr't} = ups$  'fisher',  $\sqrt{lm} = ilx^w$  'another people, tribe'. The roots in these and many other words lack free forms or other derivates.

While the canonical Salish root is phonologically prominent, as evidenced by stress, reduplication and infixation patterns, it is not clear that it plays the role in synchronic word formation that has been attributed to it.

Thompson and Thompson (1992:48), for example, define the root as 'the

<sup>&</sup>lt;sup>14</sup>Roots in Salish are traditionally indicated by the '√' symbol.

HEAD or central morpheme' in complex words. Roots, under this view, have argument structure, which the Thompsons describe as 'fundamentally intransitive'. In line with this general understanding of the root as the core morpheme of a word, Thompson and Thompson have decribed word formation as proceeding from the root, constrained by the lexical properties of the root. While at first glance this appears to be true, there are many cases where the meaning of the word is marginally connected (if at all) to the meaning of the root. Moreover, the root-and-affix analysis misses the fact that speakers recognize some strings of segments as words regardless of their putative morphological complexity. In deriving word meaning from the lexical properties of the root, if it often difficult to state just what lexical properties a particular root has. The forms in (4) exemplify the range of semantic alternations that a root-and-affix model of word meaning has to account for, morpheme by morpheme. 15

<sup>&</sup>lt;sup>15</sup>The root-and-affix model has been wedded to morpheme-based morphology in practice if not in theory. That is, roots and affixes are usually treated as **things** that are concatenated according to their semantic appropriateness. This implies that multi-morphemic words are the sum of their parts, semantically speaking. The problem with this approach is that in the majority of cases, word meaning is not the sum of root and affix meaning.

### (4) $\sqrt{\lambda}$ 'a?

 $\lambda'a^{9}+nt$ fetch s.t.  $\lambda'a^{9} + \lambda'a^{9} + nt$ look for s.t.  $k+\lambda'a^{9}+\lambda'a^{9}=ina^{9}$ look over, across s.t. λ'a<sup>γ</sup>=sqáxa<sup>γ</sup> get a horse  $\lambda'a^{2}\lambda'a^{2}=$ ús open-eyed  $k+\lambda'a^{\gamma}=ip!a^{\gamma}+nt$ cause problems for s.o.  $n+\lambda^2a^9=s=iw^3+nt$ look for s.t.  $\lambda'a^{9} + \lambda'a^{9} = \text{uis} + \text{mn} + \text{nt}$ look for s.t.  $\lambda'a^{9} + \lambda'a^{9} + \min + nt$ look for s.t.  $k'+\lambda'a^{\gamma}+nt$ be jealous of s.o.  $n+\lambda'a^2+\lambda'a^2+us+tn$ eyesight  $s+\lambda'a^{\gamma}+c$ inm deer  $\lambda'a^{\gamma} + \lambda'a^{\gamma} + \min$ detector  $k+\lambda'a^{\gamma}+ncút+(t)n$ a seeker, searcher  $s + \lambda' a^{\gamma} + mix + a^{\gamma}x$ a keeper, one who keeps

It is difficult to establish any essential meaning for the root  $\hbar$  'a? even though there are hints of one. In my view, the stems in (4) are related in the same way that the words *native*, *nation*, *natal*, and *nativity* are in English. The relationship is primarily of historical interest. These words are etymologically related, but each is a separate lexeme and not related by a synchronically productive word formation rule. Synchronically, there is little evidence to derive all of these forms from a single root. <sup>16</sup> How, for example, might we make lexical semantic and syntactic connections between  $s + \frac{1}{2}a^2 = c(n + m)$  'deer' and  $k + \frac{1}{2}a^2 = c(n + m)$  'cause problems for s.o.' in a morpheme-based analysis?

<sup>&</sup>lt;sup>16</sup>In Okanagan, roots occur with affixes that are said to either 'transitivize' or 'intransitivize' the root. Intuitively, the generalization that suggests itself is that roots are unmarked for transitivity. To my knowledge, no other work in Salish has pursued this possibility.

Consider their putative internal structure. In (5), I gloss each morpheme in these two words by analogy with other occurrences of the morphemes.

- (5) a. s+\lambda'a?=c\(\hat{n} + m\) 'deer' nominalizer-√fetch=mouth-middle(?)
  - b.  $k+\lambda'a^{\gamma}=ipla^{\gamma}+nt$  'cause problems for s.o.' person- $\sqrt{fetch}$ -handle-transitive

The morpheme-by-morpheme glosses in (5) reveal little about the meaning of the words, or about their derivational and inflectional class. A sophisticated speaker might offer meanings for some of these morphemes, but he still needs to know, in addition, what the word means and how to inflect it. As far as derivation and inflection are concerned, there is no relevant semantic structure within the base.<sup>17</sup> We can indicate this by bracketing the base as in (6), or eliminating the internal boundary markers entirely.<sup>18</sup>

<sup>&</sup>lt;sup>17</sup>Chierchia and McConnell-Ginet (1990:368) note that 'the linguistically specified meaning of a word often goes beyond what is determined by the interpretation of its constituents and their structural relations'. Some words seem straightforwardly compositional, or *transparent*, while others are less so. Chierchia and McConnell-Ginet coin the term *translucent* for those words that are partly compositional (as in English *likeable* and *washable*). I chose the data in (5) to exemplify the common non-transparent type in Okanagan, although transparent and translucent words occur. As Chierchia and McConnell-Ginet warn, it is tempting, but ultimately inadequate, to generalize about word formation on the basis of transparent words only.

<sup>&</sup>lt;sup>18</sup>In this thesis I usually dispense with the base-internal boundary markers unless they are relevant to the discussion. This practice serves to highlight the derivational and inflectional markers.

(6) a. 
$$[s+\lambda'a^{\gamma}=c(n+m)]$$
 'deer'

b. 
$$[k+\lambda'a^{9}=ipla^{9}]+nt$$
 'cause problems for s.o.'

Many phonological roots occur in a large array of words that are not straightforwardly derived from a core meaning. In (7)-(9), I give additional examples of this phenomenon.

(7) √t'k'<sup>w</sup> t'k'"+nt put s.t. down t'k'\*=íw's+nt put s.t. in the middle t'k'\*=w's=íkst+nt carry s.t in one's arms  $k^{4}+t^{2}k^{2}+nt$ place s.t. on  $k+t'k''=ina^{9}+nt$ put s.t. on top k'+t'k''+ntput s.t. under  $k^{4}+t^{\prime}k^{\prime}=itk^{w}+nt$ float s.t. n+t'k''=ikn+ntput s.t. on one's back put s.o. (on a horse) k+t'k''=iw's+ntn+t'k'\*=ki?=sqáža? saddle a horse n+t'k'''=iltbe pregnant s+t'k''=ilpmattress  $s+t'+t'k''=ila^{9}p$ pillow, cushion n+t'k' mín coffin

cot

saddle

s+n+t'+t'k''+ncút+(t)n

n+t'k'''=ki''=sqáxa''+tn

(8) √piq white piq piq=sxn silver coins  $s+p\acute{a}+pq=4c'a^{\gamma}$ weasel (in winter) s+pq=mixswan pq+m=áya?=qn gray-haired person k + piq = lpspalomino horse n+paq=s cataract, be white-eved pq+l=qín bald eagle pq+pq=qín' whiskey jack

# (9) √tq

tqamtouch s.t.k+tq=ina?press downk'i+tq+ncut+mprotect oneselfn+tq=qin+ntvote for s.o.k'i+tq+apbe worriedtq+q+t=w's=aqst=xncross one's legstq+q+nuntfool s.o.

The view that roots and affixes are morphemes requires us to be able to state very precisely the meaning of a root and the meaning or function of an affix (Spencer 1991). The data above show that the meaning of a root changes with its context. This means that roots do not meet the condition that a lexeme be a context-free vocabulary item. The context-bound nature of a root cannot be explained by appealing to the semantic contribution that affixes make to a word. Affixes in Okanagan are frequently polyfunctional, or make no apparent semantic contribution to a word. For example, the prefix *s*- appears on some derived nouns and therefore is in some cases associated with a nominalizing

WFR. However, s- seems superfluous on other stems where another nominalizing suffix appears.

(10) a. 
$$s+\sqrt{qiy}$$
's dream nom+dream

b. 
$$s + \sqrt{tx + min}$$
 comb  
nom+comb<sub>y</sub>+nom

c. 
$$nik'+mn$$
 knife  $cut_V+nom$ 

d. 
$$s+n+\sqrt{t'}=ula^{\eta}x^{w}+tn$$
 plow  $nom+loc+rip_{\eta}=land+nom$ 

If affixes can be semantically empty, as the s- appears to be in (10b) and (10d), then they are even more context-sensitive than roots. <sup>19</sup>

Even when an affix appears to have a lexical meaning, the meaning differs in unpredictable ways from stem to stem. The suffix -tn commonly occurs on nouns that are instruments (e.g. (10d) and (10e)) but its meaning in (11) and (12) is idiosyncratic.

(11) 
$$[k'''l'+ncut+(t)n]$$
 Creator

(12) 
$$[k'+cut+(t)n]$$
 proper behavior (COD11)

<sup>&</sup>lt;sup>19</sup>Beard (1987) argues that one of the key differences, in principle, between lexemes and affixal morphemes is that affixal morphemes can be phonologically null, but lexemes never are.

In fact, (11) and (12) also illustrate the idiosyncratic use of another affix, the suffix  $-(n)c\dot{u}t$ . This suffix marks predicates as reflexive, as part of a regular derivational rule.

(13) kn xcmn+(n)cút I got myself ready.

The reflexive interpretation is clear with certain base types. In (11) and (12), however,  $-(n)c\hat{u}t$  serves no apparent grammatical or semantic function. <sup>20</sup> It has been lexicalized in the words  $k^{**}l'ncutn$  and k'tcutn. Examples such as these show that affixes lead a double life. They mark the operation of a regular, grammatical word formation rule in one word. In another, they are idiosyncratic and synchronically irrelevant. As a result, not all words can be exhaustively parsed into constituent morphemes using sound-meaning correspondences. <sup>21</sup>

It may be possible to associate roots and affixes with highly abstract semantic primitives that interact to produce the semantics of the stems. But any

<sup>&</sup>lt;sup>20</sup>A. Mattina (1994) exemplifies the idiosyncratic behavior of two other verbal suffixes, -min and -mist. The data he provides demonstrate how difficult it is to maintain a correspondence between the form of an affix and a single, putative function. Mattina concludes that 'the internal structure of the base is irrelevant' (p.228). I note that Hébert 1982a was unable to distinguish a class of roots that could combine with -mín, a fact which reinforces A. Mattina's view that

<sup>[-</sup>min] is a derivational affix that attaches to certain bases, not to others. All such derived -min bases are lexical entries.

<sup>&</sup>lt;sup>21</sup>Okanagan has subgrammatical means of word formation (such as backformation, blending, reanalysis, and folk etymologizing). I will not describe these idiosyncratic, sporadic phenomena here.

strictly compositional account such as that required by the root-and-affix model has also to answer why if s+pq=mix is analyzed as 'nominalizer-whitecreature' or 'nominalizer-it is being white', spamix refers to a swan and not a snowshoe hare or weasel in winter. Note also that pq+l=qin might be analyzable as 'white-on-head', but pqlqin refers only to the bald eagle, and not to white-haired people or seagulls. In the case of the root /t'k'w, we might ask why n+t'k'''+min is something (of a particular shape and dimension) one lies in for the purpose of burial, and not any instance of 'something one lies in'. In short, these words have the denotational integrity that we associate with lexemes. Roots and affixes have meanings by virtue of their place in a word, contrary to what we would expect if they were lexical items. Lexemes, by contrast, are typically context-free signs that show a high degree of soundmeaning correspondence, whatever their putative internal constituency.

Salishan roots, as traditionally defined, are not relevant to synchronic semantico-syntactic relationships between underived lexemes and their derivates. Therefore, I will not analyze Okanagan words in terms of roots. Similarly, affixes that have been lexicalized in a lexeme are also irrelevant to synchronic word formation. For the most part, I will not segment roots and lexicalized affixes within the base in the many examples to come. This is because bases do not have internal structure that is relevant to word formation,

In addition, bases do not have internal structure that is relevant to syntax. Even affixes that are added to bases ny WFRs are neither linguistic signs nor 'morphological heads'. They are merely formatives that express the operation of WFRs. Neither roots nor affixes are taken to be lexical items in the description of word formation that follows.

1.3 Lexeme classification. This study of Okanagan word formation is related to other work in Salish which proposes to classify Salishan predicates. Thompson (1976, 1979) was the first to articulate a predicate classification for Salishan languages. His classification was based on the notion of protagonist control and its expression through free and bound morphemes. Thompson observed that Salishan words frequently expressed degrees of protagonist control such as *limited control* vs. out-of-control, and he associated these denotations with affixal morphemes added to the root. Thompson subsequently developed the notion of *control roots* and *non-control roots*. Thompson's pioneering work lead to classificatory schemes based on thematic roles (agentoriented vs. patient-oriented) or predicate argument structure (e.g. unary vs. binary). While the centrality of 'protagonist control' as a morphological category has not been universally accepted. Thompson's view that roots are the basic lexemes of Salish is assumed in many of the more recent predicate

classifications (e.g. Howett 1993, L. Thomason 1994, S. Thomason et al. 1994, and Davis 1996).

My classification differs in several ways from those just mentioned. First, I reject the root-and-affix model, which takes the root to be the semantico-syntactic core of every word. Instead, I take the lexeme to be the basic lexical unit, for the reasons given in sections 1.1. and 1.2. Second, my classification is broader than others in that I classify all open class lexemes, not just verbal lexemes. I assume that the potential of a lexeme to act as a predicate in the syntax is irrelevant to its lexical class. Third, I propose that aspectual, modal, and categorial features are criterial in lexeme classification.

Despite the lexeme-based approach, my classification draws on the insights of other Salishanists. For example, B. Carlson (1972) and A. Mattina (1973) assert that bases rather than roots are at the heart of the word formation systems of Spokane and Colville-Okanagan, respectively. Outside of Southern Interior Salish, Hess (1993) has concluded that the stem and not the root is 'the basic descriptive unit of [the Lushootseed] verb'. In lexeme classification, I follow the lead of Vogt (1940), Nater (1984), Kroeber (1988), Gerdts (1991a), 1996), and Saunders and Davis (1993) who have found aspectual classes in

<sup>&</sup>lt;sup>22</sup>Other Salishanists may hold this view of word formation, but have not published on this topic. In reading the extant grammars and dictionaries, I find references to stems, bases, and words, as well as to roots and affixes. However, analysts are rarely explicit about the sense of these terms.

Salish languages that constrain word formation. Vogt explicitly relates the aspectual classes he finds in Kalispel to category distinctions. I was also influenced by Thompson's work on protagonist control, and the recurrent references to it across the Salishan family. By building upon the observations of other Salishanists, I hope to advance our understanding of the nature of derivation, inflection, and the lexicon in one Salish language.

1.4 Organization of thesis. In Chapter 2, I provide a brief overview of the syntax and morphology of Okanagan. In addition, I inventory the inflectional and derivational categories of Okanagan that are the focus of the thesis. In Chapter 3, I propose a classification of bases that uses ontological criteria. I describe four base classes using two binary, aspectual features. Three of these classes denote prototypical situations, which I refer to as Transitions, Processes, and States, following Pustejovsky 1991. The remaining class denotes entities. I demonstrate that each base class has a distinctive set of derivational possibilities. The featural and paradigmatic evidence for base classes suggests that there is a cross-linguistically familiar semantic motivation for the noun/verb distinction, i.e. the distinction between entities and situations.

In Chapter 4, I take up the question of whether Okanagan has more than one lexical category. While it has been claimed that Salish languages generally lack the grammatical effects that support the noun/verb distinction in other languages (Kuipers 1968, Kinkade 1983, Jelinek and Demers 1982, 1994), I demonstrate that there are grammatical distinctions between nouns and verbs in Okanagan. I also describe the status of nominalizations and adjectives with respect to the ways in which category is expressed in Okanagan. I demonstrate how bases carry aspectual features as well as category features. The category features of a base are largely predictable from the aspectual features of a base.

In Chapter 5, I review the evidence that demonstrates that speakers of Okanagan cannot rely purely on the form of stems and affixes to classify bases and stems. I illustrate six arrangements of form, meaning, and lexico-syntactic properties that are attested by the data in this thesis. These six form-function classes show that form and function are not typically implied in Okanagan. This finding supports the adoption of the Separation Hypothesis in particular, and lexeme-base morphology in general for the study of Okanagan word formation. I briefly review the major derivational and inflectional classes of Okanagan before I discuss the direction of future research in this vein.

#### Chapter 2. An overview of Okanagan

- 2.0 Introduction. In this overview of Okanagan, I discuss the points of syntax and morphology that are relevant to the remainder of the thesis. The phonemes of the language appear in Appendix I, with brief phonological notes. Fuller treatments of the phonology are to be found in Watkins 1970 and Mattina 1973. My discussion of the syntax and morphology benefits from data and analysis presented in Hébert 1982a, 1982b, 1983 and in Mattina 1973, 1982, 1985, 1993a, 1993b, and 1994. In the first three sections of this chapter, I discuss basic sentence types (section 2.1), transitive syntax and inflection (section 2.1.1), and the major grammatical relations (section 2.1.2). Next, I describe the structure of Okanagan words, with particular attention to the distinction between inflection and derivation. I conclude the chapter with an inventory of a group of derivational word formation rules that are crucial to the description of base classes (Chapter 3) and inflectional classes.
- **2.1 Okanagan sentences and clauses.** The simplest Okanagan sentence consists of a single, predicative grammatical word. In connected discourse,

<sup>&</sup>lt;sup>1</sup>I leave detailed investigation of the interaction between morphology and phonology for future research. To assist the reader with the Okanagan transcriptions, I provide phonological notes in the text or footnotes when necessary. I mark only primary stress in full words. I do not mark stress in monosyllabic words. Inherent or morphological stress is marked in transcriptions of bases, stems, and affixes, following traditional practice.

however, a main predicate is usually accompanied by a modal particle (or two), a noun phrase (NP), a prepositional phrase, or some combination of these. Sentences may consist of one clause, or they may consist of a main clause with one or more embedded clauses. Clauses are of two types in Okanagan. The first type is headed by a verbal predicate, transitive (1a) or intransitive (1b).<sup>2</sup>

- (1) a. <sup>c</sup>acənt-ís i<sup>c</sup> sənktc'a<sup>c</sup>sqáxa<sup>c</sup> tie-3sERG art horse

  He tied the horse.
  - b. wəhám i<sup>9</sup> kəkəw<sup>9</sup>ápa<sup>9</sup> bark-3sABS art dog
    The dog barked.

The second type consists of two adjacent NPs standing in an equivalence relationship interpreted as 'NP = NP'. Equational sentences have neither a lexical verb nor a copula.

- (2) a. [Tarás]<sub>NP</sub> [i- sk<sup>w</sup>íst]<sub>NP</sub> Teresa 1sPOSS name. Teresa is my name.
  - b.  $[ixi^{9}]_{NP}$  [an- cáwt]<sub>NP</sub> dpr 2sPOSS deeds
    That is what you did.

The preference for at most one direct argument NP complement per clause is

<sup>&</sup>lt;sup>2</sup>Abbreviations are as follows: ERG= transitive subject; art = article; ABS = intransitive subject; dpr = deictic pronoun; POSS = possessive marker. The person marking paradigms are given in section 2.1.1, Figure 1.

<sup>&</sup>lt;sup>3</sup>A. Mattina brought the presence of equational sentences to my attention.

so pervasive in Okanagan that I do not distinguish clause types by their relative ability to have multiple argument NPs. <sup>4</sup> Thus the verbal predicate in (1a) is inflected as a transitive verb, while the predicate in (1b) shows intransitive inflection. (I describe transitivity in section 2.1.1, and inflection in section 2.2.1). While the transitive/intransitive distinction is salient in the inflectional system of Okanagan, my point in conflating transitives and intransitives in this discussion is to highlight the basic syntactic contrast between verbally-headed clauses and equational clauses.

Verbally headed clauses and equational clauses both function as main and subordinate clauses. Typically a subordinate clause is indicated by a subordinating particle. However, these particles may be omitted under circumstances that I do not yet understand. The data in (3a) and (4a) exemplify verbal clauses and equational clauses as subordinate clauses, respectively. The data in (3b) and (4b) exemplify them with null marking. (The subordinating

<sup>&</sup>lt;sup>4</sup>A. Mattina (1985:70) describes Okanagan as showing 'a predilection for sentences with an initial predicate followed by only one (unmarked) major relation (the subject, the direct object, the indirect object).' I have elicited sentences that include two or more argument NPs. However, study of the substantial amount of textual material that is available in Okanagan shows that sentences with multiple argument NPs are extremely rare. My decision to describe Okanagan clauses as limited to one argument NP is also influenced by Kinkade (1983:32), who suggests that English influence has caused Salish speakers to accept from linguists clauses that have more than one argument NP. I note also that Hess (1993) states that only one argument NP is allowed in Lushootseed clauses.

particle (sb) is in bold-face.)<sup>5</sup> Other particles are indicated with prt.)

- (3) a. way' t'i xast t k'ətx filst -əm

  prt prt good sb throw\_away -1pERG

  It's better that we do away with him. COD151
  - b. way' miyst -s t incá
    prt know -3sERG cs I
    He'll know

 $k^{w}$  i- s-  $k^{w}$ úl'stəm 2sOBJ 1sGEN asp- send (that) I sent you. GW610

(4) kn . ct'xətmist atí a. 1sABS aspcareful sb ixí? s<sup>9</sup>í4n -tət food 1pPOSS dpr I was careful because it was our food.

b. əc- myst -ín ixí? sqlaw' asp- know -1sERG dpr money I know it's money.

Any sentence or clause can be preceded by one or more particles, as shown in (5) with the negator lut (neg), and in (6) with lut and t'i 'evidential' (ev).

(5) lut kwu xlítnt -xw neg 1sOBJ call 2sERG
You didn't call me.

<sup>&</sup>lt;sup>5</sup>Remaining abbreviations are: prt = particle; GEN = genitive subject; asp = aspect; cs = case marker.

(6) lut t'(i) in- xmínk i<sup>9</sup> siw<sup>4</sup>k<sup>w</sup>
neg. ev 1sPOSS- liking art water
I don't (really) like the water. GW294

Sentences and clauses can also be modified by adverbs. Adverbs occur in prepredicate position, without or without a complementizer. A. Mattina (1973:137) gives these examples:

- (7) a. k<sup>w</sup>mił x<sup>w</sup>uy suddenly go
  He went suddenly.
  - b. k<sup>w</sup>mił ki<sup>9</sup> x<sup>w</sup>uy suddenly comp go
    It was suddenly that he went.
  - c. \*x\*uy k\*mi\*

Neither modal particles nor adverbs can stand alone as predicates.

Word order in Okanagan verbal clauses is typically predicate initial.

This order conforms to the word order found in other Salish languages

(Kroeber 1991:26). In elicitation contexts, speakers report that an argument NP can occur in initial position, as in (9).

- (8) t'ux<sup>w</sup>t in- kəwáp fly 1sPOSS horse My horse flew.
- (9) in- kəwáp t'ux<sup>w</sup>t 1sPOSS horse fly My horse flew.

This apparent freedom of word order is also found in possessed NPs, as in (10)

and (11).

- (10) Pit i<sup>9</sup> kəwáp -s Pete art horse 3sPOSS Pete's horse
- (11) i<sup>9</sup> kəwáp -s Pit art horse 3sPOSS Pete Pete's horse

However, there are word order constraints with respect to adverbs (exemplified above in (7)) and in other constituent types (Chapter 4). Therefore Okanagan cannot be said to show free word order.

2.1.1 Transitivity. Transitivity of the Salish clause has long been equated with the presence of one of a set of suffixes on the clause head. In Okanagan these suffixes are: -nt, -st, -xtt, -ttt, -tttt, -ntit, -ntit, and -ntist (A. Mattina 1982, 1994). However, it is more accurate to say that the

(ii).  $x \ni \lambda' p + n u(nt)$  -n finish + lc 1sERG

I managed to finish it.

<sup>&</sup>lt;sup>6</sup>All the Interior languages have cognate formatives for some or all of these Okanagan suffixes that include *t*. As a group, they are consistently associated with transitive inflection. However, the semantic details of predicates and classes of predicates with these markers differ from language to language, as demonstrated by comparing the data and analysis in B. Carlson 1980, Kinkade 1980, Thompson and Thompson 1980, A. Mattina 1982, and N. Mattina 1993. The *t* that is common to these suffixes is not synchronically segmentable in Okanagan, as only one verb <sup>2</sup>am+t-(e.g. <sup>2</sup>am+t-ín 'I ate it') shows transitive suffix -t. I do not segment -nú from -núnt, -nútt, and -nust, which are the limited control (lc) transitive suffixes (see section 2.2.2.11). -nú does not occur without the two final consonants of the suffix, except before the 1sERGsub suffix -an. Compare (i) and (ii).

<sup>(</sup>i).  $x \ni \lambda' p + n \text{ unit } -x^w$ finish + lc -2sERG You managed to finish it.

transitivity of a clause is indicated by the inflectional class of the clause head. (I describe these classes below.) In terms of their form, the heads of transitive clauses have subject and object person markers from the ergative or genitive person marking sets. Heads of intransitive clauses have subject markers from the absolutive person marking set (see section 2.1.2 for discussion of grammatical relations). The affixes and clitics that comprise these person marking sets are given in Figure 1.

Several other Interior languages have a transitive suffix -mín, but in Okanagan this suffix is found on transitive and intransitive verb stems.

<sup>&</sup>lt;sup>7</sup>I use the terms *person marker* and *person marking* to refer to the marking of person, number, and grammatical function on heads. On verbs, person, number, and grammatical function are typically marked by a portmanteau formative in a single inflectional paradigm.

Figure 1. Person marking paradigms.

### I. Ergative Paradigm

### i. Ergative (Subject)

1 -(i)n -(i)m2  $-(i)x^w$  -(i)p

 $3 -(\hat{\imath})s/-m -(\hat{\imath})s-lx/-m-lx$ 

#### ii. Object

with -nt with -†t

k<sup>w</sup>u k<sup>w</sup>u, k<sup>w</sup>u kwu, 1 1 2 2 -(úl)m -S -S -S 3 3 0 -lx Ø -lx

with -st with -x(t)t

kwu, kwu, k<sup>w</sup>u  $k^w u$ 1 1 2 2 -(ú)m -(ú)m -m -m 3 Ø -1x 0 -lx

# II. Absolutive Paradigm

1. kn lp. kwu 2p. p 3p. (-lx)

## III. Genitive Paradigm

## i. Genitive (Subject)

1. in- 1p. -tət 2. an- 2p. -əmp 3. -s 3p. -s-lx

### ii. Object

1. k<sup>w</sup>u 1p. k<sup>w</sup>u 2p. p 3p. 0-lx

The object markers in the ergative paradigm vary in form depending on the form of the transitive suffix. The object markers with -núnt, -nútt, and -núst are the same as those for -nt, -tt, and -st, respectively. Third person singular object markers are phonologically null. The third person singular intransitive subject marker is also phonologically null. The third person plural suffix -lx can refer to subjects or objects, depending on the predicate type (A. Mattina 1985).

The canonical transitive clause is exemplified in (11) and (12). As in all transitive clauses, two participants are referenced by person markers on the clause head. Example (11) is a transitive clause where both (non-third person) arguments are coded on the clause head with ergative person-marking. (12) shows a transitive clause with an NP argument. In (12), the third person object marker is zero.

- (11) kwu 'ac+nt -íxw 1sOBJ tie(Trans) 2sERG You tied me up.
- (12) <sup>c</sup>ac+nt -ix<sup>w</sup> i<sup>2</sup> snktc'a<sup>2</sup>sqáxa<sup>2</sup> tie(Trans) 2sERG art horse

  You tied up the horse.

There are three types of non-canonical transitives which demonstrate that there is no single pattern of transitive marking for all predicate types.

First, there are predicates that have one of the transitive suffixes, but genitive

rather than ergative person markers, as (13).

(13) kwu a- ks- <sup>c</sup>ac+tt+ím in- kəwáp 1sOBJ 2sGEN irr tie(Trans) 1sPOSS horse You will tie up my horse.

The difference between (13) and the canonical transitives in (11) and (12), is that (10) is inflected for irrealis mood (irr) with the prefix ks-. (Irrealis mood is discussed in section 2.2.1). The irrealis/realis contrast, therefore, conditions the distribution of ergative and genitive person-marking with transitive predicates (A. Mattina 1993a).

A second kind of non-canonical transitive predicate lacks a transitive suffix. This kind of predicate occurs with both the realis and irrealis inflection. The presence of two person markers in (15) shows that the clause is transitive.

- (14) wik -n i<sup>9</sup> pəptwinax<sup>w</sup> see(Trans) 1sERG art old lady
  I saw the old lady.
- (15) kwu a- ks- cacám 1sOBJ 2sGEN irr tie(Trans) You will tie me up.

The -nt transitive suffix is lost in certain forms of the realis and irrealis person

<sup>&</sup>lt;sup>8</sup>The transitive stem to which the marker of irrealis mood, ks-, ends in -(V)m. The suffix is not an object person marker, as example (13) shows. The genitive subject marker is a- and the genitive object marker is  $k^w u$ . The suffix -(V)m may indicate that the stem is transitive; however, the same suffix is found on intransitive stems that do not allow subject and object person marking. I do not have a complete analysis of this suffix and its many functions, although others (e.g. Thomason and Everett 1993, Davis 1996) have made proposals concerning the cognate suffix in the Interior.

paradigms. In realis mood, stems with inherently stressed, or *strong* roots, do show -nt in first person singular, and third person singular and plural forms, as in (16). Stems with unstressed or *weak* roots have -nt for all persons. This pattern is shown in (17). All of the examples here have zero third singular objects, but the patterns hold for all subject/object combinations.

(17) 1sub 
$$\lambda'a^{9}+nt-in$$
  $\lambda'a^{9}+nt-im$   
2  $\lambda'a^{9}+nt-ix^{w}$   $\lambda'a^{9}+nt-ip$   
3  $\lambda'a^{9}+nt-is$   $\lambda'a^{9}+nt-is-plx$ 

In irrealis mood, the -nt transitive suffix is lost in the all plural person forms, regardless of the type of root in the stem.

The other transitive suffixes are present in all persons in both realis and irrealis mood (e.g.  $k^{w}u^{c}ac+tt+is$  'He tied it for me';  $k^{w}u^{c}a-ks-c^{c}ac+tt-im$  'You tied something of mine'). What the data in (14)-(18) show is that the -nt suffix

<sup>&</sup>lt;sup>9</sup>A morphological model that allows for the independence of form and meaning at some stage of the derivation can express the phonological side effects of a WFR in the WFR itself, as the phonological spell-out of a stem. The mixed surface forms of closed transitionals can be understood as the realization of a mixed paradigm: some of the realizations of the person marking rules select the stem form /base form + nt/ while others select the stem form /base form + 0/. Such spell-outs are the stuff of pure morphology. There is no need to recognize a distinct class of morphophonemic rules in this

itself does not mark transitivity. Speakers (and learners) know certain predicates to be transitive in the absence of a transitive suffix. Therefore, transitive suffixes only partly distinguish the class of transitive predicates.

The third type of non-canonical transitive shows a suffix -(V)m that alternates with -s 3s/pERG subject (see Figure 1, above). I label the -(V)m suffix underspecified subject (Unsub). This suffix occurs in certain discourse contexts. Informally, I characterize its interpretation as the other one or another one. An example of this type of non-canonical transitive appears in (19).

(19) qwəlqwil+st -əm i<sup>9</sup> t kəwáp -s talk(Trans) Unsub art cs horse 3sPOSS His horse said to him: ...

Clauses headed by this type of predicate are similar in their interpretations to passive constructions in other languages. However, the evidence that predicates with underspecified subjects are passive (and therefore intransitive) is not strong in Okanagan. First, we cannot determine from person marking alone if these predicates are transitive or intransitive. This is because Unsub predicates are limited to expressing third person referents. Third person intransitive subjects and third person transitive objects are zero-marked.

view (Anderson 1992:225).

<sup>&</sup>lt;sup>10</sup>In A. Mattina 1994, this suffix is labeled *switched passive subject*. As I argue here, I am not convinced that these predicates are syntactically intransitive.

Therefore, the Unsub predicate like that in (19) might have either a null intransitive subject marker, or a null transitive object marker. Case marking of the agent NP suggests that Unsub predicates head transitive clauses. The agent NP of both canonical and Unsub transitives is preceded by the case marker *t* (cs). Compare (19) with (20).

(20) cu -s i<sup>9</sup> t λ'əxəxλ'xáp -s say(Trans) 3sERG art cs elders 3sPOSS His elders told him: ...

Furthermore, as the translation of (19) shows, speakers do not consistently translate Unsub transitives with English passives, i.e. with the meaning y was V'd (by x). In my view, Unsub predicates are basically active, and therefore I do not use the label passive for them.  $^{11}$ 

In sum, there are three non-canonical transitive stem types: 1) transitives in irrealis mood 2) transitives that regularly lack the -nt transitive suffix for some persons; and 3) transitives that have the Unsub suffix. These non-canonical transitives demonstrate that the notion transitive stem is not isomorphic with one set of transitive suffixes or person markers. Furthermore, because clauses rarely (if ever) contain more than one NP, a transitive stem

<sup>&</sup>lt;sup>11</sup>Thomason and Everett (1993:327) describe the cognate construction in Montana Salish (aka Flathead) as 'an ordinary active transitive'. There seems to be general agreement that full description of this construction in the Interior will involve discourse analysis. My point here is that until this phenomenon is better described, it is misleading to refer to it as *passive*.

cannot be defined as one that takes two or more NPs in its clause. I assume that transitivity is defined structurally or relationally in the syntax. Syntactic transitivity is licensed by a predicative stem that is notionally transitive. A notional transitive is one that encodes the following in its lexical conceptional structure (LCS): 1) two participants, the external argument (usually an actor) and an internal argument (usually a patient); and 2) the internal argument is referential. A notionally intransitive predicate may have one of two types of lexical conceptual structures. One intransitive type, the *simple intransitive*, does not encode an internal argument. The second intransitive type encodes an internal argument, but this argument is non-referential or *generic*. I refer to this type of intransitive as the *generic object intransitive*. The three

<sup>&</sup>lt;sup>12</sup>By internal argument I mean the second of two ordered semantic participants as specified in the lexical conceptual structure of a verb. Thus the logical structure of a transitive predicate is P(x,y) where y is the internal argument. I am assuming that all internal agruments are mapped to the syntactic object position (i.e. VP internal, following Williams 1981), and that all external arguments are mapped to the syntactic subject position. This means that Okanagan has no empty subject positions at d-structure, or, in the terms of Relational Grammar, there are no advancements to the subject relation.

<sup>&</sup>lt;sup>13</sup>The base of a predicate determines which kind of intransitive it is. See Chapter 3 for a classification of Okanagan bases.

<sup>&</sup>lt;sup>14</sup>This construction and its cognates in other languages are referred to as the intransitive object, indefinite object, and/or middle construction. The example in (i) shows that the object of this construction is not indefinite, since it is quantified.

<sup>(</sup>i). ×lap k km'am t mus sq'lips tomorrow 2sABS take cs four handkerchiefs
Tomorrow you will take four handkerchiefs. GW262

In Chapter 4, I give evidence that Okanagan has a middle construction that is

predicate types are illustrated in (21)-(23).

#### (21) Transitive

kic -səlx axá? i? ttw'it get\_to(Trans) 3pERG dpr art boy They got to the boy.

### (22) Simple intransitive

s- cx<sup>w</sup>uy -s i<sup>9</sup> pəptwinax<sup>w</sup> asp- come(Intr) 3sGEN art woman The old woman came closer.

### (23) Generic object intransitive

way' p \( \frac{\lambda}{\chi}'x^wup \) i? t sqlaw' prt 2pABS obtain(Intr) art cs money Then you will get some money. GW17

The internal argument of the generic object intransitive cannot be possessed. I assume that this is because possessed NPs are referential.

(24) \*kn wikm (i<sup>9</sup>) t in- cítx<sup>w</sup>
1sABS see(Intr) art cm 1sPOSS house
(I saw my house.)

distinct from the generic object intransitive.

The argument NPs of simple intransitives and transitives can be possessed. 15

- (25) <sup>c</sup>ac+nt -ín in- q'a<sup>2</sup>xán tie(Trans) 1sERG 1sPOSS shoe I tied my shoe.
- (26) wəhám in- kəkəwápa<sup>9</sup> bark(Intr) 1sPOSS dog My dog barked.

In order to distinguish notional transitivity from syntactic transitivity, I have developed a set of labels for the notional types of predicates in (21)-(23) and their subtypes. I introduce these labels and define them in sections 2.2.2.1-2.2.2.14. First, however, I describe the major grammatical relations in Okanagan, and how I test for them.

### (i). The Givenness Hierarchy

in uniquely type focus > activated > familiar > identifiable > referential > identifiable it 
$$\begin{cases} that \\ this \\ this \\ N \end{cases}$$
 that N the N indf. this N a N

In Okanagan, this hierarchy is expressed by the predicate type rather than the NP type.

<sup>&</sup>lt;sup>15</sup>Perhaps a full account of notional transitivity would make use of the Givenness Hierarchy proposed in Gundel, Hedberg, and Zacharski 1993. Gundel et al. correlate the form of an NP with its cognitive status in discourse. In English, the Givenness Hierarchy conditions the form of the NP as follows:

2.1.2 Grammatical relations. Okanagan person-marking distinguishes subject and object grammatical relations. The affixes that mark these relations were given in Figure 1, above. Okanagan also has a case marking system that weakly distinguishes a second object relation, and an oblique relation. In this case marking system, certain NPs are preceded by the proclitic *t*. I refer to these as *t-marked* NPs. <sup>16</sup> Other NPs lack the *t*, hence I refer to them as *plain*. In the core case marking pattern, the case marking of an NP is predictable on the basis of whether that NP is cross referenced with a person marker on the clause head. Those NPs that are cross-referenced on the predicate are plain; those that are not are t-marked. Most plain NPs are direct arguments of the predicate, in either subject or object function. In (27), (28), and (29), the NP is transitive subject, transitive object, and intransitive subject, respectively.

- (27) k<sup>w</sup>u wík -s Mári 1sOBJ see(Trans) -3sERG Mary Mary saw me.
- (28) p'íc'+nt -x<sup>w</sup> i<sup>9</sup> pus pinch(Trans) -2sERG art cat You pinched the cat.
- (29) t'ux"t i? sənktc'a?sqáxa? fly(Intr) art horse
  The horse flew.

 $<sup>^{16}</sup>$ Cognates of t in the Northern Interior are sometimes referred to as the oblique marker. Okanagan, Columbian (Kinkade p.c), and Montana Salish (Thomason and Everett 1993) use t to mark other grammatical functions beside oblique. I discuss the many functions of t in Okanagan in Chapter 4.

NPs that are not cross-referenced on the predicate are not direct arguments. They are t-marked, as in (30) and (31).

- (30) kn ník'm i<sup>9</sup> t sp'íc'ən 1sABS cut(Intr) art cs rope I cut a rope.
- (31) kn c'wak i' t t'íc'mən 1sABS get burnt art cs iron I got burnt by the iron.

Case marking is not a completely reliable means of identifying the grammatical relation of an NP. That is, there are case marking patterns for certain predicate types that do not conform to the core pattern. For example, third person subjects of canonical and underspecified subject transitives are expressed as t-marked NPs. The person markers and transitive suffixes of these transitive predicates indicate that these NPs are not oblique (see examples (19)-(20)). Case marking does not follow the core pattern with a second predicate type, also transitive. With predicates that include the transitive suffix -†t, a plain NP may occur in the clause even though it is not cross-referenced on the predicate. I refer to these predicates as *possessionals* (see also section 2.2.2.3). Possessionals predicates (*pos*) may have third person ergative subject and object markers. The subject marker refers to the notional agent; the object

marker refers to the possessor of the theme.<sup>17</sup> The theme is frequently expressed in an NP, as in (32).<sup>18</sup>

(32)  $^{\circ}$ ac+ $^{\dagger}$ t -ís i $^{\circ}$  kəwáp -s tie(pos) 3sErg art horse 3sPOSS He tied his<sub>1</sub> horse for him<sub>1</sub>.

In (32), the theme NP is not marked oblique, as might be expected because it is not cross referenced on the predicate. In fact, it patterns with direct arguments in that it can be extracted from the clause in a cleft construction, as in (33). In (34), (35), and (36), I give cleft constructions involving the direct arguments, i.e. transitive object, transitive subject, and intransitive subject, respectively.

- (33) i<sup>9</sup> kəwáp -s i<sup>9</sup> <sup>9</sup>ac+tt -ís art horse 3sPOSS sb tie(pos) 3sERG His horse is what he tied for him.
- (34) in- q'a<sup>9</sup>xán i<sup>9</sup> cac+nt -ín
  1sPOSS shoe sb tie(Trans) 1sERG
  My shoe is what I tied.
- (35) t anwi ki? kwu kwen+nunt -xw you sb 1sOBJ grab(Trans) 2sERG It's you who managed to get me. GW373

<sup>&</sup>lt;sup>17</sup>The possessor of the theme is always animate, usually human. There is no regular alternate person/case pattern in which the theme is marked as the object and the possessor appears in a plain NP.

<sup>&</sup>lt;sup>18</sup>POSS is an abbreviation for *possessor person*, an inflectional category of nouns. -kəwáp 'horse' is the bound alternant of sənktc'a'sqáxa' 'horse' with possessive markers.

(36) i? sqltmix\* ki? ?uk\*t
art man sb crawl(Intr)
It's the man who crawled.

Oblique NPs cannot be extracted.

- (37) a. kn wikəm i? t s<sup>9</sup>ifən 1sABS see(Intr) art cs food I saw some food.
  - b. \*(i<sup>2</sup>) t s<sup>2</sup>(fen i<sup>2</sup> kn wikem art cs food sb 1sABS see(Intr)

    It was some food I saw.
- (38) a. kn 'acác i' t sqltmix''

  1sABS get\_tied(Intr) art cs man

  I got tied up by the man.
  - b. \*i t sqltmix\* ki? kn cacác art cs man sb 1sABS get\_tied(Intr)

    It was by the man that I got tied up.

The theme NP's of possessional predicates have an intermediate status; they are neither direct nor oblique arguments. The same is true for the theme NP of another predicate type, the *dative* (*dat*) (see also section 2.2.2.4). Like possessionals, datives take ergative subject and object person markers. The notional theme can be expressed only as an NP.

(39) <sup>c</sup>ac+xít -s i<sup>2</sup> t sənk<sup>4</sup>c'a<sup>2</sup>sqáxa<sup>2</sup> tie(dat) 3sErg art cs horse He tied the horse for him.

Possessionals and datives differ formally in that the theme NP of the dative is

t-marked, while the theme NP of a possessional is plain. <sup>19</sup> However, both theme NPs can be extracted. The theme NP of possessionals can be extracted as shown above in (33), above. (40) shows that the theme NP of datives can also be extracted.

(40) i? sənktc'a?sqáxa? i? cac+xít -s art horse sb tie(dat) 3sERG

The horse is what he tied for him.

Because of their accessibility in the cleft construction, I distinguish theme NPs with these two predicate types as bearing the grammatical relation *second object* to the predicate.

To summarize, person marking distinguishes three functions: subject, object, and oblique. Nominal case-marking indicates a distinction between direct arguments (plain) and non-arguments (t-marked), yet there are systematic exceptions to the general pattern. Subjects and objects, but not obliques, can be extracted in cleft constructions. I distinguish the grammatical relation *second object* pretheoretically for NPs that do not pattern completely with either direct arguments or obliques. I summarize the behavior of NP's in each grammatical function in (41).

<sup>&</sup>lt;sup>19</sup>These theme NP's also differ in terms of their referentiality. The theme of a possessional is possessable and therefore referential. The theme of a dative is not possessable and therefore is generic. The dative theme is regularly translated as 'some X' where X is an entity.

(41)	Subject	Object	2nd Object	Oblique
Cross-referenced on the head	+	+	-	-
t-marked	-	-	-	+
Extractible in clefts	+	+	+	-

Clearly these distinctions can be instantiated in a formal grammar in a number of ways. However, this pre-theoretical treatment of grammatical relations is sufficient for the level of syntactic analysis involved in this thesis. The issue of the precise relationship between NPs and co-referential person markers, for example, is not addressed. I assume that cross-referencing of NPs with person markers is a kind of agreement. This agreement allows NPs to be dropped from the clause as the speaker chooses. For detailed discussions of argument realization in Salish, I refer the reader to Gerdts 1988, 1993a, 1993b, Hébert 1982a, Hukari 1976, Jelinek and Demers 1982, 1994, and Gardiner 1993.

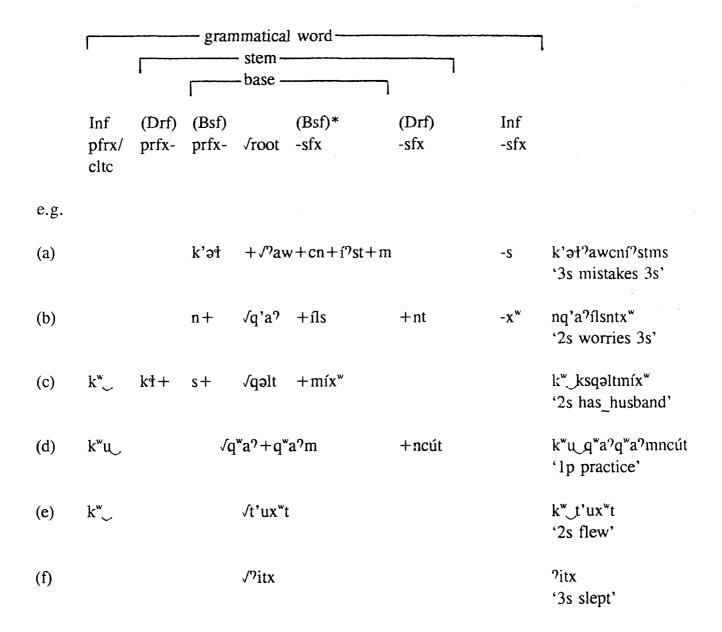
**2.2** Okanagan words. An Okanagan word consists formally of a base plus any derivational or inflectional formatives.<sup>20</sup> A base plus any derivation-

<sup>&</sup>lt;sup>20</sup>Words are distinct from particles in that the latter do not take derivational or inflectional markers. Okanagan particles comprise modals, prepositions, deictics, conjunctions, complementizers, interrogatives, quantifiers, etc. A. Mattina 1973 surveys the Colville-Okanagan particles and his Colville-Okanagan dictionary (1987) contains examples in context.

al affixes is a stem. A stem is an uninflected word: and inflected stem is a grammatical word (following Matthews 1972). If a stem's form, distribution, or meaning indicates that a WFR has applied, that stem is a derived stem. The derived stem contrasts with a default stem, the semantically and morphologically simplest realization of a base. The relative order of derivational and inflectional suffixes mirrors the order of WFR application. That is, all derivation precedes inflection. Evidence for this is that inflectional formatives never occur closer to the base than derivational formatives. Derivational affixes are always at the edges of the stem, i.e. they are either stem-initial or stem-final. In this position, they identify the inflectional class of the stem. Formatives with the shape of derivational affixes that are not at the edges of the stem are base formatives. They are are not relevant to the inflectional class of the stem. Base formatives may be multiple, including at times more than one root plus other base formatives, e.g.  $\sqrt{mal}\tilde{x}a^{9} + s + \sqrt{\tilde{x}^{w}}\tilde{a}q^{w} = algs + am$ (pretend + connector + snore) 'pretend to snore'. 21 Figure 2 is a formal schematic of the Okanagan verb (Bsf = base formative, Drf = derivational formative, and Inf = inflectional formative.)

<sup>&</sup>lt;sup>21</sup>A full inventory of base formatives in Okanagan would include the affixes that mark diminutive, plural, locative, and directional notions, as well as lexical affixes. Okanagan has many more affixes than I describe here; it remains to be seen which of the remaining ones act strictly as base formatives, and which are markers of a synchronic WFR. I discuss the reanalysis of stem formatives as base formatives in Chapter 5.

Figure 2. Formative order in the verb stem.

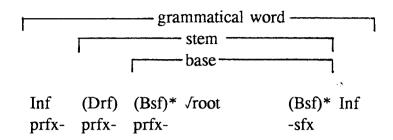


Derivational WFRs that involve reduplication or infixation typically target the phonological root, the phonological core of the word as in example (d) in Figure 2. Okanagan inflectional markers are a mix of clitics, prefixes, and suffixes. Predicates can have inflectional markers both before and after the stem, depending on the categories marked on the stem. For example, the stem in form (d) in Figure 2 can have two inflectional prefixes. It is shown in (d) with a person marker only. If the stem  $q^{\mu}a^{\gamma}+q^{\mu}a^{\gamma}mnc\hat{u}t$  is inflected for irrealis mood, the irrealis marker ks- and the subject marker i(n)- precede the predicate, as in i-ks- $q^{\mu}a^{\gamma}q^{\nu}a^{\gamma}mnc\hat{u}t$  'I will practice'. As a stem with reflexive meaning, however, this stem never has an inflectional suffix or a second person marker. Other stems allow up to three markers before the stem as in  $k^{\omega}u_{\omega}a-ks-wikam$  'you will see me'. Still other stems have forms where person marking and sentential aspect marking involve no formative at all, e.g. Figure 2 (f). In sum, stems may have zero to three inflectional formatives. These are realized at one or both ends of the stem.

The arrangement of formatives within a noun parallels that of a verb.

The difference between the form of nouns and verbs is in the kinds of WFRs that apply to them (see Chapters 3 and 4). The noun form is schematized in Figure 3.

Figure 3. Formative order in the noun stem.



(b) 
$$s \ni x^w + \sqrt{k'^w ul'} + m - s \quad s \ni x^w k'^w ul' ms$$
 '3sGEN workman'

One striking property of nouns is that they take derivational prefixes, but not derivational suffixes. Inflectional markers are prefixes (e.g. 1s and 2s possessive) or suffixes (3s/p, 1p, 2p possessive). Like the verb, the noun may contain more than one base formative, as in (c).<sup>22</sup>

This pattern is like that of irrealis transitive predicates (section 2.1.1). Because the person marking of predicate nominals is distinct from that of all other predicate types, it represents a fourth paradigm of person marking. I discuss

<sup>&</sup>lt;sup>22</sup>A noun in predicate function can have both an inflectional proclitic and an affix, as in (i) and (ii).

<sup>(</sup>i). kwu an- tk4milxw 1sABS 2sPOSS wife I am your wife.

<sup>(</sup>ii). kwu tkłmilxw -s
1sABS wife 3sPOSS
I am his wife.

In the following sections I describe the sets of inflectional and derivational WFRs that are the focus of this thesis. In 2.2.1, I describe two major inflectional categories of Okanagan. I also describe the inflectional paradigms, which demonstrate that there are two inflectional classes of verbs in Okanagan. In 2.2.2, I introduce an important derivational paradigm that marks aspectuomodal categories in the stem. I refer to these derivational categories as *stem* aspects. I discuss category-changing WFRs in section 2.2.2.16.

2.2.1 Inflection. Stems undergo inflectional WFRs if they meet the conditions of the syntax for inflection. Inflection differs from derivation chiefly in that inflection is restricted to adding features of three kinds: those that indicate person marking, sentential aspect, and sentential mood. Furthermore, inflection differs from derivation in the manner proposed by Bybee (1985): inflection is obligatory, while derivation is not. That is, there are underived stems in Okanagan, but no uninflected ones. Verbs are inflected for person marking, sentential aspect, and sentential mood in two inflectional paradigms, the transitive paradigm and the intransitive paradigm. I consider only one contrast in sentential mood, the irrealis/realis contrast. Realis mood is indicative; irrealis mood expresses relatively less commitment to the truth of

predicate nominals in more detail in Chapter 4.

the assertion by the speaker.<sup>23</sup> This mood contrast plays a significant role in determining the inflectional marking of a predicate. Other sentential moods have been identified in Okanagan (A. Mattina 1980, 1993a), but I do not discuss them here.<sup>24</sup>

2.2.1.1 Person marking. Okanagan marks six persons for each of four person marking paradigms. I refer to these paradigms as the ergative, absolutive, genitive, and predicate nominal paradigms. The ergative and genitive paradigms mark subject and object persons (A. Mattina 1982, 1993a). The absolutive and the predicate nominal paradigms mark subject person only. (see also Figure 1, section 2.1.1). Nouns in either predicate or non-predicate function may inflect for possessor person. The possessor person markers are given in (42).

(42) 1 in- -tət 2 an- -əmp 3 -s -s-lx

The form of possessor person markers is identical to the form of

<sup>&</sup>lt;sup>23</sup>The marker of irrealis mood is the prefix ks-. Predicates with this prefix translate as futures, prospectives, or negative imperatives, depending on their contexts.

<sup>&</sup>lt;sup>24</sup>A. Mattina (1980) describes imperative formation. A. Mattina (1993a) describes several prefixes in his paper on Okanagan aspect that might be better described as mood markers. Moods may be expressed lexically, inflectionally, and/or at the phrasal level. In short, mood in Okanagan is very complex and deserves an in depth treatment.

genitive subject markers. Although it is customary to consider possessive and genitive markers as belonging to a single paradigm, I distinguish them because possessive markers attach only to nouns.<sup>25</sup> Genitive markers attach only to verbs (see Chapter 4 for discussion) Speakers translate these markers as agents or possessors when they are in genitive function. In possessor person function, only the possessor interpretation is possible. This contrast is shown in (43) and (44).<sup>26</sup>

- (43) i- s- x<sup>w</sup>uy 1sGEN asp go I went/ my going
- (44) in- q'əy'min
  1sPOSS paper
  my paper/ \*I paper

I discuss additional facts related to the distinction between genitive and possessive marking in Chapter 4.

The major inflectional classes of Okanagan verbs are the transitive and intransitive classes. These classes are semantically motivated (see section 2.1.1). Transitive stems inflect with the ergative or genitive person markers. Intransitive stems inflect with the absolutive or genitive person markers. The choice between the transitive ergative and transitive genitive inflection involves

<sup>&</sup>lt;sup>25</sup>Under the Separation Hypothesis (section 1.1.2), the formal identity of possessive and genitive markers is an example of affixal polyfunctionality.

 $<sup>^{26}</sup>$ in- and an- are i- and a- respectively before s and stem-initial  $^{4}$  of kin terms.

the contrast between realis and irrealis mood. The transitive class of stems can inflect either as ergative-realis (45) or genitive-irrealis (46). The marker of irrealis mood is ks-.

- (45) k\*u x\*əlx\*əlt+st -íx\*

  1sOBJ save(Trans) 2sERG
  You saved me.
- (46) kwu a- ks- xwəlxwált+st+əm 1sOBJ 2sGEN irr save(Trans) You will save me.

Other inflectional patterns depend upon the speaker's choice of sentential aspects. Before I present the full set of inflectional patterns, I discuss sentential aspect and its subtypes in section 2.2.1.2. I summarize the inflectional patterns in section 2.2.1.3.

**2.2.1.2** Sentential aspect. The function of sentential aspect is to signal what portion of an event is relevant in the utterance. Sentential aspect provides a temporal perspective on an event, which the speaker chooses according to his

<sup>&</sup>lt;sup>27</sup>All transitive stems in irrealis mood end in -(V)m. I do not segment it in these forms because I do not have an analysis of it. Many verbs end in -(V)m in Okanagan, and I have not decided on the best way to handle this apparent suffix. For example, it is also found on generic object intransitive verb stems. I do recognize a suffix -(V)m that is associated with the formation of middle verbs (see section 2.2.2.6). For the present, I handle other instances of -(V)m as part of the stem form required by some WFR's. Okanagan stem-final -(V)m is cognate with suffixes referred to as middle, antipassive, and intransitive in the Salish literature (e.g. Thompson and Thompson 1992, Gerdts 1988, Thomason and Everett 1993).

viewpoint or intentions at the time.<sup>28</sup> Okanagan exhibits the cross-linguistically common distinction between perfective and imperfective aspect (Comrie 1976).<sup>29</sup> The two kinds of aspect may be defined as follows:

- (47) **Perfective:** focuses on the situation as a whole, lacking explicit reference to the internal temporal constituency of the event.
- (48) Imperfective: focuses on the internal temporary constituency of the event, viewing it from within.

Perfective predicates are aspectually closed; they do not allow continuous or progressive interpretations. In Okanagan, predicates that are perfective do not allow modification by the temporal adverb púti? 'continuously'.

<sup>&</sup>lt;sup>28</sup>Smith (1991) writes of this circumstance:

<sup>...</sup>Speakers choose aspectual meanings in order to present situations from a certain point of view: they use the meanings grammaticized in a given language to give a particular focus or emphasis (including the neutral) to their presentation. The choices are not entirely unconstrained: they are limited by conventional categorization, conventions of use, and the constraints of truth. Nevertheless there is a very clear sense in which the aspectual meaning of a sentence reflects the decision of a speaker to present material in a certain way. Grammarians of all traditions have recognized aspect as a domain in which subjective factors are of paramount importance (1991:11).

<sup>&</sup>lt;sup>29</sup>A. Mattina 1993a gives the first detailed description of Okanagan aspect, from which I draw my description. I use labels that differ from his where my analysis warrants them.

 $<sup>^{30}</sup>$ There are some predicates that look like perfectives but are not. These are stative stems (section 2.2.2.13). Stative stems are interpreted as perfective or imperfective when they do not have an overt aspectual aspectual marker. They can be modified with  $p\acute{u}ti^{2}$ . Other stem types must have an overt aspectual marker to indicate imperfective aspect. Compare the stative clause in (i) with the active one in (ii).

<sup>(</sup>i). púti<sup>9</sup> n<sup>e</sup>alt

<sup>(</sup>ii). \*púti<sup>9</sup> x\*uy

Speakers typically translate púti? with English still, as in (49b).

There are two kinds of perfectives in Okanagan, the *simple perfective* (perf) and the *perfect* (prft). The perfect indicates 'the continuing present relevance of a past situation' (Comrie 1976:52). The simple perfective marker is phonologically null, as in (49a). The perfect marker is *ksc*-. The perfective is illustrated in (50).

- (50) a. kn ksc- wik t sp<sup>c</sup>áła?

  1sABS prft see cs monster
  I have seen a monster.
  - b. \*púti? kn ksc- wík t sp<sup>c</sup>áła? still lsABS prft see cs monster (I still have seen a monster.)

Imperfectives are interpreted as situations that are ongoing relative to a temporal frame. There are four types of imperfective aspects in Okanagan.

still sunk
It's still sunk.

still go He still goes. They are listed and informally defined in (51)-(54).<sup>31</sup> The formative for each aspectual type is in boldface.

- (51) continuous (cont): A situation in progress.
  - e.g. ixí<sup>?</sup> səc- <sup>?</sup>ácqa<sup>?</sup>+x dpr cont going\_out That one is going out.
- (52) *perfect continuous* (pcont): A situation in progress with present relevance.
  - e.g. s- tər'q+míx

    pcont dancing

    He has been dancing
- (53) prospective: A situation in progress with future relevance.<sup>32</sup> (The irrealis prefix ks- is added to the continuative stem type (section 2.2.2.9))
  - e.g. kn ks- x<sup>w</sup>úy+a<sup>9</sup>x
    1ABS irr go
    I'm going/ going to go.

The perfect is retrospective, in that it establishes a relation between a state at one time and a situation at an earlier time. If languages were completely symmetrical, one might equally well expect to find prospective forms, where a state is related to some subsequent situation, for instance where someone is in a state of being about to do something.

<sup>&</sup>lt;sup>31</sup>I do not have collocational or other tests that identify these aspectual contrasts. The description here is based on English translations and discussions of these forms with speakers. It should be possible to describe each aspect in a formal grammar that is justified by Okanagan grammar and cross-linguistic categories.

<sup>&</sup>lt;sup>32</sup>Comrie (1976:64) describes the complementarity between perfect and prospective aspect as follows:

(54) habitual, customary (cust): a situation that is viewed as characteristic of a whole period rather than of a moment (Comrie 1976).

The continuous, perfect continuous and prospective aspect are marked only on certain stem types (see section 2.2.2.9), all of which are intransitive. Customary aspect is marked on both transitive and intransitive stems (A. Mattina 1993a).<sup>33</sup>

Smith (1991) identifies a third, major aspectual type, which she calls neutral aspect. Neutral aspect focuses on the initial point of an event and at least one internal stage of an event. Because of this focus, neutral aspect allows both continuous and closed interpretations. Smith argues for this third basic aspectual type cross-linguistically, citing French, Mandarin, Finnish, Navajo, and Eskimo as languages that exhibit it. In Smith's view, neutral aspect

 $<sup>^{33}</sup>$  Stative stems have a more active reading when they are in customary aspect. Compare (i) and (ii) which are adapted from A. Mattina 1993a:242. (The customary prefix is c- following a consonant.)

<sup>(</sup>i). kn c- <sup>9</sup>ayx\*\*t kn <sup>4</sup>a<sup>9</sup> c- ma<sup>9</sup>yám 1sABS cust tire 1sABS sb cust storytell I tire when I tell stories./\*I am customarily tired when I tell stories.

<sup>(</sup>ii). kn ?ayx\*t

1sABS tired

I am tired.

...complements the other viewpoints in the amount of information it makes visible about an event. The neutral viewpoint includes one endpoint, the perfective both endpoints, the imperfective neither. Thus unlike the imperfective the neutral viewpoint allows closed readings by inference (1991:123).

Okanagan exhibits neutral aspect in addition to perfective and imperfective aspect. Predicates in neutral aspect are not compatible with  $púti^2$ , a fact that demonstrates that they are not imperfective. However, speakers freely translate them as inceptives or progressives. The neutral aspect marker is s-. In (55)-(58) I give examples of neutral aspect. The neutral marker is in boldface.

- ixí<sup>9</sup> pa<sup>9</sup>pa<sup>9</sup>ínk axá? i٦ ttw'it (55)way' -S prt dpr neut feel bad 3sGEN dpr art boy The boy felt bad/ started to feel bad.
- (56) s- c'qwaqw -s ixí?

  neut cry 3sGEN dpr

  He started to cry,

náxəmi lut ixí s- c'qwaqw -s but neg dpr neut cry 3sGEN but he didn't cry.

- (57) cxwúy<sup>9</sup>ilx ut ni<sup>e</sup>'íp c- mist -ís i<sup>9</sup> sqilxw many\_come and always cust know 3sERG art people They kept coming and the Indian people knew all along
  - i? s- t'əcxwúy -s i? sáma? sb neut arrive 3sGEN art whiteman that the White people were coming/had come. EC:4
- (58) i- s- \(\lambda'\) '3'\text{am} i'\text{ k"} \(\lambda'\) k"'\text{k"}r'it i'\text{ tk}\text{milx"} \\
  \text{1sGEN neut fetch art golden art woman} \\
  \text{He sent me to get the Golden Woman. GW333}

Predicates with neutral aspect can be interpreted as inceptives, but perfectives cannot be interpreted as inceptives. The (unmarked) predicate in (59) is perfective.

(59) \*wəhám i<sup>9</sup> kəkəwáp náxəm<sup>4</sup> lut wəhám bark(perf) art dog but neg bark(perf)
The dog started to bark but it didn't bark.

Neutral aspect in Okanagan is reminiscent of the aspectual vagueness of English gerunds and infinitives. It may also be used in special discourse contexts that I cannot yet describe precisely.<sup>34</sup> Across the Salishan family, predicates that have the prefix *s*- and genitive person marking are usually referred to as *nominalizations*. In Chapter 4, I give evidence that predicates in neutral aspect are not nominalizations in Okanagan.

2.2.1.3 Summary of inflectional patterns. Person marking and sentential aspect marking co-occur in a relatively small number of combinations. The class of transitive predicates can be inflected with either the ergative person marking set in realis mood, or with the genitive person marking set in

<sup>&</sup>lt;sup>34</sup>Speakers are reluctant to produce clauses in neutral aspect in isolation. This suggests that neutral aspect is basically found in subordinate clauses. However, there are many examples of what appear to be main clauses in neutral aspect in texts. In some contexts, neutral aspect may have the rhetorical effect of the English historical present (e.g. *Then I give the guy a fiver and he goes out and buys cigarettes!*) which may be found in both main and subordinate clauses. Kroeber (1991:122) reports that in Thompson Salish the cognate construction is a nominalized clause which is translatable as 'and then...'. Okanagan clauses in neutral aspect may be hard to elicit in isolation because of their connective role in a stretch of discourse.

irrealis mood or neutral aspect. In realis mood, transitives may show perfective, imperfective, or neutral aspect. The class of intransitive predicates can be inflected with either the absolutive person marking set in perfective or imperfective aspect, or with the genitive person marking set in neutral aspect. In both realis and irrealis mood, intransitives may show perfective or imperfective aspect. These inflectional patterns are charted in (60).

Inflectional Class	Mood	Aspect	Person paradigm
Transitive	realis	perfective imperfective	Ergative
	irrealis	perfective neutral	Genitive
Intransitive	realis	perfective imperfective	Absolutive
	irrealis	perfective imperfective neutral	Genitive

All verbal predicates must be inflected for both person marking and sentential aspect. Nouns do not inflect for sentential aspect (see Chapter 4), but

inflect for possessor person only. Predicate nominals have a distinctive inflectional paradigm that I describe in Chapter 4.

2.2.2 Derivation. In Okanagan, derivational WFRs cluster into sets of WFRs that express a single grammatical category in the same way that inflectional WFRs realize grammatical categories in other languages (e.g. tense or number in English). One such cluster of derivational WFRs determines the derivational paradigm of *stem aspect*. In the following sections, I describe the categories of stem aspect and their formatives. In section 2.2.2.16, I discuss WFRs that convert stems from one category into stems of a different category. I maintain that category-changing WFRs do not belong in the stem aspect paradigm.

A particularly important set of derivational WFRs belongs to a word formation paradigm that expresses *stem aspect*. The stem aspect paradigm creates aspectuo-modal alternants of bases (these are described individually below in sections 2.2.2.1 through 2.2.2.14). As in an inflectional paradigm, each lexeme has one realization for each paradigm slot of the stem aspect paradigm. For example, the single application of an inflectional person marking rule (e.g. 1sABS marking) exhausts the inflectional possibilities of a stem in that category. In the (derivational) paradigm of stem aspect, stems may realize one kind of stem aspect at a time. Thus, the WFRs within the stem

aspect paradigm are mutually exclusive in the same way that person marking rules are. The paradigm severely limits the combinatorial possibilities of derivational WFRs. The stem aspect paradigm is particularly important in Okanagan because it produces the stem types over which inflectional classes may be stated.

Stem aspect as a derivational macro-category is conceptually similar to the notion of *Aktionsart*. Like Aktionsart, stem aspect emphasizes certain aspectual features of the base; it focuses on parts or phases of a situation. For example, Russian has Aktionsart variants such as *plakat* 'cry' and *zaplakat*' 'burst into tears'. In German, the verbs *lagen* 'read' and *erlagen* 'read through' have been described as Aktionsart alternations (Binnick 1991). Aktionsart alternants share a semantic core, yet they name different events. The distinctions that Okanagan makes at this level of the grammar go beyond variations in temporal focus. The category *stem aspect* also marks *agent modality*. Bybee (1985) observes that agent modality 'imposes lexical condi-

<sup>&</sup>lt;sup>35</sup>Binnick 1991 observes that Aktionsart distinctions (Aktionsarten) are usually thought of as derived forms of a verb, i.e. distinct lexical items. Aktionsart is, therefore, lexicalized aspect. Aktionsart contrasts with sentential aspect in that sentential aspect alternations are not derived lexical items, but versions of a single verb related by an inflectional paradigm. I discuss the three levels of aspect that I distinguish in Okanagan in Chapter 3, section 3.0.

tions on the agent with respect to its intentionality or ability'. <sup>36</sup> The ontological connections between temporal focus and agent modality are indeed obscure. Yet, as I will demonstrate here and in Chapter 3, the formatives that have been traditionally associated with protagonist control also signal stem aspect. <sup>37</sup> For this reason, I define the stem aspect paradigm as one that creates aspectuomodal derivates of basic situation types (situation types are classified in Chapter 3, section 3.3).

All of the stem aspect WFRs have suffixal formatives, except for a single, narrowly-distributed infix (section 2.2.2.10). Apart from this infix, stem aspect formatives occur at the right edge of the stem. Inflectional suffixes, if any, follow the stem aspect formatives (section 2.2). The default stem of a base does not have a stem aspect suffix or exhibit any of the aspectual nuances marked by stem aspect WFRs. Its stem aspect follows from the

<sup>&</sup>lt;sup>36</sup>Mood, by contrast, has an entire proposition in its scope and signals 'how the speaker chooses to put the proposition into the discourse context' (Bybee 1985:165).

identified at least some of the transitive suffixes with aspectual functions for Coeur d'Alene and Kalispel, respectively. A. Mattina (1982) describes the transitive suffix -st as marking 'customary stems'. Hébert (1982b) argues that Nicola Valley Okanagan -st and -nt are aspectual variants rather than control variants. Doak (1993) finds -st in Coeur d'Alene to play a role in participant tracking, a function associated with aspectual categories in other languages. Most recently, B. Carlson (forthcoming) argues that the suffix -nú has a simultaneous control/aspect function. Semantic and formal linkages between tense/aspect and modality are likely to be explained by diachronic analyses rather than synchronic ones (Bybee et al. 1994).

aspectual features of the base (see Chapter 3, section 3.3).

In (61), I list the names of the WFRs that are in the stem aspect paradigm. The typical formatives of these WFRs are shown beside the label. I will describe each of these WFRs individually in sections. 2.2.2.1 through 2.2.2.13. There may be other stem aspect WFRs in Okanagan, and I discuss these in section 2.2.2.14.

(61) closed transitional -nt, -st, ∅ open transitional -st possessional -†t dative -x(i)treflexive -(n)cút middle -(V)m -(n)wíxw reciprocal causative -st continuative -(mi)x,  $-(mix)-a^{9}x$ anticausative -p, - $^{9}$ -, -wîlx, -(V)C<sub>2</sub> rdp. limited control -núnt, núst, -nutt desiderative (n-)...-ils stative -t, CVC rdp., -scút, -ú<sup>4</sup>, -ímn

2.2.2.1 Closed transitionals. The WFR that I refer to by the label closed transitional creates a stem type with these characteristics: 1) it encodes two participants, an external and an internal argument, and 2) it is an event that includes a product or outcome.<sup>38</sup> Typically, closed transitional stems

<sup>&</sup>lt;sup>38</sup>Mourelatos (1981) argues that the distinction between English achievements (e.g. win a race) and accomplishments (e.g. run a mile) in the Vendler typology (Vendler 1957) obscures the fact that both verb types involve 'a product, upshot, or outcome' (1981:193). Mourelatos classifies such verbs as events. Because Okanagan also distinguishes events from other situation types, I give a representation for them in Chapter 3.

include the suffix -nt. (62) is an example of a closed transitional stem (ctr). The stem is inflected with the ergative person marking set. The sentential aspect is perfective.

- (62) pixnt -xw i<sup>9</sup> skmxist hunt(ctr) -2sERG art bear You hunted the bear.
- (63) lists additional examples of closed transitional stems with the suffix -nt.

(63)

**BASE** 

Closed transitional stem

```
//p'ic'//
                                     p'ic'+nt-
                                                                           pinch s.t.
//nik'//
                                     nik'+nt-
                                                                           cut s.t.
//txwuymin//
                                     tx^{w}uymn+(n)t-
                                                                           go meet s.o.
//cənx\lambda'iks//
                                     cənx\u00e4'iks+nt-
                                                                           hand out s.t.
//nməlxa<sup>9</sup>cín//
                                     nm = l \times a^{\gamma} c \cdot (n) t
                                                                           lie about s.o.
//cqwən'qwən'scinmən//
                                     cq^{w}ən'q^{w}ən'scinmən +(n)t-
                                                                          beg for s.t.
//\dwin//
                                     4win + (n)t-
                                                                           leave s.o. behind
                                     <sup>9</sup>ayx™tmín(n)t-
//<sup>9</sup>ayx<sup>w</sup>tmin//
                                                                           tire of s.o.
```

Not all closed transitional stems include the suffix -nt. The closed transitional stems in (64) have the suffix -st.

The bases in (64) do not have an alternate form with the -nt suffix. They are formed from bases that do not belong to the class of bases that form causatives

(section 2.2.2.8) with the suffix -st. Furthermore, they do not require customary sentential aspect as do other stems with -st that I describe as open transitionals (see following section). Synchronically, the closed transitional stems in (64) are simply morphologically irregular.<sup>39 40</sup>

2.2.2.2 Open transitionals. A second kind of stem aspect WFR creates *open transitional* stems (*otr*). Open transitionals are very similar to closed transitions. They encode two participants and they name an event that has a result or outcome. The open transitional, however, focuses on the internal temporal constituency of the event. It is inherently imperfective or durative. This stem type is always inflected with the *customary* sentential aspect (section 2.2.1.2). The stem formative for open transitionals is *-st*. (The customary prefix is *ac-*). The contrast between closed and open transitionals is illustrated in (65) and (66).

- (65) a. kwu p'ic'+nt -xw lsOBJ pinch(ctr) 2sERG You pinched me.
  - b. kwu ac- p'íc'+st -xw 1sOBJ cust pinch(otr) 2sERG You customarily pinch me.

<sup>&</sup>lt;sup>39</sup>Thompson and Thompson 1992:71 report a handful of similar cases in Thompson Salish. B. Carlson (forthcoming) notes that -st alternates with -nt in Spokane.

<sup>&</sup>lt;sup>40</sup>Note also that the -st is present for all persons of the person paradigm. -nt, by contrast, is not present in the 1s and 3s/p persons when the base contains a strong root (section 2.1.1).

- (66) a. p'ix -n i' sk'a'c'ínm hunt(ctr) 1sERG art deer

  I hunted the deer.
  - b. ac- p'íx+st -n i? sk'a?c'ínm

    cust hunt(otr) 1sERG art deer

    I usually hunt deer.

The imperfectivity of open transitionals cannot be tested directly because these stems always occur with imperfective customary prefix. The customary prefix never occurs with closed transitionals. For this reason, I distinguish closed from open transitionals.

- 2.2.2.3 Possessionals. All bases that form closed transitionals also have a derivate that has *possessional* stem aspect (*pos*). Possessionals encode two animate participants. The external argument is typically agentive, and the internal argument is a possessor. A third participant is the possessee (i.e. the thing possessed by the possessor), which is usually, but does not have to be, inanimate. Like the closed and open transitionals, possessionals encode an outcome. The difference between closed transitionals and possessionals is illustrated in (67)-(69).
- (67) a. k<sup>w</sup>u λ'a<sup>γ</sup>λ'a<sup>γ</sup>+nt -ís 1sOBJ look\_for(ctr) 3sERG He looked for me.
  - b. kwu \(\lambda'a^2\lambda'a^2+\forall t\) -is i- sqwəsqwsi?

    1sOBJ look for(pos) 3sERG 1sPOSS children

He looked for my children.

- (68) a. ma<sup>(\*)</sup> -n i<sup>(\*)</sup> laprít break(ctr) 1sERG art bridle I broke the bridle.
  - b. ma<sup>c</sup> +tt -s -n an-laprít break(pos) 2sOBJ 1sERG 2sPOSS bridle I broke your bridle.
- (69) a. nxλ'îks -n i<sup>9</sup> sntsípna<sup>9</sup> pass\_around(ctr) 1sERG art cigars
  I passed around the cigars.
  - b. nx\(\lambda\)'ikst+\(\frac{1}{2}\)t -n i\(\gamma\) sn\(\frac{1}{2}\)single pass\_around(pos) 1sERG art cigars 3sPOSS

    I passed around his cigars.

Speakers translate some possessional stems with English datives. The transfer of possession that English datives denote is suggested in some Okanagan possessionals, as in (70).

(70) x<sup>w</sup>íc+tt -s -n an- lasmíst give(pos) 2sOBJ 1sERG 2sPOSS shirt I gave you your shirt.

However, many tokens demonstrate that 'transfer of possession' is not the semantic focus of possessional stems.<sup>41</sup>

<sup>&</sup>lt;sup>41</sup>Not only are stems in possessional aspect not generally transfers of possession, neither should they be characterized as 'benefactive' or 'malefactive'. In stems that appear to encode transfer of possession, the direction of transfer is inherent in the base meaning. Bases such as //naq'wm// STEAL and //maq''// BREAK reference a loss of possession, while bases such as //xwic'// GIVE and //k'wul'// MAKE reference a gain of possession. The WFR that produces possessional stems does not assign benefactive or malefactive interpretations to its output.

- (71) c<sup>c</sup>aw+tt -s -n a- sq<sup>w</sup>əsq<sup>w</sup>sí<sup>?</sup> bathe(pos) 2sOBJ 1sERG 2sPOSS children
  I bathed your children.
- (72) k<sup>w</sup>u 'c'aw'm+tt -x<sup>w</sup> ya<sup>o</sup> 'cacsqáxa<sup>o</sup>tn 1sOBJ loosen(pos) 2sERG art reins Let loose my reins. GW23
- (73) lut t'ə ka<sup>9</sup>kíc+tt -səlx i<sup>9</sup> sqiltk -s neg ev find(pos) 3pERG art body 3sPOSS They never found his body. COD27
- (74) ník'+tt -n
  cut(pos) 1sERG
  I cut something of his.

The key difference between a possessional stem and a closed (or open) transitional is that the internal argument of a possessional stem must be a possessor. The possessee is logically implied. When the clause head is a possessional stem, the possessee is expressed in an NP (e.g. (71)-(73)). 42

2.2.2.4 Dative. Some bases that form closed and open transitionals also form derivates in *dative* stem aspect (*dat*). Dative stems encode two participants. Both the external and internal arguments must have animate referents. Because this stem type denotes a transfer of possession or benefit from an agent to a recipient, a third entity is logically implied. This third

<sup>&</sup>lt;sup>42</sup>Closely related in form are predicates containing the suffix -tútt. These predicates are extremely rare, and difficult to elicit. A. Mattina 1994 describes them briefly, but I do not include them here because I have not studied them sufficiently.

participant is typically inanimate and generic. I refer to it as the *theme*. Like closed and open transitionals and possessionals, datives encode an outcome. I exemplify the dative in (75).

(75) kwu nik'+xt -xw i' t síp'i' 1sOBJ cut(dat) 2sERG art cm hide You cut some hide for me.

Relatively few bases have derivates with dative stem aspect. Bases that do not have a dative derivate include those with external arguments that are experiencers. For example, a dative derivate of the base //wik// SEE, wikxt'see s.t. for s.o.' was rejected by speakers. Other bases, such as //?i†n// EAT and //q'əm// SWALLOW do not have dative derivates (i.e. \*?i†xt- 'eat for s.o.' and \*q'əmxit- 'swallow for s.o.'). Actions that cannot be delegated to another person do not lend themselves to dative predications. 43

A second kind of base that rarely has a dative derivate is that which ends in a suffix -mín. This suffix has cognates in all the Interior Salish languages (see especially descriptions of -mín in Kinkade 1980, Thompson and Thompson 1980, Kuipers 1992, and L. Thomason 1994). The distribution of Okanagan -mín is idiosyncratic and not parallel to that reported for other

<sup>&</sup>lt;sup>43</sup>A delegative reading, when it is possible, arises naturally from some benefactive acts. If someone cooks a meal for you, then it is possible to <sup>a</sup> assume that he cooked in your stead. If someone swallows for you, he can swallow something at your command, but not in your stead. B. Carlson 1980 reports a consistent delegative reading for the cognate stem type in Spokane.

Southern Interior languages. 44 It is best analyzed as a base formative (A.

Mattina 1994). I have not determined what motivates the failure of these bases to form datives. 45

The contrast in meaning between datives and possessionals is brought out by the data in (76)-(80).

(76) a. nc'iw+tt -məlx n<sup>9</sup>ítntn -səlx wash(pos) Unsubp dishes 3pPOSS

She washed their dishes.

<sup>&</sup>lt;sup>45</sup>Okanagan -mín does occur in closed and open transitionals, and in posssessionals. In many stems, its presence correlates with a lexicalized path, as in the examples in (i). Other stems lack this correlation, as shown in (ii).

$k^w u k^9 u k^w t - m(n) + (nt) - s$	He crawled towards me.
<sup>9</sup> uk <sup>w</sup> t	He crawled.
$tx^{w}ist-mn+(n)t-m$	They walked towards someone.
x <sup>w</sup> ist	He walked.
s = p' - mi(n) + (nt) - n	I batted it away.
səp'+nt-ís	He batted it.
yr-mín+(n)t-x <sup>w</sup>	You pushed it.
ir+nt-ín	I rolled (coiled) it.
	<pre>Puk<sup>w</sup>t tx<sup>w</sup>ist-mn+(n)t-m x<sup>w</sup>ist səp'-mi(n)+(nt)-n səp'+nt-is yr-min+(n)t-x<sup>w</sup></pre>

This suffix may be polyfunctional, with one or more of its functions being stem aspect marking. I do not purse this possibility here. There is one dative stem that might be analyzed as containing -mín (reduced to -m): nəq mxít'steal s.t. for s.o.'. I analyze this form as being derived from the base
//naq'm// STEAL.

<sup>&</sup>lt;sup>44</sup>See, for example, Kinkade 1982b on Columbian, and L. Thomason 1994 on Montana Salish. In these two languages, *-mín* does occur in dative stems.

- b. nc'íw'+xt -n i' t n'itntn wash(dat) 1sERG art cs dishes
  I washed some dishes for them.
- (77) a. way' kwi(n)+tt -s -ən a- sk'ətpá''x prt grab(pos) 2sOBJ 1sERG 2sPOSS advice I'll take your advice.
  - b. kwní+xt -əm i? t kdnt'ək'wmín -s grab(dat) lpERG art cs coffin 3sPOSS We'll get him a coffin.
- (78) a. k'\*a'k'\*a'+tt -in chew(pos) 1sERG
  I chewed it up on him.
  - b. k'\*a'k'\*a'+xit -n
    chew(dat) 1sERG
    I chewed it for him (because he is a toothless elder).
- (79) a. λ'x wúp+tt -n win(pos) 1sERG
  I won it off of him.
  - λ'x<sup>w</sup>úp+xt -n
     win(dat) 1sERG
     I won it for him.
- (80) a.  $\check{x}aq'+\dagger t$  -s pay(pos) 1sERG

  He paid him (paid him his due).
  - b. xaq'+xt -m -n
    pay(dat) 2sOBJ 1sERG
    I paid for you.

Okanagan datives encode a transfer of possession that is beneficial to an animate recipient. Possessionals do not typically encode a transfer. Unlike

closed and open transitionals, datives and possessionals logically imply a third participant. With datives, the third, or theme participant is the generic entity that is transferred. With possessionals, the theme is the possessee of the internal argument.

2.2.2.5 Reflexives. A working definition of the notion *reflexive* is that the external argument is coreferential with the internal argument, as in *Chris cut himself*. Most bases that form closed transitionals also form stems with this reflexive meaning. Reflexive stems (*ref*) encode an outcome that is the result of an intentional act by the external argument. The formatives that mark reflexive stem aspect are -(n)cút or -(s)cút.

(81)kn nək'+ncút I cut myself. I combed myself. kn tx+ncút kn k'wa?+ncút I bit myself. kn t'k'"+ncút I laid myself down. kn gcpm+ncút I shrank (myself) away. kn žnəm + scút I hurt myself. I killed myself. kn pəl+scút I lifted myself up. kn nuslx+scút

The suffixes -(n)cút and -(s)cút are commonly found in stems that do not have the basic reflexive meaning of 'agent acts intentionally on self'. These stems show a range of meanings that seem to be related to reflexivity, broadly interpreted. That is, cross-linguistically, reflexive markers have been associated with inherent, impersonal, aspectual (including stative and inceptive) and

middle reflexive constructions. 46 The stems in (82) contain the form of a reflexive suffix, but they do not derive from bases that also form closed and open transitionals. These stems do not denote 'agent acts intentionally on self' in any obvious way. Many of them are stative (section 2.2.2.13). 47

<sup>&</sup>lt;sup>46</sup>Nishida (1994) observes that the Spanish reflexive clitic se is commonly classified as marking simple reflexive (Los niños se miraron 'The children see themselves'); inherent (Juan se arrepintió 'Juan regrets'); middle (Los carros japoneses se venden bien aquí 'The Japanese cars sell well here'); passive (Esos puentes se construyeron en' 1800 'Those bridges were built in 1800'); and inchoative (Se han roto todos los vasos 'All the glasses have broken'). He adds to this list of reflexive constructions the use of se in transitive constructions such as Juan se tomó una copa de vino ('Juan drank a glass of wine'). The presence of se in a transitive clause demonstrates that se does not always reduce the valence of a verb. Nishida argues that se marks a class of situation types. Specifically, se marks a class of events and states that are quantitatively delimited. While Nishida's analysis of the Spanish reflexive clitic is not transportable to Okanagan, it is noteworthy that reflexive markers should have a similar range of functions in two unrelated languages. Moreover, these reflexive markers indicate the properties of argument structure and aspectual class simultaneously.

<sup>&</sup>lt;sup>47</sup>I segment the relevant suffix with '-' for expository convenience. My point is that the suffixes in (82) are a part of the base. They are not stem formatives affixed to a base through a synchronic WFR.

(82)ntərqp-ncút run x<sup>w</sup>ət'p-ncút run away 4x"4x"-ncut breathe kła<sup>9</sup>m-ncút get closer to s.t. °'\*əy-ncút laugh wəlq(n)-ncút recover (one's health) do one's best siym-scút <sup>c</sup>alp-ncút be the loser nq'wa'ls-ncút be cranky kwk-scut be lucky λ'əx-scút be in a hurry nλ'xc-ncút be loud k'ə\fwi\ganglena\gangle-sc\u00fct be prepared sq'"nəm-scút be pitiful cək<sup>w</sup>m-scút be hard to get x<sup>9</sup>im-scút be at the limit pəxpəx-scút be quickminded səlx<sup>w</sup>a<sup>9</sup>-scút be high class

Other stems have  $-(n)c\dot{u}t$  or  $-(s)c\dot{u}t$  followed by a stem aspect suffix.

This ordering of suffixes demonstrates that  $-(n)c\dot{u}t$  and  $-(s)c\dot{u}t$  are base formatives in this context. They do not contribute reflexive meaning or argument structure to the stem aspect of the stem. (The stem aspect suffixes are preceded by '+'.)

(83)

k''\*ay-ncút-mn+(n)t- laugh at s.o.

k'mpla'?-ncút-mn+nt- call s.o. a relative

nt'ina'?-scút+xt- dispute s.o.'s words to s.o.

There are also nouns that include  $-(n)c\dot{u}t$  or  $-(s)c\dot{u}t$ . I analyze these suffixes as base formatives in these forms also.

(84) scuw-ncút a person in training

k'\*əl'cn-cút-(t)n a cook k'\*əl'-ncút-(t)n Creator

sx-cút a companion

 $\lambda'a^{\gamma}\lambda a^{\gamma}$ -ncút-(t)n a searcher, seeker

The WFR that forms reflexive stems in the stem aspect paradigm impose the meaning 'agent intentionally acts on self'. The intentionality of the act is evident from the fact that speakers judged Okanagan translations of the deer cut itself and the infant cut himself with reflexive stems to be ill-formed. Thus reflexive stem aspect imposes the condition that the external argument controls his actions.

**2.2.2.6** Middles. A relatively small set of bases form *middle* stems. This stem type is parallel in meaning to English *he bathed* or *he shaved*. The formative that marks middle stem aspect is -(V)m. <sup>48</sup> In (85), I contrast middle stems with reflexives.

(85) kn\_tx+ám I combed./ I am combed. kn\_tx+ncút I combed myself.

<sup>&</sup>lt;sup>48</sup>Middle is typically a voice distinction, and it may be one in Okanagan. In section 2.2.2 I noted that I construe stem aspect broadly to include some notions such as agent control and intentionality that are not traditionally considered to be aspectual categories. Stem aspect, as I use it here refers to a group of categories that, like Aktionsarten, express *kinds of actions*. I include middles as one of these kinds of action, until a classification of voice types in Okanagan can be carried out. Clearly a complete classification of Okanagan's morphological categories should include descriptions of the interrelationships between kinds of action, inflectional aspect, mood, and voice.

kn\_c<sup>c</sup>alx+m

I bathed./ I am bathed.

kn c<sup>c</sup>alx+ncút

I bathed myself.

kn\_q<sup>w</sup>ácqn+m

I put a hat on./ I am hatted.

kn\_q"əcq+ncút

I put a hat on myself.

The difference between reflexive stem aspect and middle stem aspect is that in the latter, the outcome of the reflexive act is emphasized. In reflexives, the act and its outcome are equally prominent. The middle WFR applies to a much smaller class of base types than does the reflexive WFR. The bases that form middles are limited to those that denote acts of grooming or dressing.

- (86) a. //kc'aw'íw's// HAVE A WASHED FACE kn\_kc'aw'íw's+m
  I washed my face.
  - b. //aq'w// SCRAPE s.t. kn\_aq'w+m
    I shaved.
  - c. //<sup>9</sup>ip'// WIPE s.t. kn <sup>9</sup>íp' + m
    I wiped my face.
  - d. //pikst// GLOVE
    kn\_pikst+m
    I put my gloves on.
  - e. //lasmíst// SHIRT kn\_lasmíst+m I put a shirt on.
  - f. //kwilstn// SWEATBATH
    kn\_kwilstn+m
    I took a sweatbath.

Bases that are not related to grooming or dressing do not form middle stems.

Many stems that do not have middle stem aspect end in -(V)m in Okanagan. These include the default stem of many verbs. For example, some bases form a default stem that is formally identical to their middle derivate. The base //tx// COMB is an example of this type of base.

- (88) a. kn txam
  1sABS comb(mid)
  I combed/am combed.
  - b. kn txam
    1sABS comb
    I combed something.

There are also bases ending in -(V)m that denote expressive bodily acts, such as those in (89).

(89) ntək'tək'tám s.o. sobs
nc'ípc'əpsəm s.o. blinks his eyes (shut)
k'át'qnəm s.o. raises his head
k'ax mám s.o. yells
wəhám s.t. barks
x míwəm s.o. whistles
k'ram s.o. swims

While the bases in (89) have the semantics of middles, they do not appear to

be derived from simpler, non-middle forms. I conclude that -(V)m is a synchronically significant derivational suffix in some stems, and that it is lexicalized in many others.

- **2.2.2.7 Reciprocals.** The WFR that forms stems indicating reciprocity of action uses the suffixes  $-(n)wix^w$  and  $-twix^w$ . These formatives, like the reflexive formatives, express the operation of a derivational WFR in some stems, but are base formatives in others. Reciprocal stems encode an external and an internal argument who act upon one another. As the starred examples in (90e,f) illustrate, reciprocity is limited to certain kinds of actions.
- (90) a. kwu pəlst+wíxw 1pABS kill(rec)
  We will kill one another. COD229
  - b. kwu c- wk+twíxw əl sk'láxw 1pABS cust see(rec) in evening We see each other in the evening. COD230
  - c. m'ay'xt+wíx\*\*
    tell(rec)
    They talk things over.
  - d. kwu nsəxwna?mn+(n)wixw 1pABS understand(rec) Let's understand one another. COD229
  - e. \*k\*u p'y'q+nwíx\*

    1pABS cook(rec)

    ??We cook each other.

Like the reflexive suffixes, reciprocal suffixes often precede stem aspect suffixes. In this position, they are base formatives, as in (90).

(90) n<sup>9</sup>əlqs-nwíx<sup>w</sup>-m+(nt)-s-əlx c'əpq'mn-(n)wíx<sup>w</sup>+st-s-əlx fac-mn-(n)wíx<sup>w</sup>+st-x<sup>w</sup> a-snsíswxn k<sup>w</sup>nk<sup>w</sup>nks-nwíx<sup>w</sup>-mn+nt-əp

They fight over something.
They glue it together.
Tie your socks together.
You(pl) hold him on either side.

2.2.2.8 Causatives. The *causative* WFR creates a stem that encodes two participants, one of which, the external argument, is directly or indirectly responsible for the action or condition of the internal argument. The formative on stems with causative stem aspect is consistently *-st*. <sup>49</sup> Unlike the stem aspects described in sections 2.2.2.1-2.2.2.7, causatives (*caus*) are formed from bases that do not inherently encode an act with an outcome. Examples of stems in causative stem aspect appear in (91).

<sup>&</sup>lt;sup>49</sup>The suffix -st is also found in open transitionals and in some closed transitionals (sections 2.2.2.1 and 2.2.2.2).

//c'q"əq"// CRY	c'q"əq"+st-íx"	You made s.o. cry
//mut// SIT	mut+st-x <sup>w</sup>	You made s.o. sit
//pulx// CAMP	$pu!x + st-x^w$	You bedded s.o. down
//?itx// SLEEP	$^{9}$ itx + st-n	I made s.o. sleep
// <sup>?</sup> uk <sup>w</sup> t// CRAWL	<sup>?</sup> uk <sup>w</sup> t + st-n	I made s.o. crawl
//c'sap// GONE, EMPTY	c'sp+st-íx"	You emptied, finished s.t.
//k'sasq't// STORMY	k'sasq't+st-s	He made it stormy
//n¼' <sup>c</sup> ámcn// THIRSTY	n\u03e9'\u03e9amcn+st-n	I made him thirsty
//suy't// CHILLY	suy't+st-n	I made s.o. chilly
//x"əlx"ált// ALIVE	nì-te+tle"xl"x	I kept s.o. alive

**2.2.2.9 Continuatives.** All verb bases have a derivate that has continuative or progressive stem aspect (con). The continuous act does not have an outcome, and the aspectual focus is on the duration of the act. The WFR that forms stems with continuative stem aspect uses two formatives. One is  $-(mix)a^2x$ , the other is -(mi)x. (The long form of each affix is found with phonologically weak bases). The continuative stem that ends in  $-(mix)a^2x$  is used to form prospective sentential aspect in conjunction with the prefix ks-, which marks irrealis mood (section 2.1.1). Continuous and perfect continuous sentential aspects are formed on continuative stems that end in -(mi)x. The continuous and perfect continuous sentential aspect prefixes are sx- and sx-, respectively. Stems in continuative stem aspect, in each of their three sentential aspects, are illustrated in (92)-(94).

 $<sup>^{50}</sup>$ A. Mattina 1993a sets up the aspectual circumfixes /ks-...(míx)a $^{9}$ x/ future progressive' and /səc-...-(mí)x/ 'perfect'. I analyze these constructions as containing inflectional aspect prefixes (e.g. ks- and səc-) that attach to stems

- (92) Prospective (a) strong (b) weak
- a. kn ks- ník'+a'x
  1sABS irr cut(con)
  I am going to cut (something).
- b. kn ks-  $\lambda$ 'a'\lambda'a'+mixa'\lambda 1sABS irr look\_for(con)

  I am going to look for (something).
- (93) Continuous (a) strong (b) weak
- a. kn səc- nik'+x
  1sABS cont cut(con)
  I am cutting (something).
- b. kn səc-  $\lambda$ 'a'\lambda'a' + míx 1sABS cont look\_for(con) I am looking for (something).
- (94) Perfect continous (a) strong (b) weak
- a. kn s- x<sup>w</sup>úy+x 1sABS pcont go(con) I'm going.
- b. kn s- k'əwp+míx 1sABS pcont silent(con) I am silent.
- 2.2.2.10 Anticausatives. The stem aspect anticausative (ac) refers to a stem type that denotes a change of state without reference to the act leading

that contain derivational suffixes  $-(mix)a^2x$  or -(mi)x. I classify  $-(mix)a^2x$  and -(mi)x as derivational suffixes on the grounds that they cannot co-occur with other stem aspect formatives. Therefore, they are not like inflectional sentential aspect markers, which occur with a variety of stem aspect types. Furthermore, all sentential aspect markers are prefixal, and my analysis maintains this general pattern.

up to the change. Anticausatives encode a single participant, the external argument, who is a notional patient. There are a number of formatives associated with the anticausative WFR. They include the suffixes -(V)p, -(t)wtlx, and a reduplicative suffix that targets the second consonant of the phonological root of a base. I refer to this formative as -(V)C<sub>2</sub> reduplication, following A. Mattina 1993b. Last, some anticausatives are formed with an infixed glottal stop (-2-).<sup>51</sup> The phonological root is also the target for this infix.<sup>52</sup> In the (a) examples of (95)-(102), I give examples of anticausative stems. The (b) examples are the closed transitional counterparts of the anticausative derivates. The anticausatives in examples (101)-(102) do not have closed transitional counterparts; I contrast them with their stative counterparts.

- (95) a. kn k'†k't'+áp 1sABS separate(ac) I got cut off/separated.
  - k'tk't'+nt -isəlx
     separate(ctr) 3pERG
     They cut it off (as in cutting a cattle herd).

<sup>&</sup>lt;sup>51</sup>The distribution of the formatives may be determined at least partly on phonological grounds. Comparative evidence suggests that -p and -?- were at one time correlated with weak and strong roots, respectively, in the Interior languages. Kinkade 1989 observes that this pattern seems to be breaking down across the subgroup, with the infix occurring less commonly than the suffix. Infixed forms are less numerous than suffixed ones in the Okanagan corpus.

<sup>&</sup>lt;sup>52</sup>The tradition is to treat these formatives as separate morphemes. However, it is clear that stems with these formatives are basically similar in their aspect, category, thematic structure, and argument structure. I discuss these properties in more detail in Chapter 3.

- (96) a. ta<sup>9</sup>lí<sup>9</sup> u<sup>4</sup> cx<sup>\*</sup> + áp really sb stock(ac) It's really stocked up.
  - b.  $c\check{x}^w + nt$  -in i? t sc'iten stock(ctr) 1sERG art cs food
    I stocked it with food.
- (97) a. ck'+ák' i' sqlaw' count(ac) art money The money is counted.
  - b. ck'+nt -isəlx count(ctr) 3pERG
    They counted it.
- (98) a. °ac+ác i' snktc'a'sqáxa' tie(ac) art horse

  The horse got tied up.
  - b. Cac+nt -is i? sic'm tie(ctr) 3sERG art blanket He tied up the blanket.
- (99) a. kn tq'lá+<sup>9</sup>+x<sup>w</sup>
  1sABS hook(ac)
  I got hooked (on something like a nail).
  - b. tq'lx"+nt -in il k'4nk'əmip hook(ctr) 1sERG on door I hooked it on the door.
- (100) a.  $p'+?+áx^w$  shine(ac) It's lit up.
  - b. p'ix"+nt
    shine(ctr-imperative)
    Shine it.

- - b. kn xast
    1sABS good(sta)
    I am fine.
- (102) a. ixí<sup>9</sup> səlx<sup>w</sup>a<sup>9</sup>+twilx dpr big(ac)
  It's gotten big.
  - b. ixí<sup>9</sup> sílx<sup>w</sup>a<sup>9</sup> dpr big(sta) It's big.

Multiple anticausative formatives do not usually occur in a single stem.<sup>53</sup> Rarely, a base will have more than one anticausative, as in the doublet 'amáp and 'am'am 'it's thawing/thawed'. Although anticausatives do not refer to the act leading up to the change of state, an instrument, source, or agent is logically implied. This logically implied participant is expressed in an oblique NP, as in (103)-(105).

(103) kn ník'+ək' i' t ník'mn lsABS cut(ac) art cs knife

sometime anticausative formatives occur inside the base, where they have been lexicalized. For example, the stem nk'a'sils seems analyzable as having the anticausative marker -?- in it. However, the stem means 'be angry' not 'become angry'. To say that someone became angry, the form is nk'a'sals+wilx. Thus, only the suffix -wilx produces the canonical anticausative meaning. This 'double' marking of a notion is familiar from English words like reduplication and reiterate. It supports my contention that form and function are not consistently mutually implied.

I got cut with a knife.

- (104) <sup>c</sup>am+áp i<sup>2</sup> t xəyátnəx<sup>w</sup> thaw(ac) art cs sun

  It was thawed by the sun.
- (105) t'i p'++'+áx" i' t nc'ík'" əsxntn ev shine(ac) art cs lamp It's lit up by a lamp.

**2.2.2.11 Limited control.** Limited control stems (lc) translate with English 'someone managed to [verb]', as in I managed to finish it. As the English translation implies, the external argument of the limited control stem refers to an agent who manages to bring about a result, either with difficulty or accidentally. That is, the external argument referent is less than efficient and exercises limited control over his act. There are three subtypes of limited control stems. The limited control WFR forms stems that have the properties of closed transitionals (section 2.2.2.1), open transitionals (section 2.2.2.2), and possessionals (section 2.2.2.3). The suffixes that mark limited control stem are -núnt, -núst, and -nútt, respectively. In addition, limited control stems typically show reduplication of the second consonant of the phonological root. I illustrate each limited control subtype in (106)-(108), contrasted with its nonlimited control counterpart.

(106) a. n<sup>c</sup>al+nt -ín sink(ctr) 1sERG I sank it.

- b. n<sup>c</sup>al·+núnt -x<sup>w</sup>
  sink(lc-ctr) 2sERG
  You managed to sink it.
- (107) a. ac- n<sup>c</sup>al+st -in cust sink(otr) 1sERG
  I usually sink it.
  - b. ac- n<sup>c</sup>al·+núst -x<sup>w</sup>
    cust sink(lc-otr) 2sERG
    You could (usually) sink it.
- (108) a. n<sup>o</sup>al+4t -in i<sup>o</sup> 4kap -s sink(pos) 1sERG art bucket 3sPOSS I sank his bucket on him.
  - b. n<sup>c</sup>al·+núłt -x<sup>w</sup>
     sink(lc-pos) 2sERG
     You managed to sink something of his.
- **2.2.2.12 Desideratives.** Stems in desiderative stem aspect encode an external argument who wishes to perform the act named by the base. The formative in most cases is the circumfixal (n+)...+ils. However, in some cases the prefixal portion of the marker is absent. Examples of the desiderative (des) appear in (109).
- I want to go.

  k''\_n+pəlx+ils

  kn\_n+c'aynst+ils

  kn\_n+k'm+ils

  kn\_n+pəxm+ils

  kn\_n+pəxm+ils

  kn\_n+pəxm+ils

  kn\_n+pəc'm+ils

  I want to cut (something).

  I want to hunt (something).

  I want to pinch (something).

 $kn_sa^sst+ils$  I want to drink.  $k^w_smat+ils$  You want to sit. The formative (n+)...+ils appears in words that lack desiderative stem aspect, i.e. the notion that a cognizer wants to do  $X^{54}$ . In these cases, the formative is found in a word with a stem aspect suffix. I analyze (n+)...+ils in these contexts as a base formative. Examples of (n+)...+ils as a base formative appear in (110).

(110) n-k'a<sup>2</sup>s-íls be angry, cranky n-st-íls think

kt-il·s stomach bursts

n-q'a?-ils the matter, business k't?amt-ils+nt- sit in front of someone

n-ac'nt-ils be preoccupied k'ttwn-ls-mist lack confidence

q'səsp-ils-mn+(n)t- wait for someone a long time

tkex-ils be hurting, suffering

npút-əls be satisfied

2.2.2.13 Statives. Stems in *stative* stem aspect are non-dynamic derivates that encode the notion 'have the property x'. Formal markers are various, including a suffix -t and a combination of this suffix with reduplication of the root form. The suffix -scút may also mark statives (sta).

<sup>&</sup>lt;sup>54</sup>Historically and comparatively, *-ils* is analyzable as a lexical suffix that refers to feelings or internal states.

## (111) Statives with -t

```
n<sup>c</sup>al+t
                sunk
sal'+t
                lost
k'iy+t
                cold
suy'+t
                chilly
c'a+t
                cold
k^{w}al+t
                warm
c'ak'"+t
                stiff
n<sup>c</sup>as+t
                heavy
qi+t
                wakened
```

## (112) Statives with root reduplication and -t

```
tttat+t straight, honest

kwrkwri?+t golden

cixcx+t very hot

c'wc'caw+t hard to peel

qmqam+t be laying about
```

## (113) Statives with -scút

Statives that are derived by the stative WFR are not formally distinct from the default stems of State bases (for a classification of base types, see Chapter 3). The stems in (114) are statives that do not derive from active bases, as the derived statives in (111)-(113) do.

(114)fast (\*\hat{\chi}'a\hat{\chi}) λ'axt (\*xwup) x<sup>w</sup>upt tired galt (\*qal) raw sílx<sup>w</sup>a? big dark c'uy white piq kəka<sup>9</sup>lí<sup>9</sup> slow x<sup>w</sup>əlx<sup>w</sup>ált alive, healthy  $(*x^walx^wál, *x^wal)$ q"əmq"ámt excellent, beautiful (\*q\*amq\*árn, \*q\*am) (\*płał) thick płałt (\*pa<sup>9</sup>pá<sup>9</sup>, \*pa<sup>9</sup>) pa<sup>9</sup>pá<sup>9</sup>t generous be hard up, pitiful (\*q"n'q"án, \*q"an) q<sup>w</sup>n'q<sup>w</sup>án't (\*kwuskws, \*kwus) kwuskwst frisky žá<sup>γ</sup>ža<sup>γ</sup> sacred

The frequency of the suffix -t and root reduplication in these statives that are not derived from active bases suggests that -t and root reduplication are historically important stative formatives. However, because there is an imperfect correspondence between -t and/or root reduplication and stative meaning, I am uncertain about the synchronic productivity of stative formation with these markers. The pairs of stems in (115) and (116) do not show active (e.g.(a)) versus stative (e.g (b)) semantics, even though the stems in the (b) examples have -t or root reduplication.

- (115) limt happy, glad límləmt thank you
- (116) qwəlqwilt talk qwəlqwilst talk to someone

A subtype of stative stems is the characteristic stem, described in A.

Mattina 1993b. The formatives -imn and -it are found in stems denoting habitual or repeated behaviors that characterize an individual. A. Mattina notes that -it occurs in stems in which the root is reduplicated. The suffix -imn occurs in stems in which the root is not reduplicated. I exemplify these in (117) and (118).

- (117) q\*əlq\*əlt+ú† talks all the time, likes to tik
  \*q\*əlt+ímn
- (118) 'atn' + imn eats all the time, likes to eat

  \*'at'atn + út

Like other statives, stems with  $-\dot{u}t$  and  $-\dot{t}mn$  tend not to be found in continuous aspect (e.g.  $?kn\_s\partial c + ?atx + \dot{t}mn + x$  'He's being a sleeper'), but may be possible with other stems, e.g.  $kn\_s\partial c + q^w\partial l'q^w\partial l't + \dot{u}t + x$  'I'm being a real talker'. In general, statives tend to be aspectually ambiguous, which is one of their typological characteristics.

2.2.2.14 Other possible stem aspects. There are a number of other suffixes in Okanagan that may signal additional stem aspects. I do not describe them because I am not yet able to offer an analysis of them. Other stem aspects may include distributive (marked by root reduplication), diminutive (marked by C<sub>1</sub>- reduplication) and root possibility (marked by -i?st or -mist). I discuss distributive aspect in Chapter 4, section 4.3 with respect to the kind of bases that are compatible with it. I leave for future research a full description

of distributive, diminutive and root possibility formations in the stem aspect paradigm.

2.2.2.15 Stem aspect and inflectional classes. Stem aspects correspond to the inflectional classes *transitive* and *intransitive* as shown in (119).

(119)	Transitive	Ir transitive
	closed transitional	reflexive
	open transitional	middle
	possessional	anticausative
	dative	continuative
	causative	desiderative
	limited control	stative

That is to say, each of the stem types in the Transitive column has all of the person/sentential aspect inflections and the properties of the lexical conceptual structure associated with predicate transitivity. The stem types in the Intransitive column share inflectional patterns and LCS properties that distinguish them from transitives. Canonically, transitive stems inflect with the ergative person marking paradigm, while intransitive stems inflect with the absolutive paradigm. However, the genitive person marking paradigm is used for either stem type when the stem is in irrealis mood or neutral aspect.

Because neither inflectional nor derivational markers exhaustively distinguish transitive stems from intransitive stems, the two inflectional classes must be distinguished on the basis of the meaning of the stem. Thus, properties of

lexical conceptual structure (LCS) play an important role in determining the inflectional class of a stem. I give LCS representations for most of these stems types in section 3.4.

2.2.2.16 Category-changing rules. Okanagan has WFRs that change verbs into nouns, and nouns into verbs. In Chapter 4, I discuss the tests for category that make it necessary to set up category-changing WFRs. Okanagan uses a variety of means to indicate categorial derivates, including what is commonly referred to as zero derivation. Here, I briefly introduce some of the formations used in category-changing WFRs. I focus only on the change from verbs to nouns and from nouns to verbs.

The morphology associated with lexical verb → noun word formation rules includes prefixes and suffixes. (120) gives the most common markers and examples.

(120)

Formative	Verb	Noun	
s- -tn -mín sx*- √redup.	<sup>?</sup> ayx "t 'tired' nt'ək' "ki' sqáxa? 'mount' q'y'am 'write s.t.' k' "ul'm 'make, do' c'lam 'stand s.t. up'	s+'ayx''t nt'ək'''ki''sqáxa''+tn q'əy'+mín sx''+k'''ul'm c'l+c'al	fatigue saddle writing tool worker timber
•			

In addition, some lexical affixes appear to nominalize a verb base. Some Many plant names show the lexical suffix =(i)tp as in (121) (examples are from COD82). The glosses show that these names are not straight-forwardly derived from simpler bases.

(i). 'hit on the head' səp'=qín hit=head b. səp'=ilsxn 'hit on the forehead' hit=forehead 'hit on the nose' c. səp'=aqs hit=nose d. k'<sup>w</sup>əλ'=qín 'take (hat) off of head' pull off=head

Yet more often, the apparent meanings of lexical affixes are lost through lexicalization. For example, the lexical suffix = us refers transparently to 'eye' in many words (e.g. t+x u'x u'=us (dry-eye) 'dry eyes'), 'face' in others (e.g. p'y=us (wrinkled-face) 'wrinkled face'. In other cases, the 'meaning' of u is not clear.

(ii). a. sy-sy=ús 'powerful'
?=ús
b. kt-xn=ús 'sunset low on the horizon'
on-low(?)=ús
c. λ'a?=ús 'look around'
fetch/look(?)=ús

Furthermore, the possible combinations of lexical affixes with bases cannot be easily predicted. Based on the examples in (i.a-c), we would expect the form for 'hit on the mouth' to be the verb base sap' plus the lexical affix that often means 'mouth', =cin. However, the form is not \*sap'=cin but  $sap'=atx^wl$  (hit=mouth/throat/neck) or sap'p'=aws=qn (hit=middle=head). The form for 'hit in the face' is sap'p'=w's=us (hit=middle=face). (The doubling of p' is unexplained in the last two examples.)

<sup>&</sup>lt;sup>55</sup>Lexical affixes, most of which are suffixes, behave like bound lexemes in some words, like word formation formatives in others. That is, they seem to contribute semantic content to a base as in compounding: (i).

- b. cq'=í†p Douglas fir ?=í†p
- c. páx pox grey willow (spread?)=tp

Other plant names show no lexical suffix, and still others have a lexical suffix other than =(i)tp, as in (122).

Because lexical affixes nominalize verb bases only sporadically, I do not analyze them as the formatives of category-changing WFRs derivational in every stem. A full treatment of the distribution and function of lexical affixes is beyond the scope of this thesis.

Okanagan also has WFRs that derive verbs from nouns. Two productive WFRs that form verbs from nouns are 1) 'have something' verb formation and 2) 'get something' verb formation. The formatives are kt- and  $ta^2x^w$ -t-, respectively. 56 Denominals are exemplified in (123).

 $<sup>^{56}</sup>ta^{9}x^{w}$ - requires what A. Mattina (1987) calls a 'compound connector', the segment -t-. It is lost before stems that begin with s. It may be that both of the denominal constructions exemplified in (123) are better understood as compounds. I assume that they are lexically derived.

(123)

Formative Noun Verb

kt- //p'ína<sup>?</sup>// BASKET kt+p'ína<sup>?</sup> s.o. has a basket ta<sup>?</sup>x<sup>w</sup>+t- //citx<sup>w</sup>// HOUSE ta<sup>?</sup>x<sup>w</sup>+t+cítx<sup>w</sup> s.o.gets a house

The derivation of verbs from nouns also takes place by zero derivation, where a WFR applies without an affix or other formative. I discuss denominals and zero derivation in more detail in Chapter 4.

grammar at the level of the sentence or clause, and at the level of the word.

Okanagan sentences are of two main types: 1) those headed by a verbal predicate, and 2) those that consist of two NPs in an equivalence relationship. The latter are equational clauses. A verbally-headed clause is transitive if its head is notionally transitive. Notionally transitive heads encode an external argument and a referential internal argument. Other verb heads are notionally intransitive; they head syntactically intransitive clauses. Syntactically transitive clauses have both a subject and an object. Other grammatical relations in Okanagan are second object and oblique object. Person marking, nominal case marking and NP extraction tests reveal these four grammatical relations.

The Okanagan word consists of a base plus derivational and inflectional markers. Inflectional formatives mark person (a synthetic marker of person,

number, and, on verbs, grammatical function) and one of three sentential aspects, perfective, imperfective, or neutral. At least one contrast in sentential mood, the irrealis/realis contrast, plays a role in the inflectional paradigms that mark person and sentential aspect. An important derivational paradigm marks stem aspect, the aspectuo-modal alternations that create distinct but related derivates of a base verb.

The stem level is pivotal in the description of word formation in Okanagan. On the one hand, the stem aspect of a stem determines the inflectional class of the stem. On the other, certain stem aspects are restricted to certain base types. I investigate the role of the stem and stem aspect WFRs in this diagnostic function in the next chapter.

## Chapter 3. Base Aspect

**3.0 Introduction.** Okanagan bases differ with respect to their derivational possibilities. Specifically, certain stem aspects can be formed from one base type, but cannot be formed from another base type. In this chapter, I describe the contrastive distribution of stem aspects over four base types. The inherent aspect of the base conditions which stem aspect alternants a base can have. This inherent aspect, which I refer to as *base aspect*, differs from both stem aspect (section 2.2.2) and sentential aspect (section 2.2.1.2). Base aspect is the set of categories that characterize situations by ontological or conceptual criteria. For example, classifications of situation types universally include an intuitive distinction between states and non-states, even though this contrast is rarely distinguished in a straightforward manner in the grammar of a particular language. Base aspect, then, is best understood as a small set of

<sup>&</sup>lt;sup>1</sup>In his detailed survey of tense and aspect, Binnick (1991) finds that at least three kinds of aspect are distinguished in the cross-linguistic literature. His three types are Aristotelian aspect, Aktionsart, and aspect proper; these are the categories I recognize in Okanagan as base, stem, and sentential aspect. Binnick states that his first two categories, Aristotelian aspect and Aktionsarten, are lexical categories, while aspect proper reflects 'how a speaker intends to fit the situation into the discourse'. Most aspectologists recognize more than one level of aspect and see them as nested, semi-autonomous layers (e.g. Chung and Timberlake 1985).

<sup>&</sup>lt;sup>2</sup>There is a huge literature, dating back at least to Aristotle, that attempts to give language specific and universal linguistic tests for situation type. Recent proposals include Vendler 1957, Kenny 1963, Mourelatos 1981, Verkuyl 1989, and Smith 1991 and sources cited therein. As for the success of any one

situation prototypes that speakers understand as basic and given. In (1), I summarize the properties of base, stem, and sentential aspect in Okanagan.

(1) Base aspect primitive situation type, inherent, ontological, prototypical

Stem aspect derivational, serves to focus a temporal phase or modality

Sentential aspect inflectional, sets the situation in a temporal frame in sequence with other situations in the discourse

In the classification of Okanagan bases that follows, I recognize three situation types that I call Transitions, Processes, and States, following Pustejovsky 1991.<sup>3</sup> I describe each of these base aspects in section 3.3. I give

classification and its linguistic criteria, Mourelatos (1981:210) succinctly observes, 'there are--notoriously--complications'. The chief complication seems to be the creative abilities of speakers who are adept at using a single word in a rich variety of logically related senses (Pustejovsky 1994). Vendler (1957:9) also noticed that situation types defined fuzzy sets when he wrote of states that 'the role of verbs melts into that of predicate, and actions fade into qualities and relations'. I assume that a detailed semantic account of lexeme meaning could be developed to accommodate the sense extensions of Okanagan lexemes.

<sup>&</sup>lt;sup>3</sup>In a critique of verb aspect typologies, Verkuyl 1989 argues that English aspect is phrasally determined, citing evidence that the situational or base aspect of a verb is regularly overriden by other constituents (e.g. negation, definiteness of the internal NP) in the phrase or clause. He rejects inherent aspectual classes as 'of no use to aspectual theory'. I think Verkuyl's compositional hypothesis is overstated with respect to Okanagan, which has richer word-level phenomena than English. To the extent that lexical aspectual classes organize the word formation component and/or lexicon of a language, those classes are pertinent to aspectual theory.

linguistic tests for each of these base aspects in sections 3.1 and 3.2. I interpret the results of these linguistic tests as indicating the feature value for each of two binary features, [±E] and [±C]. The feature [±E] stands for the ability of a base to encode a situation that 'takes time'. The feature [±C] stands for the relevance of a base to the notion 'change of state'. I describe both of these features in more detail below. Using these features, I define Transitions,

Processes, and States (section 3.3). The classification by binary features yields a fourth base aspectual class that I refer to as Entities. Entities, which have negative feature values for both [E] and [C], contrast with situations, which have at least one positive feature value for [E] and [C]. As in other languages, the aspectual contrast between Entities and situations parallels the grammatical distinction between nouns and verbs.

3.1 Eventhood. Okanagan distinguishes between situations that encode 'internal temporal constituency' (Comrie 1976:3) and those that do not. I refer to those situations that encode internal temporal constituency as *events*. Events 'take time', though that time may be long (durative), incalculably short (momentary), or unbounded (atelic). They have internal constituency in the sense that they have a beginning, middle, and end, any one of which can be emphasized in a related linguistic expression. I represent bases that encode events as having the feature [+E]; those bases that do not encode an event

have the feature [-E]. I use two tests to determine if a base is an event or not.

The first test involves compounds with wi?s- 'done'. 4 wi?scompounding creates stems that are aspectually terminative. 5 The salient
feature of the event is its termination or 'finished-ness'; however, the
beginning and middle stages of the event are implied by the emphasis on
termination. Examples of bases that compound with wi?s- appear in (2).

(2)

//tx// COMB	kn_wi <sup>9</sup> s-txám	I finished combing s.t.
//c <sup>c</sup> awlx// BATHE	k <sup>w</sup> _wi <sup>9</sup> s-c <sup>0</sup> áwlx	You are done bathing.
//q'əy'// WRITE	wi <sup>9</sup> s-q'y'ám	He got done writing s.t.
//tqmin// PUT DOWN	wi <sup>9</sup> s-təqminəms	He already threw it down.
//m'aya <sup>9</sup> // SHOW	kn_wi <sup>9</sup> s-m'áya <sup>9</sup> m	I'm done showing it.
//p'ic'// PINCH	kn_wi <sup>9</sup> s-p'íc'əm	I already pinched s.t.
//tk <sup>w</sup> l·íws// MOUNT	p_wi <sup>9</sup> s-tk <sup>w</sup> l·íw's	You (pl.) are already mounted.
//?itx// SLEEP	kn_wi <sup>9</sup> s- <sup>9</sup> ítx	I've already slept.
//ºacqáº// COME_OUT	wi <sup>9</sup> s- <sup>9</sup> ácqa <sup>9</sup>	He's already left.
// <sup>?</sup> uk <sup>w</sup> t// CRAWL	kn_wi <sup>9</sup> s- <sup>9</sup> úk <sup>w</sup> t	I finished crawling.
//tr'qpncut// RUN	wi <sup>9</sup> s-tr'qpncút	He's finished running.
//ntik'tík'tám// SOB	wi <sup>9</sup> s-nłək'łək'tám	He's done sobbing.

There are many bases that do not compound with wi?s-. Examples of

 $<sup>^4</sup>wi^7s$ - is historically analyzable as a root  $\sqrt{wi^7}$  'finish' followed by a grammatical connector s. Kroeber (1991) analyzes the s as a prefix that nominalizes the second root. In Okanagan,  $wi^2s$ - compounds with stem types that do not form nominalizations with s- (e.g.  $wi^2s + ^cacntin$  'I finished tying it'; \*s- $^cacntin$ ). Therefore, s- is better analyzed synchronically as a 'compound connector' or empty morph (cf. A. Mattina 1987). I do not segment the s as far as I can tell it always occurs in these compounds.

<sup>&</sup>lt;sup>5</sup>A base is said to compound with wi?s- if its default stem does so.

these bases appear in (3).

**(3)** 

//xwupt// WEAK	*wi <sup>9</sup> s-ž <sup>*</sup> úpt	(done being weak)	
//piq// WHITE	*wi <sup>9</sup> s-píq	(done being white)	
//ckiw't// SKINNY	*wi <sup>9</sup> s-ckíwt	(done being skinny)	
//xast// GOOD	*wi <sup>9</sup> s-žást	(done being good)	
//λ'ax <sup>w</sup> t// FAST	*wi <sup>9</sup> s- <b>%</b> 'áx <sup>w</sup> t	(done being fast)	
//p'uy// WRINKLED	*wi <sup>9</sup> s-p'úy	(done being	
		wrinkled)	
//k'ast// BAD	*wi <sup>9</sup> s-k'ást	(done being bad)	
//citx*// HOUSE	*wi <sup>9</sup> s-cítx*	(done being a house)	
//yámxwa <sup>9</sup> // WOVEN BASKET	*wi <sup>9</sup> s-yámž <sup>*</sup> a <sup>9</sup>	(done being a woven	
		basket)	
//xəwíł// ROAD	*wi <sup>9</sup> s-xəwi <del>1</del>	(done being a road)	
//sp'ic'n// ROPE	*wi <sup>9</sup> s-sp'íc'n	(done being a rope)	
//sxix'xn// TROUSERS	*wi <sup>9</sup> s-sží <b>λ</b> 'xn	(done being trousers)	
//tkłmilx*// WOMAN	*wi <sup>9</sup> s-tk4mílx*	(done being a	
	•	woman)	

A second test for eventhood picks out the same set of bases as wi?s-does. This test involves the compatibility of a base with the higher predicate qtnúnt- 'be able to'. qtnúnt- selects an irrealis complement clause that is headed by a base that encodes an event. I refer to these as abilitative constructions.

- (4) q†nu(nt) -n † i- ks- píxm able 1sERGsub sb 1sGEN irr hunt I was able to hunt.
- (5) lut qfnu(nt) -n i- ks- kfmút neg able 1sERG 1sGEN irr sit\_there I never could sit there.

(6) way' k'iwlx ut lut qtnú(nt) -s
prt old and neg able 3ERG
He's old and he's not able

k'a <sup>9</sup>kin <sup>4</sup> ks- x<sup>w</sup>uy -s anywhere sb irr go 3sGEN to travel anywhere. COD150

The abilitative construction implies that a higher level of effort or successive attempts are required for the achievement of a result. This higher level of effort is compatible with bases that have internal temporal structure in which the extra effort or successive attempts can be distinguished.<sup>6</sup> Bases that lack internal temporal structure fail in the abilitative construction.

- (7) \*q†nú(nt) -n † i- ks- tk†mílx\* able 1sERG sb 1sGEN irr woman (I was able to be a woman.)
- (8) \*lut q†nú(nt) -s † ks- \*upt -s neg able 3sERG sb irr weak 3sGEN (He wasn't able to be weak.)

The control function of the success morpheme emphasizes extra effort. The aspectual function emphasizes duration with eventual completion or change of state. (p.11)

He analyzes transitive success stems as [+durative] (following Smith 1991), and notes that they are accomplishments (following Vendler 1957).

<sup>&</sup>lt;sup>6</sup>B. Carlson (forthcoming) describes a set of morphemes in Spokane that mark both (agent) control and aspect. Of these 'success' morphemes, the transitive success morpheme is cognate with the  $-n\dot{u}(nt)$  of Okanagan qt- $n\dot{u}(nt)$ -. Carlson states:

(9) \*lut q†nú(nt) -s † ks- xást -s neg able 3sERG sb irr good 3sGEN (He wasn't able to be good.)

On the basis of these two tests for internal temporal structure, I assign the feature  $[\pm E]$  as follows: Bases that can compound with  $wi^2s$ - and appear in the abilitative construction have the feature  $[\pm E]$  in their lexical representations. Remaining bases share the feature  $[\pm E]$ . The distinguishing characteristic of  $[\pm E]$  bases is that temporal structure is linguistically relevant to these signs; the opposite is true of  $[\pm E]$  bases.

There are a number of bases that test to be events in the tests just described, but which are prototypically non-events. These bases all encode states. The base *limt* is an example of this base type.

## (10) //limt// HAPPY

kn\_wi<sup>9</sup>s-límt I'm done being happy. lut q<sup>4</sup>nú(nt)-n <sup>4</sup> ikslímt I wasn't able to be/stay happy.

The acceptability of some states (like *limt*) with *wi?s-* and *qtnúnt-* derives from their interpretation as stage-level predicates (G. Carlson 1977). A stage-level predicate characterizes its argument as a set of stages or realizations rather than as an undifferentiated individual. When a state is predicated of an individual conceived of as a set of stages, that predication has a beginning and end. The property of 'being happy' in (10) is understood as a phase. Some states lend themselves more readily to a stage-level interpretation than others. For

example, *nk'awpfls* 'lonesome' is like *limt* in that it is interpretable as denoting a temporary phase. By contrast, states such as *paxpáxt* 'smart' and *xwupt* 'weak, infirm' name more permanent situations that are characteristic of an individual as a whole. I assume that states are protoypically of the individual-level type, which means that they do not encode phases or temporal constituency. However, many have natural sense extensions in which they have stage-level interpretations. The contrast between states and events derived from states is brought out in the data in (11)-(14). In these examples I show that states in their default forms do not compound with *wi*2s-, but in their *anticausative* (ac) alternant, they do combine with *wi*2s-.

- (11)  $//n^{\circ}as// HEAVY$ 
  - a. \*wi<sup>9</sup>s-n<sup>6</sup>as finished being heavy
    b. wi<sup>9</sup>s-[n+<sup>9</sup>+<sup>6</sup>as] finished getting heavy
- (12) //xast// GOOD
  - a. \*wi<sup>9</sup>s-xast finished being good b. wi<sup>9</sup>s-[xəst+wilx] finished getting better
- (13) //c'uy// DARK
  - a. \*wi's-c'uy finished being dark
    b. wi's-[c'+'] finished getting dark
- (14) //c'nc'<sup>c</sup>ant// TIGHT
  - a. \*wi?s-c'nc'?an+t finished being tight
    b. wi?s-[c'n?+áp] finished tightening

The anticausative alternant encodes a resulting state, and concomitantly, internal temporal constituency. The contrasts in (11) through (14) suggest that

states inherently lack internal temporal constituency, since they have an alternant that specifically adds temporal constituency to the base features.

Despite some inconclusiveness with respect to States, the tests with wi?s- and the abilitative construction reveal a primitive contrast between events and non-events among Okanagan bases. There is, however, another primitive distinction in Okanagan that crosscuts the event/non-event distinction. I turn to this aspectual distinction in the section to follow.

3.2 Change. A base's relevance to change of state is a second major aspectual distinction in Okanagan. Relevance to changes of state includes two kinds of situations: situations that result in a change of state, and situations that are stative. I use the feature [±C] to represent the change criterion. A base with the feature [+C] is inherently capable of expressing a change of state. A base with the feature [-C] cannot express change of state. I use tests from the stem aspect paradigm to determine the status of a base with respect to change of state. A base that can form an anticausative stem and a limited control stem (both described below) has the feature [+C]. All others have the feature [-C].

<sup>&</sup>lt;sup>7</sup>Davidson (1980) associates all verbs with time and change. Frawley (1993) argues that some verbs, like *persist*, encode time but not change. In ways that I will describe in section 3.4.1, Okanagan States pattern derivationally with events that have outcomes, i.e. Transitions. In English, states tend to pattern with processes under certain tests (Mourelatos 1981 and Verkuyl 1989). I see no illogic in the Okanagan pattern, but I do not know if other languages have similar patterns.

The first test from the stem aspect paradigm is the ability of a base to form an anticausative derivate. Anticausative stems (section 2.2.2.10) encode a result or outcome that 'happens to' a passive external argument. Examples of anticausative stems appear in (15).

(15)

//p'ic'// PINCH s.t.	kn_p'íc'+əc	I got pinched.
// <del>}</del> fat'// WET	4+9+9at' ixí9	That got wet.
//ck'wam// STIFF	ck'"+áp i <sup>9</sup> síp'i <sup>9</sup>	The hide got stiff.
//ta <sup>9</sup> p// DIRTY	tə <sup>9</sup> +áp ixí <sup>9</sup> .	That one got dirty.
//nik'// CUT s.t	kn_nîk'+ək'	I got cut.
//c'il'// SHADY	c'il'+l'	It's shaded.
//c'uy// DARK	c'+9+uy	It got dark.
//n <sup>c</sup> as// HEAVY	$kn_n + r^2 + r^2$ as	I'm getting heavy.
//nwis// HIGH	nw+?+as i? t'ux*t	The plane lifted up.
//p'uy// WRINKLED	p'+ <sup>9</sup> +uy ixí <sup>9</sup>	It's wrinkled.
//sílx <sup>w</sup> a <sup>9</sup> // BIG	səlx"a <sup>9</sup> +twílx	It's getting big.
//c'nc'cánt// TIGHT	c'nc' <sup>c</sup> ant+wilx	It's getting tight.

Many other bases do not form anticausative stems. Because the formatives of the anticausative WFR are various, I do not give starred examples. Rather, the list of bases in (16) is representative of the bases that do not form anticausatives with any of the known formatives of the anticausative rule.

(16)

//t'ux*t//	FLY	// <sup>7</sup> ácqa <sup>7</sup> //	GO OUT
//?uk*t//	CRAWL	//xwt'ilx//	JUMP UP
//?itx//	SLEEP	//k'4x <sup>9</sup> yust//	GO UNDER A SHELTER
//x*ist//	WALK	//xwuy//	GO
//c`q*aq*//	CRY	//p'lak'//	TURN BACK
//mut//	SIT	//kxan//	GO ALONG
//q' <sup>w</sup> a <sup>9</sup> q <sup>w</sup> <sup>9</sup> ímt//	BELCH	//pulx//	CAMP

A second test for the feature value of  $[\pm C]$  is the ability of a base to form limited control predicates (section 2.2.2.11). The limited control WFR creates a derivate that encodes an agent who succeeds in producing a change of state with difficulty or accidentally. All the bases in (15) and those in (17) form limited control stems.<sup>8</sup>

- (17) //p'ic'//PINCH kwu p'ic'c'+núnt -xw 1sOBJ pinch(lc) 2sERG You managed to pinch me.
- (18) //frat'// WET frat't'+nú(nt) -n wet(lc) 1sERG
  I managed to get it wet.
- (19)  $//\lambda$ 'a<sup>\gamma</sup>\lambda'a^\gamma' + \text{FETCH } \lambda'a^\gamma + \text{nú(nt)} -\text{n} \quad \text{fetch(lc)} \quad \text{1 sERG} \quad \text{I managed to fetch it.}
- (20) //λ'x<sup>w</sup>p// BEAT s.o. λ'x<sup>w</sup>x<sup>w</sup>p+nú(nt) -n
  beat(lc) 1sERG
  I managed to beat him (at a contest).
- (21) //piq// WHITE pqəq+nú(nt) -n white(lc) 1sERG I got it white.

<sup>&</sup>lt;sup>8</sup>The reduplication of the second root consonant in limited control stems is not anticausative marking. Rather, it is a regular concomitant of limited control morphology. The anticausative form of a base frequently differs from the reduplicated form in the limited control stem. For example, the anticausative stem for piq 'white' is  $p\partial^2 iq$  'turned white, bleached'. The limited control stem is  $pq\partial qnint$ - 'whiten s.t.' not \* $p\partial^2 aqnint$ -. Therefore, anticausative formation and limited control formation are two distinct tests for the feature [±C].

(22) //nwis// HIGH nw<sup>9</sup>as+nú(nt) -n high(lc) 1sERG I got it lifted up.

(23) //n<sup>c</sup>as// HEAVY n<sup>2</sup>cas+núnt -x<sup>w</sup> heavy(lc) 2sERG
You managed to make it heavy.

The bases that do not have limited control derivates include those in (16).

Bases that have both anticausative **and** limited control derivates have the feature [+C] in their lexical representations. All other bases have the feature [-C].

3.3 Base classification. The features  $[\pm E]$  and  $[\pm C]$ , in combination, identify four base classes in Okanagan, evidencing each of the four possible combinations of these two binary features.

- (24) i. [+E, +C] e.g. //p'ic'// PINCH s.t. //cac// TIE\_UP s.t. //\lambda'a^?// FETCH s.t. //ktnk'\wlip// CLOSE s.t. //tqmin// LAY DOWN s.t.
  - ii. [+E, -C] e.g. //t'ux\*t// FLY //x\*ist// WALK //?itx// SLEEP //x\*uy// GO //?uk\*t// CRAWL
  - iii. [-E, +C]

    e.g. //xwupt// WEAK, INFIRM
    //^ayxt// TIRED
    //piq// WHITE
    //sílxwa^// BIG
    //qwamqwamt// EXCELLENT

iv. [-E, -C]

e.g. //yámx\*a?// WOVEN BASKET
//snktc'a?sqáxa?// HORSE
//tkap// BUCKET
//nik'mn// KNIFE
//kiláwna?// male GRIZZLY BEAR

Although the notion *situation* must remain an undefined primitive, attempts to define it repeatedly note the importance of time and change (Vendler 1957, Givón 1979, 1984, Gabbay and Moravscik 1980, Davidson 1980, Barwise and Perry 1983, Binnick 1991, Smith 1991, and Frawley 1993). Because cross-linguistically time and change are relevant in the description of situations, I designate classes (18i-iii) as the prototypical situation types of Okanagan. This designation is supported by evidence beyond the featural tests used here. First, all of the bases in classes (18i-iii) have stem aspect derivates. Recall that these derivates are modifications of the basic temporal and/or modal structure of the base (section 2.2.2.1). Second, bases in classes (18i-iii) all inflect for person and sentential aspect, in their default or derived forms. By contrast, the bases of class (18iv) have neither the derivational (stem aspect) nor inflectional (person-sentential aspect) alternants that the other three classes have. What the featural, derivational and inflectional evidence suggests is that there is a primitive contrast between situations and entities in Okanagan.

In addition to underscoring the ways in which situations differ from entities in Okanagan, the featural tests distinguish three situation types (i.e.

classes (i), (ii), and (iii)). This division into three situation types matches the typology of situations proposed in Pustejovsky 1991 and therefore is at least partially validated by cross-linguistic patterns. Pustejovsky identifies three basic situations: Transitions, Processes and States. Each of these situations is defined by a distinctive Event Structure (ES). Pustejovsky argues that ES is a level of lexical representation independent of argument structure. If give his definitions of the three situation types and his structural representation for each type in (25)-(27).

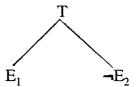
<sup>&</sup>lt;sup>9</sup>Pustejovsky credits Aristotle for the tripartite division, as well as several recent analysts including Bach 1986, Dowty 1979, and Vendler 1957. (Vendler's typology actually posited four basic situation types.) Kenny 1963 and Mourelatos 1981 also make a tripartite division. Other typologies are based on a binary division between events and states (e.g. Jesperson 1924, Smith 1991), where processes or activities are a subtype of event.

<sup>&</sup>lt;sup>10</sup>Grimshaw 1990 also argues for a level of event structure in the lexical representation of verbs. Event structure helps to explain the differences and similarities between alternants of an Okanagan verb base in terms other than transitivity and thematic structure.

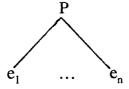
<sup>&</sup>lt;sup>11</sup>Pustejovsky actually refers to these as 'event types', but their primitive idealized nature matches that of 'situations'. To avoid terminological confusion here, I have everywhere substituted 'situation' where Pustejovsky would use 'event'.

(25) Transition (T): an event identifying a semantic expression which is evaluated relative to its opposition.

structural representation: (where E is a variable for any event type)



(26) **Process** (P): a sequence of events identifying the same semantic expression. structural representation:



(27) **State** (S): a single event which is evaluated relative to no other event. structural representation:



Pustejovsky's formalism is useful in several ways. First, it provides a graphic representation for internal temporal constituency. Note that two situation types show this inner structure, Transitions and Processes. Of these two types, only one implies a predicate opposition, which Pustejovsky claims is functionally equivalent to the semantic operator *become* in the predicate's

LCS. This division corresponds to the distinction in Okanagan between [+E,+C] and [+E,-C] lexemes. Pustejovsky's States lack internal temporal constituency, as depicted by the non-branching structure in (27). Okanagan lexemes with the features [-E,+C] correspond to this Event Structure. <sup>12</sup> In (28), I identify Okanagan classes (i), (ii), and (iii) with Pustejovsky's situation types. Class (iv) lexemes, which are not situations, I refer to as Entities. <sup>13</sup>

i. [+E, +C] Transitions
ii. [+E, -C] Processes
iii. [-E, +C] States
iv. [-E, -C] Entities

Pustejovsky's proposal over the representation of situations includes the idea that information in a predicate's LCS can be mapped to the structural representations in (25)-(27). He proposes that *Event Structure* (ES) is a level of lexical representation which expresses the basic temporal structure of a lexeme. The ES is derived from the LCS, which is itself an ordered set of predicates. In LCS, the irreducible meaning of the lexeme is expressed along with a small group of operator-like predicates such as *act*, *become*, and *at*, and argument

<sup>&</sup>lt;sup>12</sup>The fact that States do not take time does not mean that they are not 'in time'. Okanagan situations (i.e. Transitions, Processes, and States) all have stem aspect alternants that inflect for sentential aspect. Entities, by contrast, lack stem aspect alternants and do not inflect for sentential aspect in their canonical uses. Thus time is relevant to all Okanagan situations, but not all situations have internal temporal constituency.

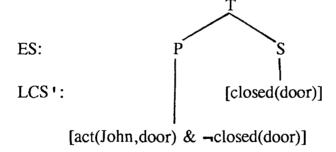
<sup>&</sup>lt;sup>13</sup>I discuss the derivational possibilities of bases that name entities in more detail in Chapter 4.

variables. For example, the English lexeme *close* (as in *John closed the door*) has the following LCS:

(29) close: 
$$cause([act(x,y)], become([closed(y)]))$$

In Pustejovksy's view, lexical meaning can be partially decomposed into LCS subpredicates, and these subpredicates can be partitioned according to the stages of ES. In (30) I give Pustejovsky's representation of the LCS-to-ES mapping for the English situation *John closed the door*. Pustejovky uses a level he calls LCS' to depict the partitioned LCS.<sup>14</sup> The & indicates simultaneity of expressions. The *cause* operator is derived from the agentive (act) predicate within the initial subpredicate of the LCS.

(30)



LCS: cause([act(John,door)], become([closed(door)]))

Not all lexemes that express a predicate opposition (translated into ES from the *become* subpredicate) involve the cause operator, as illustrated in (31).

<sup>&</sup>lt;sup>14</sup>It is not clear to me if the level LCS ' has any independent status in Pustejovsky's theory. It does make the structural representations easier to understand, so I maintain his notation in my examples.

## (31) The door closed.

LCS: become([closed(door)])

At the level of ES, *John closed the door* and *The door closed* are the same kind of situation. The differences in detail are expressed at LCS, where argument structure, thematic structure, and modality are coded. <sup>15</sup> (30) and (31) illustrate how various LCS alternants of a base lexeme can be related at the level of ES. In Okanagan, we can exploit Pustejovksy's assumptions and representations to describe how stem aspect alternants of base situations are related to the base and to each other.

**3.4 Base classes and derivational paradigms.** In addition to the featural tests for base class and their cross-linguistic parallels, the situation types of Okanagan are revealed by paradigmatic evidence. That is, each of the base classes has a distinct array of derivational possibilities that distinguishes it

<sup>&</sup>lt;sup>15</sup>It may be the case that LCS projects separate representations (or tiers) for argument structure, thematic structure, and modality. A full discussion of the interplay of the elements in a lexeme's lexical representation is beyond the scope of this thesis. Pustejovksy 1991 addresses the relationship between event structure and argument structure, as do Jackendoff 1993, Grimshaw 1990, Pinker 1989, and Tenny 1987.

from the others. The diagnostic tests for situation type all come from the stem aspect paradigm. Each situation base type has some but not all stem aspect alternants. As a result, we can refer to subparadigms of stem aspect. Because there are three subparadigms and they correlate with the three situation types I have proposed, I refer to the subparadigms as the Transition paradigm, the Process paradigm, and the State paradigm. These subparadigms provide additional evidence that the universal situation types, Transitions, Processes, and States are relevant in Okanagan. Tests for base class based on stem aspect alternations also emphasize the difference between situations and entities.<sup>16</sup> As the chart in (32) shows, entities do not have any of the stem aspect alternants that are diagnostic of situation type. ((+) indicates that the base type typically has the derivate; (\*) indicates that it typically does not have the derivate; (#) indicates that many lexemes of this base type have the derivate.)

<sup>&</sup>lt;sup>16</sup>Not all stem aspect WFRs are diagnostic of base class. All situation bases have continuative and stative alternants, for example. Entities, however, do not have even the non-diagnostic stem aspect alternants.

(32)

Stem aspect	Transitions	Processes	States	Entities
closed transitional	+	*	#	*
open transitional	+	*	#	*
possessional	+	*	#	*
dative	+	*	*	*
reflexive	+	*	#	*
limited control	+	*	+	*
anticausative	+	*	+	*
causative	*	+	+	*
e.g.	//p'ic'// PINCH	//c'q <sup>w</sup> aq <sup>w</sup> // CRY	//piq// WHITE	//p'ína <sup>9</sup> // BASKET

Transitions form all of the diagnostic stem aspects except causative.

Processes form only causatives. States form causatives and at least one other stem aspect that is formed by Transitions also. States are therefore the most difficult to identify; no single form demonstrates that a base is a State. Rather, a State shows a general pattern of stem aspect derivation that crosses into the Transition paradigm and the Process paradigm. This mutability is the defining characteristic of the State type. In the following sections, I describe each base type according to its stem aspect subparadigm.

3.4.1 Transitions. The set of stems that may be derived from a Transition base via stem aspect WFRs is illustrated in Table 1. with the base //p'ic'// PINCH, SQUEEZE.

## Table 1. Transition Paradigm

//p'ic'//

PINCH s.t.

default

kn

p'ic'm

1sABS

pinch(Intr)

I pinched (something).

closed transitional

p'íc'+nt

-x<sup>w</sup>

pinch(ctr)

2ERG

You pinched it.

open transitional

ac- p'ic'+st

-x<sup>w</sup>

cust- pinch(otr)

2sERG

You usually pinch it.

possessional

kwu p'íc'+tt

-x<sup>w</sup>

1sOBJ pinch(pos)

2sERG

You pinched my .

dative

kwu p'íc'+xt

-xw

1sOBJ pinch(dat)

2sERG

You pinched it for me.

reflexive

kn\_

p'əc'+ncút

1sABS

pinch(ref)

I pinched myself.

limited control

p'əc'c'+nú(nt)

-n

pinch(lc)

1sERG

I managed to pinch it.

anticausative

p'íc'+əc'

pinch(ac)

It's (been) pinched.

causative

\*

The derivational paradigm of //p'ic'// is canonical in the sense that

//p'ic'// has all the stem alternants that are identified with the paradigm.

However, not all Transitions occur in all of these stem aspects. For example, for some bases, a stem alternant is blocked by the presence of a different lexeme, as with \*wəkncút 'see oneself' which is expressed instead with 'ac'ncút from the base //sac'// LOOK\_AT. Sporadic reanalysis of a stem form can also block or eclipse stem alternants. As an example, A. Mattina (1993a:209) reports that the base //cun// TELL has both the closed transitional stem cu(n)(nt)- 'someone tells someone' and the possessional stem alternant cu(n)tt- 'someone tell someone's relative'. The possessional stem form is commonly understood with the meaning of a transitional, as in kwu cútt-s 'He told me'. The latter use of the possessional stem form is a 'fancying up' or hypercorrection of kwu\_cús, the expected form of 'He told me'.

In addition, narrow and sometimes obscure semantic restrictions exclude some Transitions from having certain alternants. For example //wik// SEE and //cun// TELL lack the dative alternate (\*wikxt- and \*cuxt-) for reasons that are not clear to me. //xik'// MISS (a shot)/ERR (in the stick game) does not have reflexive or dative alternates. In other cases, selectional restrictions apply. For example, the base //pix// HUNT (game) does not have a reflexive alternant \*paxncút ('hunt myself'). Also, morphologically complex Transitions tend to have fewer stem alternants than simple bases. Bases such as

//nca<sup>9</sup>+lqs+íkst+mn// ELBOW s.o. and //na<sup>9</sup>k<sup>w</sup>=cín// TAKE FOOD to s.o. do not form anticausatives. Whatever the reasons for these paradigmatic gaps, morphological complexity is not the cause of them. In (33), I give several examples of morphologically complex Transition bases that do form anticausatives.<sup>17</sup>

(33)

It is clear from the paradigmatic gaps in the Transition paradigm that there are subgroups within the class of Transitions that await further definition.

The general property of Transitions as revealed by their stem aspect subparadigm is that they have the ES shown in (34).

<sup>&</sup>lt;sup>17</sup> All transitions have the inflectional option of the 'underspecified subject' (Unsub) formation which can have a passive interpretation (section 2.1.1). The backgrounding effect of the underspecified subject form, although limited to third person participants, could be interpreted as the functional equivalent of the anticausative: nca²lqsikstmnt-m 's.o. elbowed him/he got elbowed'; na²kwcin(n)t-m 's.o. took him food/he was taken food'.

(34)

ES: P S CS':  $[act(x,y) \& \neg Q(y)] [Q(y)]$ 

LCS:

The ES in (34) does not reflect differences at LCS between derivates, nor does it directly reflect argument structure. Importantly for the description of Okanagan, the ES in (34) conveys that stems with this ES have internal temporal constituency and they encode a predicate opposition. I interpret the predicate opposition (translated from the *become* operator of LCS) as marking *change-of-state* in the event. The State subevent at ES is equivalent to the outcome or result of the event. The ES [P,S]<sub>T</sub> signals an event that consists minimally of a change and its result.

cause([act(x,y)], become([Q(y)]))

In the following sections, I describe the differences between the alternants of the Transition subparadigm. There are two reasons to do this. First, I want to formalize the definitions of the relevant stem aspect WFRs, now that I have introduced the concepts and representations in Pustejovksy 1991. Second, in reviewing these stem aspects, I will demonstrate how all of the differences between the derivates arise from properties of LCS that do not affect ES. Furthermore, I give data that suggest some of the properties that are

not relevant to the definition of the stem types. The LCS of the default stem of a Transition provides a basis for comparison. Therefore, I begin by describing it.

**3.4.1.1 Default Transition.** The default stem, generally, is that realization of a base to which no stem aspect WFR has applied. It is the semantically simplest form of the base lexeme. In the case of the default Transition, the basic ES, [P,S]<sub>T</sub>, is instantiated, and two arguments are coded in LCS. Because the second of these arguments cannot be referential, I referred to these stems as *generic object intransitives* (see section 2.2). An example of a default Transition (marked *Intr*) as the head of a clause appears in (35).<sup>18</sup>

(35) kn nik'm i' t sl'ip
1sABS cut(Intr) art cs wood
I cut some wood.

The LCS of a default Transition is as follows:

(36) cause([act(x,y)], become (Q(y)))

The fact that the internal argument is not a direct syntactic argument must be captured in statements over the mapping of LCS to syntactic structure.

The stem-final -(V)m of the default transitional stem occurs in the stem form of other stems based on Transitions. For example, it is present in the closed transitional in irrealis mood, as in i-ks-nik'əm 'I will cut it' and in neutral aspect i-s-nik'əm 'I cut it'. This suggests to me that there is a single stem form for Transitions that ends in -(V)m which is used as the base form for more than one WFR. I do not segment -(V)m because I cannot yet associate it with a WFR.

This fact has no effect on the event structure, however.

3.4.1.2 Closed and open transitionals. Closed and open transitionals differ from the default transitional stem primarily in that they encode referential, non-generic internal arguments. The condition that they have referential internal arguments does not affect their ES with respect to that of default Transitions. In English, the presence of a particular kind of internal argument (specifically, a bare plural or mass noun) affects the event structure of the predicate. This effect in English is exemplified in (37).

- (37) a. John ate the/an apple (\*for an hour). non-durative
  - b. John ate apples (for an hour). durative
  - c. John ate snow (for an hour). durative

Using the adverb of duration  $p\acute{u}ti^{2}$ , I test for similar sensitivity to internal object type in Okanagan (38).

(38) \*púti? k'\*a?k'\*a?-nt-ís i? apl. Still, he ate the/an apple.

\*púti? k'\*a?k'\*a?-nt-ís i? smik\*t. Still, he ate snow.

\*púti? k'\*a?k'\*a?-ám i? t apl. Still, he ate some apple/apples.

\*púti? k'\*a?k'\*a?-ám t smik\*'t. Still, he ate some snow.

The data in (38) suggest that the type (i.e. count or mass) of the internal argument does not affect the aspect of the predicate. The predicates in (38) are all perfective regardless of the kind of internal argument. Nor is it the case that the internal argument of such stems is more or less affected than in the default Transition. Finnish, for example uses case marking to indicate relative affectedness. In (39), partitive case marks the internal argument as less

drastically affected than when it is marked with accusative case, as in (40) (data cited in Tenny 1987:48).

- (39) metsästäjä ampui vahingossa lehmää hunter shot accident\_in cow-PART The hunter shot (at) a cow by accident.
- (40) metsästäjä ampui vahingossa lehmän hunter shot accident\_in cow-ACC
  The hunter shot (and killed) a cow by accident.

Okanagan speakers report no difference in affectedness between default transitionals and closed and open transitionals. In all three transitional types, the internal argument may be affected, as in (41)-(43), or unaffected, as in (44)-(46).

- (41) ma°'+nt -ís i' †káp break(ctr) 3sERG art bucket He broke the bucket.
- (42) ac- ma<sup>c</sup>'+st -ís i<sup>2</sup> †káp cust break(otr) 3sERG art bucket He always breaks the bucket.
- (43) cəm' kn ma''ám i' t 'kap might 1sABS break(Intr) art cs bucket I might break a bucket.
- (44) k'tpax+nt -in
  think\_about(ctr) 1sERG
  I thought about it.
- (45) stim' a? c- k'\*pax\*+st -íx\*\*?

  what art cust think\_about(otr) 2sERG

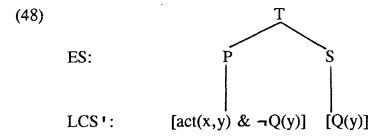
  What did you (usually) think about?

(46) kn k'łpəxám
1sABS think\_about(Intr)
I thought things over.

The key difference between closed/open transitionals and default transitionals is that in the former the internal argument must be a referential participant in LCS. The LCS of closed/open transitionals is that given in (36), with the condition that the (y) variable refer to a specific entity. Because closed and open transitionals differ only in that the first is inherently perfective while the second is inherently imperfective, I will refer to both as *transitionals* in the remainder of the discussion.

- **3.4.1.3 Possessionals.** The LCS of possessional stems encodes a pre-existing condition, which is that the (y) variable is owned by an animate possessor. I represent this pre-existing condition at LCS in (47).
- (47) LCS: cause([act(x,y),(own(z,y))], become Q(y))

While this LCS notionally encodes two related situations, act(x,y) and own(z,y), the condition necessary for act(x,y). own(z,y) does not have any status in the ES of the possessional situation. The LCS in (47) maps to ES as in (48).



LCS: cause([act(x,y),(own(z,y))], become([Q(y)]))

Like the closed and open transitional, the possessional encodes a condition on the (y) variable at LCS. (y) must be referential and it must be possessed.<sup>19</sup>

The internal argument is not generic, as it is a possessed entity. In (49)-(51), I contrast the closed transitional stem with the possessional stem.

- (49) a. k<sup>w</sup>u λ'a<sup>γ</sup>λ'a<sup>γ</sup>+nt -ís 1sOBJ look\_for(ctr) 3sERG He looked for me.
  - b. kwu \(\lambda'a^7\lambda'a^7 + \frac{1}{1}\) t -is

    1sOBJ look\_for(pos) 3sERG

    He looked for something of mine.
- (50) a. ma°'+(nt) -n i<sup>9</sup> laprít break(ctr) 1sERG art bridle I broke the bridle.
  - b. ma<sup>e</sup>'+tt -s -n an- laprít break(pos) 2sOBJ 1sERG 2sPOSS bridle I broke your bridle.

<sup>&</sup>lt;sup>19</sup>Recall that possessional stems are inflected for subject and object persons; the subject is the notional agent and the object is the notional possessor. The theme argument is expressed as a possessed NP. The marking of grammatical relations on possessionals suggests that Okanagan has a thematic hierarchy that ranks themes lower than agents and possessors.

- (51) a. ənx\(\lambda\)'íks+(n)t -n i? sn\(\frac{1}{2}\)single pass\_around(ctr) 1sERG art cigars

  I passed around the cigars.
  - b. nx\(\lambda\)'ikst+\(\frac{1}{4}\)t -n i? sn\(\frac{1}{5}\)sipna\(\frac{1}{9}\) -s pass\_around(pos) 1sERG art cigars 3sPOSS I passed around his cigars.
- 3.4.1.4 Datives. The dative encodes a transfer of possession or service from the agent to the recipient. This concept or set of concepts parallels the English dative, so I adopt the LCS proposed for English datives by Pustejovsky as the LCS of Okanagan datives. The LCS of datives is given in (52).
- (52) LCS: cause( $[act(x,y) \& \neg(have(z,y))]$ , become([have(z,y)]))

In (52), the notion of transfer is conveyed by the subevent have(z,y), which is simultaneous with the act(x,y) subevent. The simultaneity of the second subevent with the first makes them both relevant to ES. Therefore, the second subevent may be evaluated relative to its opposition. The mapping of the dative LCS to ES is as follows:

(53)

ES: P S LCS':  $[act(x,y) \& \neg have(z,y)]$  [have(z,y)]

LCS: cause([act(x,y) &  $\neg$ (have(z,y))], become([have(z,y)]))

The LCS in (53) differs from that of the possessional LCS (see (48)) as follows: own(z,y) is a condition of the act(x,y) event, but it is not a simultaneous event in which an opposition is relevant. There is no change in the *own* pre-condition of a possessional, as there is in the *have* event of the dative.

Datives also differ from possessionals in their agent modality. While possessionals characterize the internal argument, datives require animate, efficient agents as external arguments. For example, datives rarely have an experiencer as the external argument.

- (54) a. \*k\*u ?if+xt -s
  1sOBJ eat(dat) 3sERG
  (He ate for me.)
  - b. kwu ?i+(+)t -s
    1sOBJ eat(pos) 3sERG
    He ate it up on me.
- (55) a. \*wik+xt -m -n see(dat) 2sOBJ 1sERG
  (I saw for you.)
  - b. wik+tt -s -n see(pos) 2sOBJ 1sERG
    I saw something of yours.

Further, dative stems do not contrast with a dative limited control stem. Recall that transitionals and possessionals have a related stem type that encodes an agent with limited control over his/her act (section 2.2.2.11). In (56), a closed

transitional is contrasted with its limited control counterpart; in (57) a possessional stem is contrasted with its limited control counterpart.

- (56) a. k'w\(\chi'\)'q\(\ni\)+(n)t -ix\(\chi'\) i? tk\(\frac{4}\)milx\(\chi'\)
  remove\_hat(ctr) 2sERG art woman
  You took the hat off the woman. COD63
  (lit. You dehatted the woman.)
  - k'"λ'λ'qn+(n)únt -x"
     remove\_hat(lc) 2sERG
     You managed to take her hat off.
     (lit. You managed to dehat her.)
- (57) a. k'"\lambda'+\frac{1}{2}t -ix\text{x} i? q\text{wacqn} -s remove\_hat(pos) 2sERG art hat 3sPOSS You remove her hat. COD63
  - b. k'"\lambda'\lambda'+nu\text{ift} -x\text{"}

    remove\_hat(lc) 2sERG

    You managed to remove her hat.

Datives do not have a limited control counterpart.

- (58) a. <sup>c</sup>ac+xít -s -n tie(dat) 2sOBJ 1sERG I tied it up for you.
  - b. \*\*Cac+núxt(manage to tie something for someone)
- (59) a. kwu xwic'+xt -xw 1sOBJ give(dat) 2sERG You gave me something.
  - b. \*x\*\*əc'+núxt-(manage to give someone something)

The dative agent must be in control of his/her actions in order to bring about

the result. This focus on the kind and manner of the agent is also revealed in the lack of emphasis datives place on the recipient. Despite the fact that a transfer of possession is conceptually and aspectually salient in datives, final possession is not relevant. In fact, the entity that is transferred (the theme argument) cannot be expressed as a possessed object. Datives contrast with possessionals in this respect: dative themes cannot be possessed, and possessional themes must be possessed. The possessed theme in (61) makes the sentence ungrammatical.

- (60) npus+xt -n i<sup>9</sup> t <sup>9</sup>a<sup>9</sup>úsa<sup>9</sup> boil(dat) 1sERG art cm eggs
  I boiled him some eggs.
- (61) \*npus+xt -n i? t ?a?úsa? -s boil(dat) 1sERG art cm eggs 3sPOSS
- (62) npus+tt -n i<sup>2</sup> <sup>2</sup>a<sup>2</sup>úsa<sup>2</sup> -s boil(pos) 1sERG art eggs 3sPOSS I boiled his eggs.

In sum, the dative event entails a successful, usually benefactive,

<sup>&</sup>lt;sup>20</sup>The theme argument of a dative predicate may take the possessive prefixes in unrealized mood (Chapter 4), as in  $x^{w}ic'xt-m-n t_{a}k(t)-sqlaw$  'I gave you what will be your money'. Unrealized possession contrasts here with actual possession, with a grammatical consequence.

<sup>&</sup>lt;sup>21</sup>As I pointed out in section 2.2, this contrast is related to referentiality. The dative theme is usually translated as a partitive 'some X', while the possessional theme is referential.

transfer of a possession or service in which the external argument intends and controls the transfer. Datives express this agent modality via conditions on the (x) variable. They express the notion of 'transfer' in LCS. Like transitionals and possessionals, datives predicate an outcome.

3.4.1.5 Reflexives. Reflexives encode an agent modality and a condition on the internal argument. The agent must control or intend his actions (see section 2.2.2.5). This explains why speakers do not use reflexive stems to translate English expressions (with non-intentional readings) of *I burned myself* or *The child cut himself*. Rather, the non-agentive anticausative stem better expresses the accidental interpretation of the English reflexive.

- (63) a. kn nək'+ncút 1sABS cut(ref) I cut myself (on purpose).
  - b. kn nik'+ək'
    1sABS cut(ac)
    I got cut (by accident).
- (64) a. d'at'+ncút i? ?uxtílt wet(ref) art infant The baby wet himself.
  - b. way' \(\frac{1}{1} + \gamma + \gamma\)

    prt wet(ac)

    He got wet (by accident).

The external argument of a reflexive must refer to an animate agent capable of

intending and/or performing an act.<sup>22</sup> The internal argument must be coreferential with the external argument. A willful act perpetrated on oneself is aspectually equivalent to one perpetrated on another individual. Therefore the LCS of the reflexive is identical to that of default Transitions and transitionals, except for the constraints on the nature of the (x) and (y) variables.

**3.4.1.6 Limited control.** The LCS of limited control stems express agent modality in addition to conditions on the internal argument. The agent modality restricts the referent of an external argument to an agent who is less than efficient in the performance of the event. The agent of a limited control stem performs or accomplishes the act either by accident, or with difficulty. This ambiguity of interpretation parallels that of the English construction with the modal predicate 'managed to'. In (65), a limited control transitional (a) appears with transitional (b), possessional (c), and anticausative (d) counterparts.

- (65) a.  $k^w nn + (n) unt -x^w$ take(lc) 2sERG You managed to take it.
  - b.  $k^wi + (n)nt$  - $x^w$  take(ctr) 2sERG You took it.

<sup>&</sup>lt;sup>22</sup>Anthropomorphized inanimates and animals may be subjects of reflexives.

- c. kwu kwi+tt -xw
  1sOBJ take(pos) 2sERG
  You took something of mine.
- d. kn kwin+n
  1sABS take(ac)
  I was taken(grabbed).

The limited control and the anticausative stems both have an interpretation in which the act is accidental or not in the full control of the agent. However only the limited control stem expresses an agent modality, because the *act* subpredicate of LCS encodes an agent. The anticausative, by contrast, does not have an agentive subpredicate at LCS, and therefore 'agent modality' is anomolous. The external argument of the anticausative is instead a patient, which notionally lacks control over the event. Thus, in anticausatives, the notional 'lack of control' is a concomitant of absence of agency.<sup>23</sup> In limited

<sup>&</sup>lt;sup>23</sup>B. Carlson (forthcoming) observes that the cognate formation in Spokane 'focuses the process of a transitive event as it leads to a natural termination' (p.6). Spokane has two transitive limited control formations. The first, which Carlson calls the success transitive, is formed by the root followed by the transitive suffix -nt. The second consists formally of the root followed by 'a -VC<sub>2</sub> copy of a typical CVC(c) root' (p.5). Carlson refers to the reduplicative suffix as marking 'out-of-control' (OC) (following Carlson and Thompson 1982). The forms with OC reduplication express the idea that the agent is not in control of his action, and therefore the result of the event arises by accident. Thus Spokane has a formal contrast between two success transitives; one expresses successful accomplishment (abbreviated below as success in (i) while the other expresses an accidental accomplishment (iii).

<sup>(</sup>i).  $tu^{\gamma}$ -nú(-nt) -en stab(success) 1sERG I managed to stab him.

<sup>(</sup>ii).  $4u^{\gamma\gamma}$ -nú-(nt) -en stab(OC+success) 1sERG I mngd. to stab him by accident.

control stems, the notion of limited control is a derived feature of the stem.

There are two subtypes of limited control stems. First is the limited control transitional, in which the internal argument must be referential (section 2.2.2.1 and 2.2.2.2). The second is the limited control possessional, in which the internal argument must be a referential and a possessor (section 2.2.2.3). Both of these subtypes express limited agent control in addition to referential internal arguments. These conditions are not relevant to ES, and limited control transitionals and limited control possessionals have the same ES as their non-limited control counterparts (see (34) and (48) above).<sup>24</sup>

3.4.1.7 Anticausatives. Anticausatives differ from all of the stem types in sections 3.4.1.1 through 3.4.1.6 in that they lack an agentive first subevent at LCS. Therefore, they lack the *cause* operator at LCS. Like all the stem types described in the preceding sections, they encode the *become* operator at

Okanagan does not distinguish formally between the successful and accidental readings in the cognate stem. The phonological root in Okanagan limited control stems is consistently altered from its canonical CVC shape.

<sup>&</sup>lt;sup>24</sup>Limited control stems are formally interesting because they appear to be built on anticausative stems. That is, the form of a resultative often includes a reduplication of the root's second consonant and the resultative suffix -núnt or -nút, e.g. //p'x+x+k'\*+nú(nt)-n/ 'I managed to crumble it'. Moreover, there is something of the anticausative semantics in limited control stems that is reflected in translations such as 'someone managed to get something crumbled'. However, limited control stems differ clearly at LCS, and in their thematic and syntactic properties, from the anticausative.

LCS. All changes of state have some conceivable stimulus. I express this in the anticausative LCS with an operator by means of: (66).

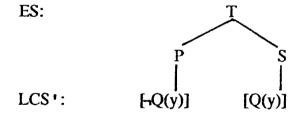
(66) LCS: become(([Q(y)]) by means of x)

The *by means of* operator accounts for the (oblique) agentive or instrumental phrase that may accompany an anticausative stem (see also section. 2.2.2.10).

(67) tqác+c+na<sup>9</sup> i<sup>9</sup> t atumopíl run\_over(ac) art cs automobile It got run over by a car.

While the causal act is outside of the aspectual focus of the anticausative, the result of the act is evaluated relative to its opposite state. The anticausative LCS maps to ES as follows:

(68)



LCS: become(([Q(y)]) by means of x)

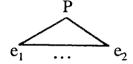
The key difference between anticausatives and all of the stem types discussed above lies in their non-agentive first subpredicate at LCS. This property in LCS has implications for the argument structure of the anticausative stem type, but the ES of anticausatives is that of a Transition.

## **3.4.1.8 Causatives.** Transitions do not have a stem aspect alternant

with causative aspect. The incompatibility of Transition bases with causative stem aspect arises from the particular ES of the causative aspect. Pustejovsky illustrates the 'causative process' as follows with the English expression *Mary pushed the cart*.

(69)

ES:



LCS': [act(Mary,cart)] & [move(cart)]

LCS: cause([act(Mary,cart)], [move(cart)])

The causative process does not have a natural termination in the transition from one state to its opposite. Instead, the duration of the event is indeterminate or atelic. That is, the causative process is an agentive event which does not involve change of state.

Because Transition bases inherently encode a process that leads to an outcome (change of state), Transition bases cannot be reconfigured as causative processes. (70) and (71) exemplify the incompatibility of a Transition base with causative stem aspect.

(70) \*k\*u p'íc'+st -x\*

1sOBJ pinch(caus) 2sERG

(You made me pinch it.)

Transitions are not inherently incompatible with the concept of one participant forcing a second to perform an act. Transitions appear in the periphrastic causative construction with *nlk'st*- or *nlk'mniksnt*- 'force someone to do something'.

- (72) nlk'mst -íx ki? kn ?ítən force(caus) 2sERG sb 1sABS eat(Intr)
  You force me to eat.
- (73) nlk'mniksnt -x<sup>w</sup> ki? kn x<sup>w</sup>uy force(ctr) 2sERG sb 1sABS go(Intr) You (forcibly) made me go.

Causatives have the same argument structure as transitionals, and their internal arguments must be referential. These properties are sufficient to place causatives and transitionals in the same inflectional class. However, in terms of event structure, causatives are distinct from transitionals. Causatives encode an agentive act that does not result in a change of state; Transitions encode an agentive act that does result in a change of state. This difference underscores the primitive contrast between Transitions and Processes in Okanagan (see also section 3.4.2).

**3.4.2 Processes.** Bases with the features [+E,-C] express events conceived of as internally homogenous, with arbitrary endpoints. More

formally, a Process base expresses a sequence of events where if the semantic expression P' identified with P is true at an interval I, then P' is true for all subintervals of I. Processes may logically refer in LCS to multiple participants, motions and goals, but they do not express change of state. The derivational possibilities of a Process base follow from its inherent aspectual type. With respect to the diagnostic stem aspect WFRs, Process bases have the subparadigm depicted in Table 2. for the base //c'qwaqw// CRY.

Table 2. Process Paradigm

default	kn 1sABS I cried.	c'q <sup>w</sup> aq <sup>w</sup> cry
closed transitional	*	
open transitional	*	
possessional	*	
dative	*	
reflexive	*	
limited control	*	
anticausative	· *	
causative	c'q"q"+st cry(caus) I made some	1sERG

Process bases undergo only the causative WFR of the diagnostic stem

aspect WFRs. Transitionals (open and closed), possessionals, datives, reflexives, limited control events, and anticausatives are events that terminate in a change of state. Process bases do not have these stem aspect alternants because they do not have the inherent ES required for these alternations. The Process base and all of its stem aspect alternants have the ES in (70).

This ES is mapped to an LCS that lacks the semantic operator *become*. In the default Process stem, there is an agentive first subpredicate, hence there is an external argument. There is no internal argument. This is depicted in the LCS for the default Process in (71).<sup>25</sup>

## (71) LCS: cause([act(x)])

The causative stem alternant of a Process base differs from the default Process in several ways. First, the causative process has a different argument structure; it encodes both an external and an internal argument. The internal argument must be referential. Second, causative aspect focuses on the intentions and responsibility of the agent. There are two interpretations of causative stems: either the agent performs intentionally out of duty or obligation, or s/he allows a process to occur through a failure of will or duty.

<sup>&</sup>lt;sup>25</sup>Recall that the *cause* operator is derived from the agentive subpredicate act at LCS (Pustejovsky 1991).

obligation, or s/he allows a process to occur through a failure of will or duty. In defining causative constructions cross-linguistically, Comrie (1981) distinguishes between the *true causative* (as in *I made the vase fall*) and the *permissive* (as in, *I let the vase fall*). Comrie gives an example of the ambiguous role of the agent in a Georgian causative (given here as (72)), which is semantically comparable to the Okanagan causative, exemplified in (73).

- (72) Mama švil-s ceril-s a-cer-in-eb-s father son-dative letter-accusative write 3s

  Father makes/helps/lets his son write the letter. (Comrie 1981:164)
- (73)  ${}^{9}uk^{w}t+st$  -n i  ${}^{9}ux^{w}t$ ilt crawl(caus) 1sERG art baby I made/helped/let the baby crawl.

In sum, the responsibility of the agent is in focus in causative stems. In (74)-(77), I give Okanagan causatives that are best interpreted as emphasizing the obligation and/or responsibility of the agent in this stem type.

- (74)  $k^w u \quad siw'stm(n)+st \quad -x^w$ 1sOBJ water<sub>v</sub>(caus) 2sERG You gave (granted) me a drink.
- (75) kwu a- ks- qwa?mənwixw+stm 1sOBJ 2sGEN irr introduce(caus) (You must/should) Introduce us.
- (76) c'əm λ'mip+st -x<sup>w</sup> a- tətqáqca?
  might be\_late(caus) 2sERG 2sPOSS older brothers
  You'll be too late for your brothers. GW488

- (77) pulx+st -n i? scəcm'ála? bed\_down(caus) 1sERG art children I put the children to bed.
- (78) ny<sup>c</sup>'ip lut+st -s always no(caus) 3sERG
  He keeps saying no. GW296

In general, causatives based on Process bases favor the reading in which the agent intends to control the internal argument (e.g. (77)). With State bases, the permissive reading is more common: the agent allows a situation to occur, as in (79).<sup>26</sup>

(79) lut a- ks- k'íy't+stəm neg 2sGEN irr chilly(caus)

Don't let it get wet.

The control exerted by an agent in a causative predicate is ambiguous.

This ambiguity with respect to agent control is parallel to the ambiguous agent control that is a part of the meaning of limited control stems. That is, in one reading of the limited control stems, the agent intends the act, but in the other, the agent passively allows the act.<sup>27</sup> Compare the ambiguity of the limited

The semantic ambiguity as such is comparable (though

<sup>&</sup>lt;sup>26</sup>Comrie (1981) states that the true causative and the permissive causative are alike in that 'the realization of the effect is, at least partially, within the control of the causer/permitter' (p.164).

<sup>&</sup>lt;sup>27</sup>Kuipers (1992) describes the Shuswap limited control cognates -nwetns and -nwens as expressing 'on the one hand ability, on the other hand non-intention'. He goes on to describe these suffixes in a way that characterizes Okanagan causatives as well:

control transitional in (80) with the ambiguity of the causative in (73), above.

(80) ha ləq'q'+núnt -x<sup>w</sup> i<sup>?</sup> píqsxən interg bury(lc) 2sERG art coins

Did you (finally) manage to bury the coins? or

Did you accidentally manage to bury the coins?

The ambiguous control of the agent of the causative process may explain why there is no limited control causative in Okanagan: (81).

Like the limited control stem, the causative stem encodes an agent whose control over the event is ambiguous. Causative and limited control stems differ in that they encode distinct Event Structures. This is possible because agent modality is irrelevant to Event Structure.

Of the three base types, Transitions, Processes, and States, Processes are the only type that do not have anticausative alternants. None of the expected anticausative stem forms are possible with a Process base. The forms in (82) and (83) were rejected by speakers.

//pulx// BED\_DOWN + ac → (He got bedded down.)
\*p<sup>9</sup>ulx
\*pəlxwilx
\*pullx
\*pəlxáp

Process bases encode homogeneous events with arbitrary endpoints, and no change of state. For this reason they do not have alternants in which change of state is is encoded.

3.4.3 States. The class of States in Okanagan is semantically parallel to English adjectives in that they name attributes, properties, or kinds. <sup>28</sup> Unlike Processes and Transitions, they do not inherently encode an agentive subpredicate at LCS. This property translates into an ES that lacks internal temporal constituency. States are relevant to the temporal modifications available through some stem aspect WFRs, but they lack dynamism. <sup>29</sup> The distinctive property of States is that they encode the semantic operator *be* at

<sup>&</sup>lt;sup>28</sup>Okanagan States are not to be confused with states defined as *stative* verbs such as English know and love. Okanagan States are not events or actions; in some typologies the kind of states that I am referring to are not considered to be situations. A footnote in Mourelatos 1981 refers to a distinction "in the physical realm between states and what we might noncommitally call 'changes': to be hard or to be yellow". Mourelatos goes on to refer to states that are not "properly of agents, but include [] such physical or neutral states as ...be blue, be taller than". It is the physical states that Mourelatos calls 'changes' that are State bases in Okanagan. Stative verbs such as know (Ok //my//) and love (Ok //xmink//) are Transition bases in Okanagan.

<sup>&</sup>lt;sup>29</sup>Recall that the notion State as I use it here is an ontological primitive. I assume, following Smith 1991, that certain concepts are formed without language and may be 'covert' in language. Miller and Fellbaum 1991:210 observe that in English, "the basic semantic relation among adjectives is antonymy", or paired oppositions. By contrast, nouns and verbs are not typically related by antonymy (i.e. *dog* and *cat* are not opposites, but different kinds of entities). Testing of the semantic networks of Okanagan speakers might reveal patterns similar to those that Miller and Fellbaum found in English speakers. This would support the idea that there are conceptual distinctions that underly the grammatical categories adjective, noun, and verb. An important difference between Okanagan and English is that adjectival notions (i.e. properties and physical states) are grammaticized as verbs, rather than as adjectives, in Okanagan.

LCS. This operator is derived from the non-agentive first subpredicate of LCS, as in (84).

## (84) LCS: be([Q(x)])

Because States are conceptualized as subject to change and development, they have stem aspect alternants that encode change of state. However, not all States have all of the stem aspects that encode change of state. Some States form all of the diagnostic stem aspects except the dative; others form only a few. The first type is illustrated with the base //4°at'// WET in Table 3.30

<sup>&</sup>lt;sup>30</sup>Occasionally, a State base that forms a closed transitional will also have the formal and aspectual equivalent of the default transitional stem, as in kn\_4°at'ám 'I wet something'. Speakers disagree about the grammaticality of these formations, which are rare in the first place. This suggests that they may be backformations that are interpretable, but not acceptable to all.

Table 3. State Paradigm

//frat'// WET

closed transitional

<sup>4</sup><sup>c</sup>at'+nt −ín

wet(ctr)

1sERG

I wet something.

open transitional

ac- † cat'+st

-ín

1sERG

cust wet(otr)

I usually wet it.

possessional

⁴<sup>c</sup>at'+⁴t

-ín

wet(pos)

1sERG

I wet someone's \_\_\_\_\_.

dative

\*

reflexive

⁴<sup>c</sup>at'+ncút

wet(ref)

He (an infant) wet himself.

limited control

 $d^{\alpha}$ at't'+nú(nt)

-n

wet(lc)

1sERG

I managed to wet it.

anticausative

4+9+ fát'

wet(ac)

It got wet.

causative

⁴<sup>c</sup>at'+st

-íx<sup>w</sup>

wet(caus)

2sERG

You let it get wet.

Only a few State bases have all the derivates that //that'// does. The general pattern for these is that if a State base has a closed transitional alternant, then it will have the open transitional, possessional, reflexive,

limited control, anticausative derivates. If a State base does not have the closed transitional alternant, then it will have only the limited control, anticausative, and causative alternants. A base of this type is exemplified in Table 4.

Table 4. Alternate State Paradigm

//piq//

default (t'i) piq

ev white It's white.

closed transitional \*

open transitional \*

possessional \*

dative \*

reflexive \*

limited control pəqq+núnt -x<sup>w</sup>

white(lc) 2sERG

You managed to get it white.

anticausative  $p+^{9}+aq$ 

white(ac)

It turned white.

causative pq+st -in

white(caus) 1sERG

I made it white.

There is a widespread ambiguity in the aspectual interpretation of States that makes them difficult to identify. G. Carlson's (1977) distinction between

stage-level and individual-level predicates provides one explanation for the aspectual ambiguity of States. As Carlson observes, 'stages aren't things that simply are; they are more akin to things that happen' (1977:448). Thus a stage-level interpretation of the argument of a stative predicate will result in a process reading of the predicate. In their basic, individual level reading. States are recognized by speakers as situations in which no one 'does anything'. In addition, the more a State is perceived as beyond the control of its argument, the less likely it is to have a process interpretation. For example, the States //q'alt// RAW and //sílx<sup>w</sup>a<sup>9</sup>// BIG, GREAT do not form causative process alternants. The typical human agent of a causative cannot make something raw (as in uncooked) or big (in the sense of imposing or great). In fact, //q'alt// is perceived as so completely static that it has neither an anticausative nor a limited control alternant. Speakers often disagree over whether a particular base lends itself to a process interpretation. Sarah Peterson (of Keremeos, B.C.) felt strongly that //xal// CLEAR referred to the clarity of the sky. She rejected anticausative, causative, and limited control alternants of this base. Delphine Derrickson (of West Bank, B.C.) understood //xal// to have such alternants. In my work with Peterson, Derrickson, and Clara Jack (of Penticton, B.C.), I came to recognize persistent disagreement over the derivational possibilities of a given base as a sign that the base was a State.

The point is that many, if not most, States easily shift between an LCS that encodes the semantic operator *be* and one that encodes an act. When a State is understood to refer to an act, it can have stem alternants that name events. This is the only base type of the three whose alternants do not show the prototypical ES of the base. This ontological mutability of States is, perhaps, their defining characteristic.

3.5 Summary. Okanagan stems are formed from bases of four kinds. Three of these base types are situations. The fourth base type names entities. The three situation types of Okanagan are Transitions, Processes, and States. Each of these situation types has a distinctive Event Structure (ES) in their lexical representations. Transitions and Processes form stem aspect alternants that have an ES that matches that of their base type. States, by contrast, have stem alternants with the ES of a Transition (e.g. the anticausative derivate) or of a Process (e.g. the causative derivate). The fact that entities lack stem aspect alternants suggests that they do not have Event Structure and are therefore not situations. In (85), I summarize the three Event Structures instantiated in Okanagan situations, and group the stem aspect alternants according to their ES type.

(85) [P, S]<sub>Transition</sub>

[e]<sub>State</sub>

 $[e_1...e_n]_{Process}$ 

default Transition closed transitional default State

default Process causative

open transitional

possessional

dative

reflexive

limited control

(transitional, possessional)

anticausative

Each of the stem alternants under the Transition column is formed from Transition type bases and not from Process bases. Each of the stem alternants in the Process column is formed on Process bases and not from Transition bases. States form stem aspect alternants from both the Transition subparadigm and the Process subparadigm. States are defined by this ontological mutability.

Stem aspect WFRs that are diagnostic of base classes do more than specify the ES of the stem alternant. These rules also add information about the agent modality of a stem and the selectional restrictions in LCS. In (86), I summarize the agent modality and/or selectional restrictions associated with each stem aspect WFR discussed in this chapter. ('none' indicates no restrictions; 'n/a' indicates that the stem type does not have this argument type.)

/	O	_	Ň
1	X	n	)

Restrictions on:	External Arg.	Internal Arg.
default Transition	none	generic
default Process	none	n/a
default State	none	n/a
closed transitional	none	referential
open transitional	none	referential
possessional	animate	possessed by animate, referential
dative	animate, efficient	animate
reflexive	animate, efficient	co-referential w/external argument
limited control transitional	ambiguous control	referential
limited control possessional anticausative causative	ambiguous control none ambiguous control	possessed, referential n/a referential

The features and subparadigms discussed in this chapter give a broad view of the kinds of constraints and classes Okanagan speakers must observe when forming words. By themselves, however, aspectuo-modal properties are not sufficient to explain all of the major word formation constraints in the language. Two stems may belong to the same aspectual class in terms of their ES, but belong to different inflectional classes because of other lexical properties. This means that the criteria for stem formation differ from those of grammatical word formation. Inflectional classes are conditioned by the distinction between transitive and intransitive stem types.

Transitive stems encode a referential internal argument at LCS; all other events are are coded in intransitive stems. The transitive/intransitive distinction does not control the derivational possibilities of a base; in fact, argument structure is a property of stems

only. All bases that refer to situations have transitive and intransitive stem alternants.

Only ontological distinctions, revealed by the three derivational paradigms, serve to classify such lexemes in the derivational classes Transitions, Processes, and States.

## Chapter 4. Lexeme category

4.0 Introduction. As demonstrated in the previous chapter, the notion *situation type* is an important one in the description of Okanagan word formation. The conceptual complement of the situation is the notion *entity*; it too, is instantiated in the constraints on word formation in Okanagan. The ontological distinction between situations and entities is grammaticized in Okanagan as a contrast between verbs and nouns. Situations are encoded as verbs, while entities are encoded as nouns. A number of analysts have argued that this pattern of grammaticization is universal and reflects the conceptual basis of language (e.g. Davidson 1980, Givón 1979, 1984, Hopper and Thompson 1980, 1984, Jackendoff 1983, Langacker 1987, Schachter 1985, and Frawley 1993). Frawley 1993 makes a cross-linguistic generalization as follows:

Change is associated with temporality, and temporality and change therefore motivate the categoriality of verbs, just as atemporality and persistence motivate the categoriality of nouns (p. 142).

Frawley also notes that verbs define a relatively temporal relation in conceptual space. Nouns encode relatively atemporal regions in semantic or conceptual space. The most salient property of situations/verbs is a temporal relation or scale. This temporality is exploited conceptually and linguistically;

the result is what we recognize as the aspectual categories of a language. By contrast, the most salient property of entities/nouns is spatial or conceptual extent, in which temporal relations (and hence aspectual categories) are of little or no relevance. Thus it is that languages encode aspectual categories in the verbal system, and few, if any, mark these categories in their nominal systems. <sup>1</sup>

Cross-linguistically, the differences between nouns and verbs are often subtle; however, if we assume that the noun/verb distinction arises from a universal, conceptual distinction between entities and situations, then the grammatical differences between nouns and verbs do not need to be elaborate. The data in this chapter reveal both the subtlety and strength of the noun/verb contrast in Okanagan. In test after test, Okanagan provides evidence against the claim that Salish languages generally lack the noun/verb contrast.<sup>2</sup> I refer to the recent literature describing the lack of a noun/verb contrast as supporting

<sup>&</sup>lt;sup>1</sup>Tense/aspect distinctions in the nominal system have been reported for a handful of languages including Kwakw'ala and Marshallese (Anderson 1985). The rarity of such reports may prove the rule. Also, such claims may have more to do with terminology than grammar. As Palmer 1971 observes, English might be analyzed as marking tense in the nominal system on the basis of such words as *ex-husband* (past), *fiancée* (future), and *grandfather* (pluperfect) if meaning were the only criterion for tense. I assume, following Vendler 1957, that there is an inherent relationship between verbs and time. Aspect-like categories marked on nouns may be open to other interpretations.

<sup>&</sup>lt;sup>2</sup>For example, see Kinkade 1983, Kuipers 1968, Jelinek and Demers 1982, 1994, and Thompson and Thompson 1992.

the 'single class hypothesis', or the claim that some Salish languages have 'a single open lexical class, the category predicate' (Demers and Jelinek 1984:40).

In essence, the single class hypothesis claims that the distinction between situations and entities is coded at the phrasal level of grammar, but not in the lexicon. That is, proponents of the single class hypothesis recognize a distinction between *predicates* and *arguments* in Salish, but they do not find independent evidence for the distinction between verbs and nouns.<sup>3</sup> The single class hypothesis implies that all of the morphological and syntactic differences between lexical items arise from the syntactic slot in which the lexical item appears. Stated in this way, the single class hypothesis is refuted if there are morphological or syntactic differences between classes of lexical items that do not derive from syntactic position only. Constraints on word formation that are category-dependent constitute strong evidence against the single class hypothesis.<sup>4</sup>

<sup>&</sup>lt;sup>3</sup>For example, Kuipers 1968 and Jelinek and Demers 1982 argue this point with respect to the Coast Salish languages, Squamish and Lummi, respectively.

<sup>&</sup>lt;sup>4</sup>Kinkade 1983 presents evidence against the noun/verb distinction in a number of Salish languages. Despite his legitimate concern that a noun/verb contrast in Salish might be a eurocentric illusion, he allows that evidence of a noun/verb distinction would come from the inflectional, syntactic and semantic domains. This is precisely the kind of data that has come to light in Okanagan, and in other Salish languages, in the years since Kinkade 1983.

A number of Salishanists have argued against the single class hypothesis with respect to individual Salish languages (Hébert 1983, Hess and Van Eijk 1985, N. Mattina 1994, Davis and Matthewson 1995, Demirdache and Matthewson 1995, Beck 1995). Many of the extant descriptive grammars assume that there are noun and verb lexical categories, without further discussion (e.g. Van Eijk 1985, Kuipers 1974, Vogt 1940). Given this literature, I conclude that Okanagan is not alone among Salish languages in distinguishing nouns from verbs, although the tests may differ from language to language. In this chapter, I indicate the categorial class of Okanagan lexemes using the feature  $[\pm V]$ . This feature belongs to the matrix that identifies bases classes. Like the features for base aspect described in Chapter 3, the category feature  $[\pm V]$  is inherited by all derivates of a base, unless a category-changing WFR produces the derivate. The aspectual and category features of a derivate allow that stem to be properly inserted into phrase structure. In this Okanagan differs little from other languages, like English, where categoriality is defined both lexically and syntactically.

In the sections 4.1 through 4.3.3, I give six constructions that are based on noun lexemes (henceforth simply *nouns*). In sections 4.4 through 4.7, I describe four constructions based on verb lexemes (henceforth simply *verbs*). I present these particular tests because they are exclusionary; lexemes that test as

nouns fail the tests for verbs, and lexemes that test positively as verbs fail the tests for noun. The results of these tests are summarized in section 4.8. I also summarize the properties of nominalizations and adjectivalizations. In section 4.9 I describe the indirect relationship between conceptual categories and lexical categories. I also develop a featural classification for all open class lexemes of Okanagan.

4.1 Nouns in possessive inflection. All nouns may be inflected to express the person and number of a possessor. Possessive markers are used solely to express the possessor relation, in NPs and predicate nominals (PN) (see also section 2.2). I repeat the person-number paradigm of possessive markers in (1).<sup>5</sup>

The forms of the possessive markers (POSS) are identical to the forms of the genitive subject markers. However, unlike the possessive markers, genitive subject markers are interpreted as agentive subjects. Compare the possessed nominals in (2) and (3), with the genitive subject in (4). (in and an are realized as i- and a-, respectively, before s and stem-initial t of kin terms.)

<sup>&</sup>lt;sup>5</sup>I write these inflectional markers as affixes, although historically they were probably clitics (Kinkade, p.c.).

- (2) in- q'a<sup>9</sup>xán 1sPOSS shoe my shoe
- (3) kwu an- tum'
  1sABS 2sPOSS female's mother
  I am your mother.
- (4) way' ixí<sup>9</sup> i- s- x<sup>w</sup>uy pt dpr 1sGEN asp go I'm going.

In addition to the difference in interpretation between possessive markers and genitive subject markers, the possessive markers show a contrast between realized and unrealized possession. Realized or actual possession is marked with the forms in (1). Unrealized possession (UnPOSS) marks the noun stem as 'about to be possessed'. It is marked by the prefix kt- and a possessive affix, as shown in (5).  $^6$  (kt- is realized as k- before s and t.)

<sup>&</sup>lt;sup>6</sup>Translations of the NPs in (5) usually are of the form 'someone's N-to-be' (e.g., ikt-citx" 'my house-to-be'). This type of translation gives the impression that the noun has inceptive or inchoative aspect. My translation is awkward English, but it is more representative of the modality expressed in unrealized possession.

- (5) a. a- k4- p'ína?

  2s UnPOSS basket

  your basket (lit. 'yet-to-be-your basket')
  - b. i- kt- cítx\*

    1s UnPoss house

    my house (lit. 'yet-to-be-my basket')
  - c. k- slaxt -s
    UnPOSS friend 3s
    his friend (lit. 'yet-to-be-his friend')

The possessed noun is not interpreted as inchoate. Rather, it is the relationship between the possessee (the noun) and the possessor that is not fully realized. The category *unrealized possession* indicates that possession of the possessee is only highly probable or possible, in the view of the speaker.

Unrealized possession is therefore a modal category. It is expressed inflectionally, as a subparadigm of the category *possessor person*.

The difference between nouns in realized and unrealized possession is best illustrated when nouns occur in NPs that are the arguments of possessional and dative transitive verbs (section 3.4.1.3 and 3.4.1.4). Possessional and dative verbs differ in that the dative does not allow a possessed theme NP. (6) illustrates a dative verb with an unpossessed, generic theme NP. A dative verb with a possessed theme nominal is ungrammatical, as shown in (7).

(6) k<sup>w</sup>u <sup>c</sup>ac+xít -x<sup>w</sup> i<sup>9</sup> t snkłc'a<sup>9</sup>sqáxa<sup>9</sup> 1sOBJ tie(dat) 2sERG art cs horse You tied a horse for me. If the theme NP is possessed, a possessional verb must be used to express this idea, as in (8).

(8) kwu cac+tt -íxw in- kəwáp 1sOBJ tie(pos) 2sERG 1sPOSS horse You tied my horse for me.

The incompatibility of possessed themes with dative verbs does not extend to themes that are only likely to be possessed, i.e. themes that are marked for unrealized possession. That is, datives verbs may have theme NPs marked for unrealized possession, as in (9).

(9) 
$$k^w u ^c ac + xit -x^w$$
  
1sOBJ tie(dat) 2sERG

i? t i- kt- kəwáp art cs 1s UnPOSS horse You tied the horse (that will be mine) for me.

Possessional verbs accept theme NPs in either realized or unrealized modes.

Compare (8) with (10).

(10) kwu 'ac+tt -ixw i- kt- kəwáp 1sOBJ tie(pos) 2sERG 1s UnPOSS horse You tied the yet-to-be-my horse.

Verbs do not inflect for unrealized possession. Speakers report that the forms in (11) are uninterpretable.

Only nouns inflect for realized and unrealized possession.

- **4.2. Derivation based on nouns.** Some derivational WFRs apply to nouns and not verbs. I describe two WFRs that apply only to nouns in 4.2.1 and 4.2.2. Both of these derivational WFRs are category-changing rules. They form verb stems from nouns.
- **4.2.1 'have-something' verbs.** A derivational WFR applies to nouns to form verb stems that mean 'someone has something'. The prefix kt- is the formative associated with this WFR. The output of the rule is an intransitive verb that inflects with intransitive (ABS) person markers. (kt- is realized as k-before s and t.)
- (12) a. kn kt+p'ina?

  1sABS have-basket
  I have a basket.
  - b. k<sup>w</sup> kt+citx<sup>w</sup>

    2sABS have-house

    You have a house.

c. k+slaxt
3sABS have-friend
He/she has a friend.

Third person forms of this derived verb type allow existential readings, as in (13) and (14).

- (13) atí? kt+ps<sup>c</sup>áya? axá? əl təmx<sup>w</sup>úla?x<sup>w</sup> dpr have-foolish\_ones dpr on earth

  There are no good people here on earth. GW851
- (14) ilí' k+səx mrím ut atí' nak'a' kt+mrimstn dpr have-doctor conj dpr neg have-medicine

  There was a doctor there, but he didn't have medicine. GW623

Verbs cannot undergo the 'have-something' WFR. Speakers report that the forms in (15) are not interpretable.

- (15) a. \*kn kt+x\*uy 1sABS have-go
  - b. \*kn k+limt 1sABS have-happy
  - c. k<sup>w</sup> k<sup>4</sup>+p'ic'm
    2sABS have-pinch
- 4.2.2 'get-something' verbs. A derivational WFR applies to nouns to form a verb stem that means 'someone get something'. The formative  $ta^2x^{w}$  is associated with this WFR. The output verb is intransitive, and inflects with intransitive person markers. (The connector suffix t usually occurs between  $ta^2x^w$  and the noun stem. It is not present if the noun stem begins with s.)

(16) way' q'\*əy<sup>c</sup>ás ta<sup>9</sup>x\*+sqláw', well black-one got-money

ut  $ta^{\gamma}x^{w}+t+citx^{w}$  i'  $s\check{x}^{w}ilmən$  and got-house art devil

The Black Man got the money and the Devil got the house. COD209

- (17) k<sup>w</sup> ta<sup>9</sup>x<sup>w</sup>+sck'<sup>w</sup>úl'
  2sABS got-work
  You got a job.
- (18) atí?  $\lambda$ 'lal i- sxílwi?, because dead 1sPOSS husband

 $\frac{1}{2}$   $\frac{1}$ 

My husband is dead and I got a replacement husband. COD208

Like 'have-something' verbs, 'get-something' verbs may have an existential interpretation as shown in (19) and (20).

- (19) ixí<sup>9</sup> ut mət náxəmt ta<sup>9</sup>x\*+t+xwít then perhaps got-road Maybe they have roads there. COD208
- (20) ta<sup>9</sup>x\*+ !+t'əx\*twis got-airplanes
  They had airplanes.

Verbs do not undergo the 'get-something' WFR. Speakers find the forms in (21) ill-formed.

(21) a. \*kn  $ta^7x^w+1+x^wuy$  1sABS get-go

b. \*k\* ta<sup>2</sup>x\*+1+1imt 2sABS get-happy

c. \*p 
$$ta^{\gamma}x^{w}+1+^{\gamma}itx$$
  
2pABS get-sleep

**4.2.3 Category conversion.** Some nouns are homophonous with a verb stem whose meaning is closely related to the noun. I analyze these as cases of category conversion, a phenomenon that involves affixless category change. Cross-linguistically, category conversion is widespread when the direction of derivation is  $N \rightarrow V$ , but rare in  $V \rightarrow N$  derivation. Hopper and Thompson (1984:745) observe that 'languages tend to have special nominalizing morphology, but no special productive verbalizing morphology'. This 'apparently universal generalization' holds for Okanagan. A small, semantically restricted group of nouns have formally identical verbal counterparts. The semantic restriction is that the noun must refer to an article of clothing or instrument of (human) grooming. The verbal counterparts of the nouns in this group are eligible for middle formation (section 2.2.2.6). In middle aspect, an agent performs a self-directed act of dressing or grooming. The formative for the middle WFR is the suffix -(V)m. The verb stems in the (a) examples of (22)-(27) have homophonous nominal counterparts (shown in their possessed forms, (b) examples).

<sup>&</sup>lt;sup>7</sup>Hopper and Thompson limit the scope of their generalization to those derivational processes that simply shift a lexeme into the other category. Rules such as 'have-something' (section 4.2.1) or 'get-something' (section 4.2.2) formation are excluded from the generalization because they add semantic content to the noun base.

(22)

a. kn  $q^w \acute{a} cqn + m$  b. in-  $q^w \acute{a} cqn_N$  1sABS hat  $q^w \acute{a} cqn_N$  1sPOSS hat my hat

(23)

a. kn lasmíst+m b. in- lasmíst $_N$  1sABS shirt $_V$ (mid) 1sPOSS shirt I put a shirt on. my shirt

(24)

a. kn pikst+m b. in- pikst<sub>N</sub> 1sABS glove<sub>V</sub>(mid) 1sPOSS glove I put my gloves on. my glove(s)

(25)

a. kn q'a'xán+m b. in- q'axán $_N$  1sABS shoe $_V$ (mid) 1sPOSS shoe I put my shoes on. my shoe(s)

(26)

a. kn  $\check{x}ay\lambda`xn+m$  b. in-  $\check{x}\check{a}y\lambda`xn_N$  1sABS pants\_v(mid) 1sPOSS pants I put my pants on. my pants

(27)

a. kn siswxn+m b. in-siswxn<sub>N</sub> 1sABS socks<sub>v</sub>(mid) 1sPOSS sock I put my socks on. my sock(s)

Evidence that the stems in the (a) forms of (22)-(27) are verbs comes from the fact that these stems form other verbal stem types, including closed

transitionals.

- (28) qwacqn+(n)t -xw hat(ctr) 2sERG You put a hat on him.
- (29) lasmíst+(nt) -n shirt(ctr) 1sERG I put a shirt on him.

They also may inflect for customary aspect, as in (30) and (31).

- (31) kn c- lasmíst 1sABS cust shirt<sub>v</sub> I have a shirt on.

The data in (32) through (34) show that nouns do not form middles ((a) examples), closed transitionals ((b) examples), or stems in customary aspect ((c) examples).

- (32) a. \*kn p'ína<sup>9</sup>m
  1sABS basket(mid)
  (I am basketed.)
  - b. \*p'ína'+nt -x\*
    basket(ctr) 2sERG
    (You basketed him.)
  - c. \*c- p'ína<sup>9</sup>
    cust basket
    (It's being a basket./It's basketed.)

- (33) a. \*kn síya<sup>9</sup>m

  1sABS sarviceberry(mid)

  (I am sarviceberried.)
  - b. \*síya<sup>9</sup>+nt -x<sup>w</sup>
    sarviceberry(ctr) 2sERG
    (You sarviceberried him.)
  - c. \*c- síya<sup>?</sup>
    cust sarviceberry
    (It's serviceberried.)
- (34) a. \*kn snk\*úmcntnm

  1sABS storage\_place(mid)

  (It's storage-placed.)
  - b. \*snk\*úmcntn+(n)t -x\*
    storage\_place(ctr) 2sERG
    (You storage\_placed it.)
  - c. \*c- snk\*úmcntn cust storage\_place (It's storage placing.)

The data in (22) through (31) suggest that there are nominal and verbal stems if the nominal refers to an item of clothing or grooming. That is, there are homophonous noun-verb pairs in Okanagan that are like English noun-verb pairs for *golf*, *hike*, *edge*, *toast*, *dress* and *shower*, except that the Okanagan noun-verb pairs are restricted to a small semantic domain. Within this limited domain (items of clothing and grooming),  $N \rightarrow V$  is accomplished without verbalizing morphology, as predicted by Hopper and Thompson 1984.

of affixes. (I discuss  $V \rightarrow N$  WFRs in section 4.7). Following cross-linguistic patterns, category conversion in Okanagan applies to nouns, but not to verbs.

4.3 Nouns in the syntax. Nouns head NPs in an Okanagan clause. They are introduced by a determiner *i*? or a deictic such as *ixi*? 'that' or *axá*? 'this'. Outside of the predicate nominal construction, nouns are not predicative; they have no propositional force in isolation from a verb or predicative particle. In the following sections, I describe three syntactic constructions-predicate nominals, prepositional phrases, and modified noun phrases--that are distinct from verbal clauses.

- 4.3.1 Predicate nominals. All nouns are eligible for predicate nominal (PN) formation. Despite their formal resemblance to verbal constructions, predicate nominal clauses are interpreted as equational propositions, meaning 'NP = NP', as shown in (35) and (36).
- (35) k sn<sup>9</sup>íma<sup>9</sup>t 2sABS grandson You are a grandson.
- (36) k in- tum'
  2sABS 1sPOSS female's mother
  You are my mother.

<sup>&</sup>lt;sup>8</sup>The predicate nominal construction typically has a kin or affinal term as the predicate. Other types of nouns appear in the PN construction in storytelling contexts. Expressions such as k<sup>w</sup>\_incitx<sup>w</sup> 'you are my house' or kn\_citx<sup>w</sup> 'I am a house' involve anthropomorphization or metaphor and are hallmarks of poetic or humorous speech.

The propositional content of predicate nominal clauses is limited to the expression of identity and interpersonal relation, a functional type that is widely attested cross-linguistically (Amith and Smith-Stark 1994, Beck 1995). The fact that there are formal resemblances between the predicate nominal clauses and verbally-headed intransitive clauses (Vi) might suggest that the nominal head of a PN is basically predicative, and therefore, verbal. 10

<sup>&</sup>lt;sup>9</sup>Amith and Smith-Stark 1994 observe that languages make use of transitive (I call you brother) or predicate nominal (You are my brother) constructions to express kinship relations. Cross-linguistically, predicate nominal constructions have intransitive case-marking. Yet when the head of the predicate nominal clause is a kin or affinal term, predicate nominal constructions encode two arguments. The semantic roles of these arguments are not like those of a typical transitive verb, however, so that the interpretation of predicate nominals depends heavily on the fact that the predicate nominal construction has a nominal head, the transitive clause a verbal head. Unlike Hopi, Huichol and Chinook, Okanagan uses only the predicate nominal construction to express interpersonal relations. In fact, the pattern of inflection for predicate nominals in Okanagan is cross-linguistically unremarkable: the nominal, or relator, names the relationship, a subject proclitic or deictic pronoun codes the features of the argument that is identified with the relator, and a possessive marker codes the second argument. The Hopi equivalent of (34) is given in i.  $ngu^{2}u$  is the relator. The proclitic um is the grammatical subject, and the possessive prefix is the second argument.

i. um i- ngu-'u
2sSub 1sPOSS mother-pausal
You are my mother. (cited in Amith and Smith-Stark 1994:514).

<sup>&</sup>lt;sup>10</sup>It is standard to assume that the terms *predicate* and *verb*, just like *argument* and *noun* are not synonymous. Some of the earliest arguments for and against the single class hypothesis were based on the opposite assumption, that anything used as a predicate was basically (i.e. lexically) verbal. In Okanagan, there are formal differences between nouns in predicate function and verbal predicates, so that the noun/verb contrast is more than definitional.

However, there are three ways that PNs differ morphosyntactically from verbally-headed intransitive clauses (Vi's).

First, the person marking paradigm used with PN's is distinct from that used to inflect the verb in Vi clauses. In PNs, the first and second subject persons are marked by the proclitics shown in (37).

$$(37) \quad 1 \quad kn, k^{w}u \quad k^{w}u$$

$$2 \quad k^{w}, \qquad p$$

The first person singular has two forms. The first is used when the nominal is not possessed, as in (38); the second is used when the nominal is possessed (39).

- (38) kn suknaqínx 1sSub Okanagan I am (an) Okanagan.
- (39) kwu 1<sup>9</sup>íw -s 1sSub father 3sPOSS I am his father.

The first singular absolutive person marker is kn\_ only.

Third subject is indicated differently in PN's and Vi's. In the Vi, the absolutive subject marker is 0, as in (41). A PN with third subject is expressed with an overt deictic pronoun as in (42) and (43).

- (41) <sup>9</sup>itx sleep(3sABS) He slept.
- (42) a. axá<sup>9</sup> an- qaqná<sup>9</sup> dpr 2sPOSS grandmother This one is your grandmother.
  - b. an- qaqná?
    2sPOSS grandmother
    your grandmother
    \*She is your grandmother.
- (43) a. ixí<sup>9</sup> l<sup>9</sup>íw dpr father

  That one is a father.
  - b. 1<sup>2</sup>íw father \*He is a father.

The deictic pronoun that serves to mark third person subject in a PN must be adjacent to the noun, as is typical of the equational clause type (section 2.1). Non-third persons do not require a deictic pronoun subject, although an independent pronoun is possible.

- (44) anwi k in- qaqná?
  you 2sSub 1sPOSS grandmother
  You are my mother.
- (45) incá kn 1<sup>9</sup>íw
  I 1sSub father
  I am a father.

The second difference between a PN and a Vi is that a PN inflects for future and prospective aspect, while Vi's may inflect for a wider range of

sentential aspects. The aspects in which PNs can be found are shown in (46).

Those of Vi's are shown in (47).

- (46) a. kn kt- na<sup>9</sup>ník'mn (future)

  1sABS fut knife
  I will be a knife.
  - b. kn ks- na<sup>9</sup>ník'mn+a<sup>9</sup>x (prospective)
    1sABS irr knife(con)
    I am going to be a knife.
- (47) a. i- ks- t'ux\*t (future)
  1sGEN irr fly
  I will fly.
  - b.  $k^w$  ks-  $t'\acute{u}x^wt+a^{\gamma}x$  (prospective) 2sABS irr fly(con) You are about to fly.
  - c.  $k^w$  s-  $t'ux^wt+x$  (perfect 2sABS pcont fly(con) continuous) You are flying.
  - d.  $k^{w}$  səc- t'úxt+x (continuous) 2sABS cont fly(con) You are flying.
  - e. kn ksc- t'ux\*t (perfect)

    1sABS pft fly

    I have flown.
  - f. k<sup>w</sup> t'ux<sup>w</sup>t (perfective)

    2sABS fly

    You flew.
  - g. i- s- t'ux\*t (neutral)
    1sGEN neut fly
    I fly/flew.

The PN in prospective aspect is not attested in texts. In elicitation contexts, speakers observed that some predicate nominals in prospective aspect were better than others. For example, they rejected  $*kn_*ks(s)qltmix^wa^2x$  'I am going to be a man' in favor of (48).

- (48) kn ks- qltmx wilx + a<sup>9</sup>x
  1sABS irr become\_man(con)
  I am going to become a man.
- In (48), the stem *qltmx*<sup>w</sup>*wilx* is an intransitive verb, in anticausative stem aspect. It is inflected for prospective (sentential) aspect. As an intransitive verb in prospective aspect, it cannot express a possessor argument, as shown in (49).
- (49) \*kn a- ks- qltmx\*wilxa<sup>9</sup>x
  1sABS 2sPOSS irr become\_man(con)
  (I am going to be your man.)

In the PN construction, both a subject and possessor are possible in future aspect.

(50) k\*u a- kt- citx\*

1sSub 2sPOSS fut house
I will be your house.

To express prospective aspect with respect to the notion of manhood, the speakers preferred the Vi construction to the PN construction. Where prospective aspect is possible with predicate nominals, it seems that the

speakers can generalize the notion 'future' to two constructions, and they accept, if not produce, both. Despite the sporadic borrowing of prospective aspect from the verbal paradigm, PNs do not form any other aspect from the sentential aspect paradigm. This would be an arbitrary distributional difference if PNs were not distinct from Vi's.

The third way in which PNs and Vi's differ is in the morphological realization of future meaning. The prefix that marks future in a PN is kt-. A Vi in future has the irrealis prefix ks-. <sup>11</sup> The distinctiveness of the two WFRs that involve kt- and ks- is revealed in three ways. First, their phonological expression is only partially isomorphic (Mattina and Mattina 1995). ks- is k-before stem-initial s (51a) and t (51b). If the base begins in t, ks- is realized as ks- (51c).

- (51) a.  $k^w$  i- k(s)- síwm 2sOBJ 1sGEN irr ask I will ask you.
  - b. kn k(s)- t- x<sup>w</sup>úy+a<sup>9</sup>x
    1sABS irr back go(con)
    I am going back (home).
  - c. k i- ks- łwinəm
    2sOBJ 1sGEN irr leave
    I am going to leave you.

By contrast, kt- is k- before any s and t. This is illustrated in (52).

<sup>&</sup>lt;sup>11</sup>ks- also occurs in transitive clauses (section 2.1.1).

- (52) a.  $ixi^{9}$  i-  $k(\frac{4}{7})$   $sq^{w}sq^{w}si^{9}$  dpr 1sPOSS fut child

  That will be my child.
  - b. ixí<sup>9</sup> i- k(†)- †úmən dpr 1sPOSS fut spoon
    That will be my spoon.

Because ks- and kt- are k- before s, their formal differences are neutralized in many tokens, as in (51a) and (52a). However, their formal distinctiveness (cf. (51b) and (52b) corresponds to a difference in their distribution. kt- 'future' occurs with only with nouns (53), while ks- 'irrealis' occurs with verbs (54).

- (53) a. k<sup>w</sup> k<sup>4</sup>- x<sup>w</sup><sup>c</sup>aylx 2sSub fut fox You will be fox.
  - b. \*k<sup>w</sup>\_ks-x<sup>w</sup><sup>c</sup>aylx
- (54) a. a- ks- c'əpc'ípsəm 2sGEN irr close\_eyes

- (i) way' axá<sup>9</sup> a- ks- ən<sup>9</sup>a<sup>9</sup>mútən prt dpr 2sPOSS irr seat This will be your seat.
- (ii) way' axá? a- kt- ən?a?mútən prt dpr 2sPOSS fut seat This will be your seat.

The similarities between the two prefixes and their functions probably lend themselves to speaker reanalysis. Moreover, individual speakers may be inclined to treat predicate nominals more as verbs, or more as nouns. In other contexts, ks- and kt- are in complementary distribution.

<sup>&</sup>lt;sup>12</sup>Speakers do sporadically use ks- with a noun in predicate function as in (i) (Mattina and Mattina 1995). The expected form is that in (ii).

You will close your eyes.

## b. \*a-kt-c'əpc'ipsəm

Finally, kt- 'future' is morphophonologically, distributionally, and functionally related to kt- 'unrealized possession'. In section 4.1, I described unrealized possession as encoding an epistemic modality. It expresses the possibility or likelihood that a possessional relationship will occur with respect to a possessor and a nominal. Cross-linguistically, markers of possibility frequently develop the sense of a prediction (Bybee et al 1994). The key function of the future is to make a prediction. Therefore, it is very likely that the future meaning of kt- developed from the meaning of the kt- that marks unrealized possession on nominals. The meaning difference between future and unrealized possession pivots on the the reference to the possessive relationship; the category unrealized possession is generalized to the notion 'unrealized', and hence to future. A concomitant of this functional shift is the loss of the requirement that kt- occur with a possessive marker. That is, unrealized possession always implies a possessor (55), while the future marker does not (56).

- (55) a. wik -n t i- kt- citx\* see 1sERG cs 1s UnPOSS house I saw the yet-to-be-mine house.
  - b. \*wik -n t k4-citx\*

- (56) a. k\*u a- kt- ylmíx\*əm 1sSub 2sPOSS fut chief I will be your chief.
  - b. kwu kt- ylmíxwəm
    1sSub fut chief
    I will be chief.

Unrealized possession and future are closely related categories. They both occur on nouns only. ks- 'irrealis' occurs only on verbs. It is found in aspectuo-modal constructions that include prospective aspect, and negative imperative, deontic, and epistemic moods.

The formal and interpretational differences between PNs and Vi's are sufficient to indicate a basic distinction between clauses headed by a noun in predicate function and verbal predicates. The fact that all nominals can be used predicatively in the PN construction does not support the single class hypothesis. The special properties of the PN derive from the category of the predicate, else the differences between PNs and Vi's are unexplained.

4.3.2 Nouns as prepositional objects. Several proclitics have prepositional function in Okanagan, including the proclitic t. <sup>13</sup> t marks a

<sup>&</sup>lt;sup>13</sup>A few other proclitics serve both prepositional and complementizing functions, e.g.  $k'\partial l$  'to, toward, from, because' and  $\partial l$  'in, at, while'. t alone is consistently a preposition. One verb,  $c^2\check{x}it$  'do like' or 'seem as if' requires t before its complement. The complement may be verbal, as in (i).

<sup>(</sup>i) way' ki? c?xit t ənt'a?fls

prt when seem come\_to\_one's\_senses

That's when he came to himself. COD222

variety of grammatical and semantic categories. It indicates grammatical function (see also section 2.1.2) and a several of semantic case roles. It is also used to mark emphatic transitive subject NPs. As a marker of grammatical case, t cliticizes to nominals in these functions: transitive subject (57)-(58), dative theme (59), and intransitive generic object (60).

- (58) way' myp+nú -s t incá kwúlstm -ən prt know(lc) 3sERG cs I send 1sERG He'll know I sent you. GW141
- $k^{w}$ ni+xt (59)-m grab(dat) 1pERG i? k4t, ənt'k'"mín -S art cs **UnPOSS** coffin 3sWe'll get him his coffin. COD56
- (60) kn wikm i? t sλ'a?cínəm 1sABS see art cs deer I saw some deer.

t precedes ergative subject nominals inflected for third singular and plural

This use of t may be a grammatical relic; synchronically it is a small irregularity in the distribution of t. When questioned specifically about t speakers often change their minds about whether t belongs in a particular construction or not. The general pattern of distribution as I have stated it is well attested in texts.

subjects (including Unsub subjects) with first, second, or third singular objects (57). With first or second person subject reference, t is interpreted as an emphatic marker (58).

As a marker of semantic case, t precedes nominals in these roles: locative (61), instrument (62), source (63), path (64), and factitive (65). <sup>14</sup>

- (61) axá<sup>9</sup> k<sup>w</sup>u ks- tək<sup>w</sup>tək<sup>w</sup><sup>9</sup>úta<sup>9</sup>x
  dpr 1pABS irr travel(con)

  i<sup>9</sup> t təmx<sup>w</sup>úla<sup>9</sup>x<sup>w</sup>
  art prep world
  We are going to travel around the country/world. GW5
- (62) səp'nt -ís i<sup>9</sup> t qəx"sqáxa<sup>9</sup>tn club(ctr) 3sERG art prep whip He clubbed him with a whip. GW34
- (63) kn səc- húy+x i? t sk'íwəlx 1sABS cont tired(con) art prep old\_age I am tired out from old age. GW37
- (64) tə ntanınık in testkimásqint kin xwuy prep next art prep sky sb go Right (along) next to the sky he went. GW273
- (65) way' yəy<sup>c</sup>át k'<sup>w</sup>ul' -lx t sqilx<sup>w</sup> prt all turn\_into 3pABS prep humans
  They all turned into humans. COD61

The proclitic t does not cliticize to stems that contain verbal

<sup>&</sup>lt;sup>14</sup>Factitive complements of t occur only with the verb  $k^{w}ul$  'turn into N'.

morphology, e.g. verbal person marking, sentential aspect, or stem aspect. <sup>15</sup> It does not occur in equational clauses or predicate nominal constructions. t may be followed by a possessed nominal, if the semantics of the clause head allow it.

Unlike other particles in Okanagan that translate as prepositions in English, t is unique in its preference for nominal complements. There are at least two other behaviors of t that suggest it is basically a marker of case. First, if an NP is in a grammatical or semantic role that is marked by t, then both the noun and its modifier are preceded by t, as in (67)-(69).

<sup>&</sup>lt;sup>15</sup>Only nominalized verbs may follow t. Nominalizations are describe in section 4.7.

Also a little money give him. GW23

If [it had gone] along the ground, we could follow him by the horse's tracks. GW680

This repetition of *t* within an NP follows a pattern known cross-linguistically as *case agreement* (Gerdts 1991b). Case agreement identifies the modifier-head as a constituent, whether the subconstituent structure is adjective-noun ((67) and (68)) or possessor-noun (69).

A second property of *t* that suggests that is a preposition is its semantic dependence. Its interpretation is tied to the lexical features of the clause head. Thus, verbs of manipulation or creation tend to take instrumental prepositional phrase, while verbs of motion typically take locative or path prepositional phrases. As a preposition, *t* cannot form equational clauses. That is, *t\_samasc'mqín* does not mean 'it's a computer'. Rather, *t* serves a verbal head by linking nominals to the verb phrase. This arrangement between an argument-taking head (capable of assigning thematic roles) and a preposition is

familiar from many other languages.

- **4.3.3 Modified nouns.** Nouns may be modified by a verb functioning as an adjective. The verb typically follows the determiner, and is adjacent to the noun. The data in (70), from texts, illustrate the construction.
- (70) a. i<sup>9</sup> xá<sup>9</sup>xa<sup>9</sup> i<sup>9</sup> skək<sup>9</sup>áka<sup>9</sup> art sacred art animals sacred animals EC165
  - b. axá<sup>9</sup> <sup>c</sup>ant dpr look(imp)
    - i? suknaqínx nək' cwílxtən ixí? art Okanagan villages dpr
      Look at the Okanagan villages here and there. EC30
  - c. ks- ckícx+a<sup>9</sup>x k<sup>w</sup>úk<sup>w</sup>a i<sup>9</sup> sqilx<sup>w</sup> irr arrive\_(con) strange art people Strange people are going to arrive. EC6
  - d. i? k'əl sqilx sənm'a?m'áy'a?tn k'\*ul'nt -x\*
    art toward Indian education work(ctr) 2sERG
    You work towards Indian(-style) education. EC13
  - e. way' ixí' mət i' sílxwa' siwłkw prt dpr maybe art big water

    That must be the big water (ocean). GW276

Although the modified noun construction is rare in texts, the adjective consistently precedes and is adjacent to the noun. <sup>16</sup> Other word orders are ungrammatical, as illustrated in (71) and (72).

<sup>&</sup>lt;sup>16</sup>Davis and Saunders 1978 observe that modified nouns in Bella Coola have the order modifier-noun. They conclude that this order serves to distinguish modifier-noun constructions from predicate-argument constructions.

- (71) a. i<sup>9</sup> sílx<sup>w</sup>a<sup>9</sup> i<sup>9</sup> mlqnups t'ux<sup>w</sup>t art big art eagle flew

  The big eagle flew.
  - b. \*i<sup>9</sup> mlqpnups i<sup>9</sup> sılx \*a<sup>9</sup> t'ux \*t
- (72) a. k'wul'+xt -n t sîlxwa? t citxw make(dat) 1sERG cs big cs house I made him a big house.
  - b. \*k'"ul'xtn t citx" t sîlx"a?

The rigidity of adjective-noun order contrasts with that of a verb and an argument NP. As shown in (73) and (74), the head of a clause may precede or follow its argument.

- (73) a. i<sup>9</sup> kəkəwáp wəhám art dog bark
  The dog barked.
  - b. wəhám i<sup>9</sup> kəkəwáp
- (74) a. t k'\*ək'\*yina i? t sqlaw' cs little art cs money

  x\*ic'+xt -x\*

give(dat) 2sERG Give him a little money. GW23

b. xwic'xtxw t k'wək'wyina i' t sqlaw'

The word order restriction emphasizes that the verbal element in an adjective-noun collocation is not functioning as an intransitive predicate.<sup>17</sup>

 $<sup>^{17}</sup>$ A predicative State lexeme is frequently introduced by the evidential particle t'i. Compare the evidential, predicative construction in (i) with the attributive construction in (ii).

Rather, it has an attributive function that is typical of adjectives. Adjective-verb collocations are impossible, as shown in (75). 18

(75) a. \*sílx\*a? t'ux\*t i? mlqnups
(Big fly is the golden eagle)

(ii) i<sup>9</sup> piq i<sup>9</sup> kəwáp-s his white horse

State lexemes are adjective-like in that they can be used referentially as well as attributively. They may be used as a referring expression when the State is characteristic of an individual, at least sufficiently so that it identifies a referent in the discourse.

(iii) wik-n i? piq
I saw the white one.

In both attributive and referential function, the State lexeme shows neither stem nor sentential aspect. It cannot be inflected for verbal person-marking.

(iv) i? xwupt i? snktc'a?sqáxa? a tired horse

\*i? xwəptwilxw i? snktc'a?sqáxa? (a getting tired horse)

\*i? xwəpt+st-im i? snktc'a?sqáxa? (a made-tired horse)

\*i? səc-xwupt-x i? snktc'a?sáxa? (a tiring horse)

\*i? in-xwupt i? snktc'a?sqáxa? (my tired horse)

<sup>(</sup>i) t'i piq i? kəwáp-s his horse is white

<sup>&</sup>lt;sup>18</sup>Matthewson and Demirdache (1995) discuss a similar finding in Lillooet Salish.

b. \*xá<sup>9</sup>xa<sup>9</sup> q<sup>w</sup>əlq<sup>w</sup>ilsts i<sup>9</sup> k'<sup>w</sup>ul'ncútn<sup>19</sup> (Sacred spoke the creator)

Modified nouns also show case agreement (section 4.3.2) with their modifier, as in (76).

As discussed in the previous section, verbs do not occur after t. As a result, the forms in (77) are ill-formed.

Verbs in adjectival function can modify only a noun. To do so, the verb must precede the noun; the verb in adjectival function typically follows the determiner  $i^2$ . These constraints make reference to the existence of a class of NPs and nouns in the syntax.

4.4 Verbs and aspect. The general term aspect refers to three types of

uł t'i žá<sup>9</sup>ža<sup>9</sup> and ev sacred

The creator spoke and it was sacred.

In (i), the stative predicate  $\check{x}\check{a}^{2}\check{x}a^{2}$  'noted' heads its own VP.

<sup>19</sup>The correct way to express the sense of this utterance appears in (i).

(i) qwəlçwil'+st -s i? k'wul'ncútn

speak(ctr) 3sERG art Creator

temporal-modal phenomena that I refer to as base, stem and sentential aspect (section 3.0). Base aspect refers to the ontological classification of lexemes as situations or entities. In Okanagan, ontological entities are coded in the grammar as nouns. Situations are coded as verbs. Furthermore, all situations/verbs have stem aspect derivates, and these inflect for sentential aspect. Nouns do not participate in either stem aspect derivation or sentential aspect inflection. In section 4.4.3, I examine the evidence for a stem aspect referred to in the literature as 'distributive aspect'. This aspectual category has been described as evidence that aspectual categories apply equally to nouns and verbs in Salish (Jelinek and Demers 1984). In Okanagan, however, distributive aspect is strictly a verbal category.

4.4.1 Sentential aspect. Verbs inflect for sentential aspect (section 2.2.1.2); nouns do not. All verb bases occur in all sentential aspects, regardless of the base type. I show the sentential aspects with a Process base in (78), and with a Transition base in (79). A noun base is ill-formed in every sentential aspect type (80).<sup>20</sup>

<sup>&</sup>lt;sup>20</sup>As noted in section 4.3.1, some nouns are acceptable in prospective aspect in the predicate nominal construction. All speakers were able to interpret this form, but only some said they would use it.

(78)

## Sentential aspect

Process base: //xwuy// GO

perfective kn x wuy I went. perfect kn\_ksc-x\*uy I have gone. continuous  $kn_s = c - x^w u y + a^{\eta} x$ I am going. immediate continuous  $kn_s-x^wuy+x$ I am going now.  $kn_{x} ks-x^{w} uy+a^{\gamma}x$ prospective I am about to go. kn\_ac-x\*úy customary I usually £5. future i-ks-x<sup>w</sup>úy I will go. i-s-x<sup>w</sup>úy I went/I am going/! go. neutral

(79)

## Sentential aspect

Transition base: //ºac// TIE

kn_ <sup>c</sup> acám	I tied something.
kn_ksc- <sup>c</sup> acám	I have tied something.
kn_səc- <sup>c</sup> ac+mixa <sup>9</sup> x	I am tying something.
kn_s- <sup>c</sup> ac+míx	I am tying something now.
kn_ks- <sup>c</sup> ac+míxa <sup>2</sup> x	I am going to tie something.
ac- <sup>c</sup> acst-ín	I usually tie it.
i-ks- <sup>c</sup> acám	I will tie it.
i-s- <sup>c</sup> ác	I tied/ am tying/tie
	something.
	kn_ksc- <sup>c</sup> acám kn_səc- <sup>c</sup> ac+míxa <sup>2</sup> x kn_s- <sup>c</sup> ac+míx kn_ks- <sup>c</sup> ac+míxa <sup>2</sup> x ac- <sup>c</sup> acst-ín i-ks- <sup>c</sup> acám

(80)

Sentential aspect Noun base: //p'ina?// BASKET

\*p'ína? perfective \*It was a basket. \*kn ksc-p'ina? perfect \*I have been a basket. \*kn səc-p'ína $^{9}$ +a $^{9}$ x \*I am basketting it. continuous \*kn s-p'iná?+x immediate continuous \*I am basketting it now. \*kn ks-p'ina $^{9}$ +a $^{9}$ x \*I am going to basket. prospective \*ac-p'ina<sup>(+st)</sup> \*Someone usually baskets. customary (tr) \*I am basketted. customary (itr) \*kn əc-p'ína? \*i-ks-p'ína? \*I will basket. future \*i-s-p'ína? \*I basketted./\*I am neutral basketting./\*I basket.

Accordingly, verbs that are derived from noun bases do inflect for sentential aspect. This is evidence that a shift in category has taken place. For example, both 'have-something' and 'get-something' verbs are derived from nominal bases. Both kinds of derived verbs inflect for sentential aspect, as shown in (81) through (84).

- (81) ut way' p ks- k+sqláw'+a'x and prt 2pABS irr- have\_money(con)
  You are going to get money. GW18
- (82) lut pən'kin' ks- ks+way' -s
  neg ever irr have\_end 3sGEN
  There will never be an end, EC147
- (83) kn səc- ta<sup>9</sup>x\*+sqláw'+a<sup>9</sup>x
  1sABS cont get\_money(con)
  I am getting some money.

Nouns do not inflect for sentential aspect. This is plainly seen when a noun is inflected for the nominal category *unrealized possession*, as in (85).

(85)	a.	*a- 2sPOSS	ks- irr	kł- UnPOSS	p'ína <sup>9</sup> basket
	b.	*a- 2sPOSS	ks- irr	kł- UnPOSS	p'ína? basket
	c.	*kn IsABS	ksc- prft	kł- UnPOSS	tsísnca? older brother
	d.	*kn_ 1sABS	səc-	kł- UnPOSS	snłq'"útn+a <sup>9</sup> x bed(con)

**4.4.2 Stem aspect.** Stem aspect derivation alters the temporal and modal features of the base lexeme. All verbs have at least a few of the stem aspect derivates listed in (86), but nouns have none (see also section 3.4).

(86) closed transitional open transitional possessional dative reflexive middle reciprocal continuative desiderative limited control anticausative causative stative

Nouns do not form any of these derivates, regardless of their position in the syntax.

4.4.3 Distributive aspect. In addition to the stem aspects listed above,Okanagan also has a word formation rule that expresses distributive aspect.Mithun 1987:221 defines distributive aspect as a phenomenon that

distribute[s] actions over time, indicating iterative or continuous action, or over space, indicating multiple locations or participants.

In Okanagan, as in many of the Salish languages, distributive aspect is associated with a reduplicative prefix that copies  $C_1VC_2$  of the phonological root. This reduplicative prefix also occurs in some noun stems, which might suggest that nouns are marked for aspect in Okanagan. In fact,  $C_1VC_2$  reduplication corresponds rather poorly with distributive meaning, even in verbs. Further, nouns with this reduplication generally lack distributive semantics, i.e. they are neither plurals nor distributive plurals.

Transitive verb stems in distributed aspect are interpreted as repeated action that occur over distinct location within a single event. Distributive transitives translate into English as verbs with plural internal arguments, as in (87a). (The vowel of the reduplicative prefix (dis) is reduced to a when unstressed.)

- (87) a. k'\*əl+k'\*úl'+nt -x\*21
  (dis)make(ctr) 2sERG
  You fixed more than one. (lit. You fixed one after another.)
  - b. sw'+siw'+nt -x"
    (dis)ask(ctr) 2sERG

    You questioned him. (lit. You asked him a series of questions.)

Intransitive stems with distributive aspect are interpreted as actions performed by more than one participant.

- (88) a. k'əw+k'áw (dis)gone They are gone.
  - b. wi<sup>9</sup>s+tx+txá+m -əlx done\_(dis)comb(mid) 3pABS They were all combed.

However, distributed aspect via  $C_1VC_2$  reduplication is not fully productive among transitive and intransitive verb stems. Speakers rejected many verb stems with distributive aspect/ $C_1VC_2$  reduplication. Examples of rejected forms appear in (89).<sup>22</sup>

<sup>&</sup>lt;sup>21</sup>The plurality of the object argument does not have to be expressed in the person marker. The plural object marker -alx is optional.

<sup>&</sup>lt;sup>22</sup>The examples in this list may be possible words, which speakers might coin as needed in a particular discourse. However, the speakers' negative reactions to them suggest that they are not lexicalized.

(0)) Iteaupituates form Chieuaphieutes form mouning	(89)	) Reduplicate	d form	Unreduplicated	form	meaning
---	------	---------------	--------	----------------	------	---------

*kc+kíc+nt	kicnt-	get to s.o.
*t'x"+t'úx"t	t'ux <sup>w</sup> t	fly
* <b>\chi</b> '\chi + \chi'a\chi t	λ'a×t	fast
*x̄ <sup>w</sup> p+x̄ <sup>w</sup> upt	x <sup>™</sup> upt	tired, weak
*xs+xast	<b>x</b> ast	good

Furthermore, many verb stems show  $C_1VC_2$  reduplication, but do not have the semantics of distributive aspect. In (90), I give examples of verbs that contain  $C_1VC_2$  reduplication, but which do not express distributive aspect ((a) examples) and/or do not derive straightforwardly from an unreduplicated base ((b) examples).

(	9	0	)	ล	_
•	_	v	,	u	

		Unreduplicated	
Reduplicated form	meaning	form	meaning
°ac°acnt- m'ym'iy'ám tək"tək"''út ncw'cw'cín(n)t- x"a''x"a''cín lq'"lq'"íw's t'q"t'a''q"cin limlmt cixcxt	tangle s.t. up report news (pl.) travel repeat s.t. talk too much s.t. breaks in two holler thank you very hot	cac+nt- m'y?+nt- tk <sup>w?</sup> ut ncw'cin+(n)t x <sup>w</sup> a?cin lq'wnt- t'q'wcin limt cix	tie s.t up tell, teach s.t. (pl.) walk repeat s.t. talk alot break off s.t. holler be glad,happy hot
(90)b.			
kən'+kn'ám kckwckwína? cntək'tək'tám c'm'c'um'nt- kca'ca'alqwm pa'pa't taqwtqwt ma'ma't	butcher s.t. pull_ears of s.o. sob suck on s.t. knock on a door generous huge bothersome		

The data in (90) show that distributive notions marked by  $C_1VC_2$  reduplication are often lexicalized in verb lexemes in idiosyncratic ways. There are other cases in which distributive semantics is encoded in a stem that does not show  $C_1VC_2$  reduplication. For example, c'w'c'iw'sm and c'iw'sm mean 'wash one's eyes' and  $c^rac^r\acute{a}lx$  and  $c^ralx$  both mean 'bathe'. Two speakers observed that both kinds of washing implied repeated motions (i.e. of dipping water over the face and body), yet the reduplicated and unreduplicated forms are free alternates. Moreover, the lexemes k'ram 'swim' and  $t'q'^wam$  'sew'

also express repeated actions, yet these lexemes do not have reduplicated alternates. In sum, it is not possible to predict when a verb stem will have a distributive counterpart marked by  $C_1VC_2$  reduplication and when it will not. This indicates that distributive aspect is not an inflectional category in Okanagan.

When  $C_1VC_2$  reduplication is found in noun stems, it is typically lexicalized in the stem and does not express distributive aspect. Many noun stems with  $C_1VC_2$  reduplication lack unreduplicated counterparts that would indicate a derivational relationship between distributed and non-distributed lexemes (91).

## (91) Noun stem

nax"+nəx" wife nxw+nxwiw's couple c'iq+cq't Engelmann spruce  $c'ix^w+c'x^w$ fish hawk wap+wpxn lynx ct'ək'"+t'ák'" nest sk"r+k"rí<sup>7</sup>ca tomato  $\lambda'\dot{x} + \lambda'\dot{x}ap$ old man nxəs+xastatk<sup>w</sup> watercress

The nouns in (91) are neither special plurals nor do they name repeated actions. They are etymological related to other lexemes, some of which may have been verbs with  $C_1VC_2$  reduplication.

Canonically, distributive aspect indicates a repeated action involving

multiple participants. Mithun (1987) observes that cross-linguistically, the notional iterativity in distributive aspect can be semantically extended to mean 'plural occurrence'. When this happens, the nominal stems of a language may become eligible for plural formation that uses the distributive aspect marker as the plural marker. According to Mithun, verbal number distinctions such as distributive aspect have rarely developed into inflectional categories in Noth American Indian languages. Where they have, distributive aspect has sometimes developed into the inflectional category 'plural' for nouns, particularly under the influence of English. Distributive aspect is not an inflectional (i.e. sentential) aspect in Okanagan. Therefore, based on the crosslinguistic pattern described by Mithun, we would not expect distributive aspect to have developed into an inflectional plural for nouns. It has not.<sup>23</sup> Certainly nouns that show C<sub>1</sub>VC<sub>2</sub> reduplication may derive historically from verbs in distributive aspect, but there is no evidence that distributive aspect applies to nouns. Nouns are not marked for any kind of aspect in Okanagan.

4.5 Verbs and imperative formation. Verbs occur in imperative mood, but nouns do not. I illustrate this point with reference to second person

 $<sup>^{23}</sup>$ Kinkade 1995 observes that  $C_1VC_2$  reduplication is not ordinarily used to mark plural lexical arguments in Upper Chehalis Salish. Rather, it indicates distributed action on predicates.

positive and negative command forms.<sup>24</sup> Transitions form imperatives differently from Processes and States. Process and State bases form positive second person commands with the suffix -x 'singular' or -wi 'plural' in place of the second person absolutive subject markers (A. Mattina 1980).

b. 
$$x^w uy -x$$
go Imp(s)
Go!

Transitions form commands based on a transitive stem plus the suffix -i in place of ergative person markers when the subject has second plural subject reference (93). Singular commands have no subject marker (compare (94) and (95).<sup>25</sup>

<sup>&</sup>lt;sup>24</sup>Polite, first person, and periphrastic commands are also formed only on verbs.

<sup>&</sup>lt;sup>25</sup>A. Mattina (1980) notes that the singular transitive command form is frequently substituted for the plural transitive command. This may reflect the influence of English.

- b. k<sup>w</sup>u pa<sup>9</sup>pín'+†t -i 1sOBJ fold(pos) Imp(p) Fold it!<sup>26</sup>
- (94) a. piλ'+nt peel(ctr)
  Peel it!
  - b. kwu xwic'+xt 1sOBJ give(dat) Give it to me!
- (95) a. pi'\(\lambda'\) +nt -s
  peel(ctr) 3sERG
  He peeled it.
  - b. kwu xwic'+xt -xw 1sOBJ give(dat) 2sERG You gave it to me.

The negative command contains two elements, first the negative particle lut 'not' followed by a verb inflected for irrealis mood. Subject marking is from the genitive paradigm.

- (96) a. lut kwu a- ks- p'íc'm neg 1sOBJ 2sGEN irr pinch Don't pinch me.
  - b. lut a- ks- náq'\*+tm neg 2sGEN irr steal(pos) Don't steal someone's something.

<sup>&</sup>lt;sup>26</sup>Recall that possessional verbs require a referential, possessed theme, the possessor of which is coded as the grammatical object. This example means 'Fold it!' where 'it' refers to some referent that belongs to the referent of the first person singular person marker.

Only some State bases form positive second person commands, as in (98).

All States have derivates that form negative commands, as in (99) and (100).

In (99), the negative command is based on the anticausative derivate of the State base //k'iy't// COLD. This is also the base for the negative command in (100), in which the causative derivate is used.

While all verb types show one or more of these imperative formations, nouns do not occur in any of these imperative frames, as shown in (101) with

the base //fkap// BUCKET.

(101)	†kap 'bucket'	Imperative form
	*łkáp-x	singular intransitive
	*łkáp-wi	plural intransitive
	*łkápnt	singular transitive
	*łkápnt-i	plural transitive
	*lut a-ks-4káp	negative intransitive
	*lut a-ks-łkápm	negative transitive
	*lut a-ks-[*anticausative]	negative anticausative
	*lut a-ks+4káp+stm	negative causative

As a final example of the contrast between verbs and nouns with respect to imperative formation, compare the forms in (102) and (103).

- (102) a.  $sqəltmix_N^w$ (a) man
  - b. \*sqəltmix\*-x
    Be a man!
- (103) a. qəltmx scút<sub>v</sub> He is acting like a man.
  - b. qəltmx scút -x
    Be a man!

Only verbs occur in imperative mood.

**4.6 Verbs as clause heads.** In many languages, argument positions may be filled with verbal expressions. Okanagan is no exception to this, as illustrated in (104) and (105).

Write down what I tell you. GW49

More typically, argument positions are filled with NPs, as in (106) and (107). The head noun is preceded by the determiner  $i^{2}$ . The determiner is followed by the case marker t if it is required by the syntax.

The determiner is absent under other circumstances that I do not fully understand.

<sup>&</sup>lt;sup>27</sup>The determiner  $i^{9}$  is deleted if the head noun is inflected for first or second singular possessor, as in (i) and (ii).

<sup>(</sup>i) <sup>c</sup>ac+nt -ín in- q'a<sup>2</sup>xán tie(ctr) 1sERG 1sPOSS shoe I tied my shoe.

Apart from the fact that complement clauses do not follow the case marker t, in practice there is often no formal marker of a subordinate complement clause, as in (108) and (109).

Also, the determiner  $i^{2}$  serves as a subordinating particle (e.g. in (110).

The multiple functions of  $i^2$  make it a weak indicator of the category of the syntactic phrase which it introduces. However, there are two other subordinating particles that precede verbal clauses only. These diagnostic particles are the complementizers  $\dagger$  (111) and mi (112). The do not cooccur with  $i^2$ . mi consistently has future or conditional overtones.

- (111) a. pna<sup>9</sup> cmay nq'əltúsəs d nk'əwpíls might sicken if lonely
  He might get sick if he's lonesome. GW814
  - b. kn\_sk'int † iksnk<sup>w</sup>a<sup>?</sup>cnúx<sup>w</sup>
    I'm afraid that I'll be late

kəm' † iksnsl'íp or that I'll get lost I was afraid that I'd be late or that I'd get lost. GW516

- c. ksmypnúntəm de kscx vəlx válts
  We'll find out if she's alive
  We will find out if she is alive. COD78
- (112) a. n'ín'w'i' put nk'"əspíntk put apná' sxəlx'ált probably just one year to this day

mi kwu tcyá<sup>c</sup>p that we come back It'll be one year to the day when we will come back. GW459

- b. cəm' t'i k məlmíl·x mi λ'mípstəm maybe if you dawdle then it gets late

  If you fool around (then) you'll be late. GW489
- c. kwnis kwalkwakw, mi kn xwat'at'pnúmt, You are gone then I'll jump up

mi kəlkilstən, nkəcnikəntsən, then I will chase you catch up with you

 $mi ixi^{9}$   $k^{w}u s^{9}at^{9}it$  as  $i^{9} tl'$  as  $i^{9} tl'$ 

When you are gone a little ways, I will jump up, I will chase you, catch up with you, and we will eat the groceries that you got. EC142

Only clauses headed by verbs occur following † and mi. 28 A full account of subordination in Okanagan is not yet available. However, even in its outlines, there is a constraint on the category of the head of the subordinate clause. Nouns do not typically head clauses, outside of the predicate nominal and equational constructions. 29 This is because nouns basically lack

(i) ac- my+st -in ixí sən<sup>9</sup>ickstn cust know(otr) 1sERG dpr toy
I know that it is a toy.

Furthermore, an equational clause may follow the subordinator  $a^*i$  'because'. In this context, the deictic pronoun of the equational clause is optional.

- (ii) cniłc x<sup>w</sup>?it ?íłan ache alot sb cust eat ałí  $(ixi^{9})$ sqəltmix\* because dpr man He eats alot because he is a man.
- (iii) kn c- t'xətmíst atí ixí? s'ítn -tət
  1sABS cust careful because dpr food 1pPOSS
  I was careful because it was our food.
- (iv) cnitc əc- c'qwáqw atí s- nxwəyils he cust cry because neut go(des) He is crying because he wants to go along.

These are formally parallel to subordinate reason clauses headed by verbs.

<sup>&</sup>lt;sup>28</sup>There are other subordinating particles including  $ki^2$ ,  $a^{\dagger}i^2$ ,  $\partial l$  and  $k'\partial l$ . (The last two also function as prepositions). I do not discuss them because I am less familiar with them, and because subordination in general in Okanagan deserves a detailed treatment that I cannot yet give.

<sup>&</sup>lt;sup>29</sup>Equational clauses (NP=NP) may serve as subordinate clauses. When they do, there is no overt complementizer, as in (i).

propositional force. Nouns in isolation do not assert a proposition. They are not a possible response to the question  $s entrm{a} c^2 k entrm{inx}$ , the rough equivalent of the English 'What happened?' Existential propositions take the form of equational clauses, as in the question and response in (113).

- (113) a. Question stim' ixí?? what dpr
  What is that?
  - b. Answer axá<sup>?</sup> xax̄<sup>?</sup>úla<sup>?</sup>x<sup>w</sup>
     dpr rattlesnake
     This is a rattlesnake.

There are also deictic particles that combine with nouns to form existential or locative propositions, as in (114) and (115).

- (114) alá? i? sənc'lúla?x\* púti? alá? here art fruit\_trees still here The fruit trees are still here. EC97
- (115) ilí? ki? i? cətcítx\* -səlx there sb art house 3pPOSS That's where their houses were. EC150

Only verbs have predicative force in isolation, either as a command (see (94) above) or as a simple declarative clause (116).

(116) xlak whirl It whirls.

These are formally parallel to subordinate reason clauses headed by verbs.

**4.7 Nominalized verbs.** Like many other languages, Okanagan has a means of nominalizing predications to encode an event as an individual 'object of discourse' (Jelinek and Demers 1982). However, it is important to recognize that Okanagan has both lexical nominalizations and propositional nominalizations. In a lexical nominalization, a noun is formed from a verb to create a new lexeme. Lexical nominalization always involves an overt formative. The prefix *s*- is a common marker of lexical nominalization. I give several examples in (117) (see also section 2.2.2.16).

Verb		Noun stem		
t'ik'əl	feed	S-	t'ik'əl	grub <sup>30</sup>
c'att	cold(sta)	S-	c'att	cold weather
c <sup>9</sup> ak'*	bloom(ac)	S-	c <sup>9</sup> ak'*	flower
q'iy'	dream	S-	q'iy'	dream
ník'ək'	cut(ac)	S-	ník'ək'	wound
	t'ik'əl c'a <del>l</del> t c'ak' <sup>**</sup> q'iy'	t'ik'əl feed c'ałt cold(sta) c'ak'* bloom(ac) q'iy' dream	t'ik'əl feed s- c'att cold(sta) s- c'ak'* bloom(ac) s- q'iy' dream s-	t'ik'əl feed s- t'ik'əl c'att cold(sta) s- c'att c'ak'* bloom(ac) s- c'ak'* q'iy' dream s- q'iy'

Two suffixes, -min and -tn, and a prefix,  $sx^{w}$ - are nominalizers, as in the examples in (118).

<sup>&</sup>lt;sup>30</sup>Speakers consistently translate *st'lk'al* as 'grub'. Hazel Burke clarified this as food that is packed for travel, i.e. a bagged lunch or supper.

(118)	Verb		Noun		
a.	łw'am x̃"aq'm	pierce grind	łw'-min ž <sup>w</sup> aq'-mn	spear grinder	
b.	xʷíc'la <sup>γ</sup> xʷm nt'k'ʷki <sup>γ</sup> sqáxa	cut_grain a <sup>o</sup> m saddle_horse	ž <sup>w</sup> íc'la <sup>?</sup> x <sup>w</sup> -tn nt'k' <sup>™</sup> ki <sup>?</sup> sqáža <sup>?</sup> -tn	grain cutter saddle	
c.	k' <sup>w</sup> úl'əm m'a <sup>9</sup> m'áya <sup>9</sup> m	make, do teach	sx"+k'"úl'əm sx"+m'a'm'áya'm	worker teacher	

Some lexical affixes seem to nominalize verb bases, but most if not all of them occur on both nouns and verbs, as in the examples with the lexical suffix =qin in (119). Nouns that contain =qin often have s- or other nominalizing morphology.

(119)	nc'a'r=qín <sub>v</sub> səp'əp'áp=qn <sub>v</sub> təc'=qin+nt <sub>v</sub> k'"\lambda'=qn <sub>v</sub> l'"=qin+nt <sub>v</sub> məc'=qin+m <sub>v</sub> q"ac=qn <sub>n</sub> ya'p=qin <sub>n</sub> p'\lambda'=qin <sub>n</sub> c'á'si=qn <sub>n</sub> s+sp'=qin <sub>n</sub> g=qin+tn <sub>n</sub>	have a headache get hit on the head hit on the head take off one's hat put the lid on s.t. oil one's hair hat crowd, lots mushroom head wheat fur hat hair
	$qp=qin+tn_N$ $nc'>cq'=qin+tn_N$	hair hammer
	no bod -din i dil	nammer

While nouns often contain nominalizing morphology, noun bases do not undergo nominalization. The forms in (120) are ill-formed.

Lexical nominalization is a derivational process that creates noun stems from verb stems. The output of V → N derivation has all the properties of a noun as described in sections 4.1-4.3.3 and none of the properties of verbs (sections 4.4-4.6). Notably, derived and underived nouns cannot have internal arguments, and they are marked for person only when they are possessed.

In addition to lexical nominalization, Okanagan has another kind of nominalization process in which a situation is treated as if it were a concrete entity. I refer to this kind of nominalization as factive nominalization.<sup>31</sup>

Hopper and Thompson (1984:745) describe what I mean by factive nominalization as follows:

A nominalization names an event taken as an entity; however, a 'verbalization' does not name an 'entity taken as an event,' but simply names an event associated with some entity. In other words, a nominalization still names an event, albeit one which is being referred to rather than reported on in the discourse; it is accordingly, still in part a V, and not a 'bona fide' N.

<sup>&</sup>lt;sup>31</sup>Beck (1995) defines factive nominalizations in Lushootseed Salish in terms of the Cognitive Grammar framework, but settles on referring to them as 'participles'. 'Factive' may prove not to be the best label for the cognate construction in Okanagan, but it is necessary to distinguish terminologically between lexical  $V \rightarrow N$  derivation and the event  $\rightarrow$  entity phenomenon as described by Hopper and Thompson.

Factive nominalization in Okanagan involves the prefix sc-, which added to verb stems names an event taken as an entity.<sup>32</sup> Examples, with the relevant nominalizations in brackets, follow.

- [kw i-(121) kmatəm SCmy+tim] 2sOBJ 1sGEN know(pos) certainly fact ixí? way' ixí? ancawt dpr prt dpr 2sPOSS deed Certainly this is what I know of your deeds. GW620 (lit. Certainly what I know about you is your deeds.)
- (122) talí? in- xast [i- sc-?itx really 1sPOSS good 1sGEN fact sleep]
  I slept well. (lit. My sleep was very good.)
- (123) axá? [i- sc- nq'a?íls] dpr 1sGEN fact worry This is my business. GW331
- (124) ut ha? wnix" ha? ixí? interr dpr and interr true q<sup>w</sup>əlq<sup>w</sup>ilt] [a-SC-2sGEN fact talk 1 [a-SCq'ay in 2sGEN fact write

Is it true what you wrote in your letter? GW189

 $<sup>^{32}</sup>$ The prefix that forms factive nominalizations may be further analyzable as s-c-. The s of the prefix may be the (fossilized) neutral aspect marker. I discuss the form of the prefix presently.

And they tell each other what they learned; and they say: "We're going to get used to what we're learning, our work." GW156

Factive nominalizations pattern with nouns in that they can occur as objects of the preposition t.

We are looking for what will be our work. GW114

2sGEN

The table has already been set by the old lady with your food. GW514 In (127) and (128), the factive nominalizations can be marked for irrealis mood. However, they do not show the aspectual range of verbs, as suggested by the example in (129).

irr

fact

eat

(129) \*[i<sup>9</sup> t ksc- sc- k'\*ul' -tət] art cs perf fact work 1pGEN (What we had done)

prep

art

Like nouns, factive nominalizations cannot stand alone as main clauses. Rather, they occur only in argument positions.<sup>33</sup> The form of the factive nominalizer *sc*- and the fact that it precludes other aspectual prefixes suggests the *sc*- either derives historically from an aspectual prefix (or combination of *s*-'neutral' and (a)c- 'customary'), or that it is, synchronically, an aspectual prefix. I am inclined to think that *sc*- is basically an aspectual prefix for two reasons. First, it is completely productive over the set of verb bases, like other sentential aspect markers. Second, forms with *sc*- require subject person marking, like all verbs in any aspect. Therefore, while the term *nominalization* 

<sup>&</sup>lt;sup>33</sup>Jelinek and Demers (1982) argue that the cognate construction in Lummi mentions rather than asserts a proposition, an idea they borrow from Davis and Saunders' 1981 analysis of the (roughly) cognate construction in Bella Coola.

is often taken to refer to a derivational process (e.g. Chomsky 1968, Zucchi 1993), factive nominalization in Okanagan has the appearance of regular, verbal inflection.<sup>34</sup>

The term *nominalization* is used in much of the Salish literature to refer to clauses like the lower clause in (130).

i<sup>9</sup> c- múskstəm art cust risk taking

People must take chances. (lit. People's actions are for risk-taking.)

(iii) ixí<sup>9</sup> t'ik'l+nt -s -ən dpr feed(ctr) 2sOBJ 1sERG This is what I am feeding you. GW17

Kroeber (1991:184) describes the clauses that follow the determiner as headless relative clauses.

<sup>&</sup>lt;sup>34</sup>Okanagan also has syntactic rules that nominalize propositions. These lack the morphology of lexical and factive nominalizations, and they generally translate as English relative clauses.

<sup>(</sup>i) stim' i' wik+nt -x"
what art see(ctr) 2sERG
What was it that you saw?

The nominalized verb is *t'cxwuy* 'come across'. The prefix *s*- is usually referred to as the nominalizing morpheme. In Chapter 2, I described this prefix as the marker of neutral sentential aspect. Verbal lexemes with this *s*- prefix have a broad aspectual range that allows inceptive, perfective, and imperfective readings (section 2.2.1.2).<sup>35</sup> Although there are good reasons to suppose that the various *s*- prefixes of Okanagan derive historically from the same source, synchronically, clauses in neutral aspect differ in their distribution and function. Unlike factive nominalizations, clauses in neutral aspect are not always subordinate. Furthermore, clauses in neutral aspect do not follow *t*.<sup>36</sup>

<sup>&</sup>lt;sup>35</sup>Proponents of the single class hypothesis have argued that *nominalization* is a misnomer for constructions like that in (130). Kinkade 1983, for example, demonstrates that the *s*- that is usually referred to as the pan-Salishan nominalizer marks continuative aspect in Chehalis Salish.

<sup>&</sup>lt;sup>36</sup>The following example from the *Golden Woman* (Mattina 1985) illustrates with a single verb base the contrast between neutral aspect (line (i)), perfective aspect (lines (ii-iv)), and a factive nominalization (line (v)).

<sup>(</sup>i) ixí<sup>9</sup> s- q'y'ám -s; dpr neut write 3sGEN He started writing;

<sup>(</sup>ii) q'y'ám i<sup>9</sup> k'əl ylmix"əm write art prep chief he wrote to the chief.

<sup>(</sup>iii) q'y'ám, write He wrote,

Also, clauses in neutral aspect have aspectual and modal interpretations that are not available to factive nominalizations. Clauses in neutral aspect report on an actual event, while factive nominalizations name an instance of an event. Jelinek and Demers (1982) have suggested that the cognate construction in Lummie lacks illocutionary force and truth value. Okanagan clauses in neutral aspect have both. However, clauses in neutral aspect and nominalizations do have one very important thing in common: they are both formed on verb stems. The most notable consequence of this is that they both require subject person marking. Nouns have person marking only when they are possessed, as shown in (132).

<sup>(</sup>iv) wi'sq'y'+nt -is
done\_write(ctr) 3sERG
he got done writing

<sup>(</sup>v) i<sup>9</sup> sc- q'ay' -s art fact write 3sGEN what he was writing,

<sup>(</sup>vi) ut ixí? pa?pín-i?s, nlə?"nt-ís, k'tnt'típ-s, and he folded it, he put it in an envelope, sealed it, GW174-75.

- (132) a. tq+nt -in in- qpqintn touch(ctr) 1sERG 1sPOSS hair I touched my hair.
  - b. tq+nt -in i? qpqintn touch(ctr) 1sERG art hair I touched the hair.

Cross-linguistically, factive nominalizations form a middle ground betwee verbs and nouns, showing properties of both. This is because a factive nominalization refers to an event as if it were an entity. In Okanagan, this type of nominalization is accomplished by inflecting a verb stem with sc-. Stems with sc- share properties with nouns and verbs. Lexical nominalization simple creates nouns from verbs; the resulting nouns have all of the properties of nouns and none of those of verbs. One of the formatives associated with  $V \rightarrow N$  derivation is s-, but there are other productive affixes such as -tn, -min and  $sx^{w}$ -. Both lexical and factive nominalization are always morphologically indicated.

4.8. Category features and lexeme classes. Noun and verb are distinguished in the lexicon and grammar of Okanagan. I designate the class of verbs as those lexemes having the category feature [+V]. Nouns have the feature [-V]. While verbs from the State class can function as adjectives, there is no evidence for a distinct lexical class of adjectives. Therefore, the feature [±V] suffices for distinguishing the lexical categories of Okanagan. Syntactic

devices for overriding category distinctions include adjectivalization, nominalization and the use of nouns as predicates in the predicate nominal construction. In Figure 3, I chart the properties of nouns, verbs, and factive nominalizations in terms of their morphology and their distribution.

Figure 3. Morphological and syntactic tests for category.

	Nouns	Verbs	F. Nominalz.
1. possessive inflection	+	-	n/a
2. 'have s.t' verbs	+	-	n/a
3. 'get s.t.'verbs'	+	-	n/a
4. category conversion	+	-	n/a
5. predicate nominals	+	-	n/a
6. prepositional objects	+	-	+
7. modified by adjective	+	-	-
8. sentential aspect	-	+	+
9. stem aspect	-	+	+
10. distributive aspect	-	+	+
11. imperative formation	-	+	-
12. main clause head	-	+	-
13. subordinate clause head	-	+	+
14. nominalization	-	+	n/a
15. illocutionary force	-	+	-

While there may be some areas of the grammar where the distinction between nouns and verbs is neutralized, the number and type of differences between noun and verb described here demonstrate that both categories are distinguished.

**4.9.** Category features and base classes. The category features interact with the ontological features  $[\pm E]$  and  $[\pm C]$  to define broad lexical classes for the purposes of word formation and other grammatical phenomena. In the

assignment of the aspectual features  $[\pm E]$  and  $[\pm C]$  (section 3.3), all four of the combinatory possibilities of the two binary features are realized. Bases also carry category features which are inherited from the base if a WFR makes no reference to category change. Thus all bases are classified by three binary features,  $[\pm E]$ ,  $[\pm C]$ , and  $[\pm V]$ . The number of possible feature matrices (and therefore base classes) is eight. However, the number of basic feature matrices instantiated in Okanagan bases is only four, as shown in (133).

(133)

(155)	Realized	Not realized
Transitions	[+E,+C,+V]	[+E,+C,-V]
Processes	[+E,-C,+V]	[+E,-C,-V]
States	[-E,+C,+V]	[-E,+C,-V]
Entities	[-E,-C,-V]	[-E,-C,+V]

Of the realized matrices, [+V] occurs if either [E] or [C] is positive.

If [V] is negative, then neither [E] nor [C] can be positive. The correlations
between ontological features [E] and [C] and [V] reflect the grammaticization
of conceptual categories into lexical categories. However, base aspectual
features are at least partially independent in the lexical representation. Most
importantly, base aspectual features alone do not explain the contrast between

the derivational and inflectional possibilities of Transitions, Processes and States as compared to those of Entities. Furthermore, States but not Transitions or Processes undergo adjectivalization. Both kinds of features are needed to classify lexemes according to the word and phrasal formations discussed in this chapter.

**4.10. Conclusion.** A close examination of the conditions on morphological and syntactic phenomena in Okanagan shows that lexical categories are discernible in the context of the general grammatical patterns of the language. In this chapter I have described seven constructions that involve noun stems but not verb stems. There are three derivational processes that derive verb stems from noun stems (sections 4.2.1-4.2.3). Nouns alone can be inflected for possessor person, in two moods, realized and unrealized possession. As in other languages, Okanagan nouns are found in a predicate nominal construction. Predicate nominal constructions superficially resemble intransitive clauses with verbal heads, but the two clause types differ in person marking and semantic interpretation. Two collocational tests point to the existence of phrase structure rules that involve nouns only. First, objects of the preposition t are limited to noun phrases (section 4.3.2). Second, only nouns can be modified by an adjective (section 4.3.3).

Verbs, by contrast, do not participate in any of the constructions just

listed. Instead, they inflect for stem aspect and sentential aspect. They also appear to show distributive aspect, which is a kind of stem aspect. In addition, verbs can be used in imperative mood. Okanagan has a number of derivational processes that change verbs into nouns. Unlike  $N \rightarrow V$  rules, WFRs of the  $V \rightarrow N$  type always add an overt derivational formative to the input stem. Verbs typically function as the head of main and subordinate clauses. Clauses headed by verbs may function as arguments, but these are recognizable by their special morphology or their syntactic frame. Factive nominalization refers to an event taken as an entity. They share properties of both nouns and verbs. For example, they lack the aspectual range of clauses in neutral aspect, but, like nouns, they can be the object of the preposition t.

Isolated phenomena seem to support the single class hypothesisin Okanagan. Yet the single class hypothesis can be maintained only at the expense of an adequate description of the subsystems of the grammar. The single class hypothesis requires that we ignore the conceptual motivation for lexical categories that is cross-linguistically attested. Situations and entities tend to be coded as verbs and nouns, respectively, in many languages. This pattern is reflected in Okanagan, where concepts involving time and change are coded as verbs, and atemporal entities are coded as nouns. The correlation of ontological features with lexical category may explain why the noun/verb

contrast can be masked in the grammar in some areas: overt marking of lexical category is partially redundant.

It may be possible to give an alternate account of the grammar that avoids use of the traditional lexical categories. However, the noun/verb contrast in Okanagan is realized in many of the same ways that it is realized in other languages. Therefore, attempts to do away with the noun/verb distinction in Okanagan would call into question the noun/verb contrast in many other languages as well.<sup>37</sup> The noun/verb distinction classifies the inventory of lexemes in Okanagan in such a way that we can find significant, productive patterns in derivation and inflection that are organized into paradigms. This descriptive advantage outweighs the fact that the concepts *noun* and *verb* continue to be difficult to define precisely.

<sup>&</sup>lt;sup>37</sup>For example, Hopper and Thompson 1984 argue that cross-linguistically, the noun/verb distinction holds at the level of discourse structure only. In support of their claim they make use of English and Salish data, as well as a broad selection of other genetically and geographically diverse languages.

## Chapter 5. Conclusion

5.1 Form and function classes in Okanagan. In this thesis I have addressed some problems of classification that are of a general interest in the field of Salishan studies, and of particular concern in the study of word formation in Okanagan. Various classificatory schemes have been proposed in the literature, none of which seem suitably descriptive or explanatory for Okanagan. In the process of developing a classificatory scheme for Okanagan, I found that certain problems of classification could be dealt with only by broadening my view of the possible relationships between form and function in grammar. Rather than assume, for example, that roots and affixes have forms that correspond to a single function (wherever they may occur in a word), I easily found data to support the view that there are multiple arrangements of form and function. That is, in order to maintain that form and function correspond biuniquely in the morpheme, I would have had a list of irregular forms larger than the regular ones. In adopting some of the assumptions of lexeme-based morphology, I had the experience described by Hess 1993, who wrote of Lushootseed verb stems:

Once the correct criteria for stem identification were realized, large classes of stems fairly leapt out of the data; whereas before there seemed to be no stem class larger than one member. (1993:114)

While the 'correct criteria' for Lushootseed stem classification are somewhat

different from those I have described for Okanagan, my classification, like Hess', does not depend upon the canonical Salishan (C)CVC(C) root. Rather, I classify lexemes, regardless of their shape, using two key assumptions: 1) lexemes are the fundamental unit of the lexicon and, 2) the relationship between form and function in morphology is indirect. The first assumption means that we allow for a linguistic primitive, the lexeme, that may never be satisfactorily defined. Like its abstract counterparts the phoneme and the morpheme, the lexeme is valuable if, by adopting it, we find significant, cross-linguistically recurrent patterns in the data. However difficult it may be to define, the lexeme often tacitly plays a role in morpheme-based analysis, and it is a concept that continues to be of interest to theoretical morphologists.

The second assumption--that form and function are mapped to one another from semi-autonomous components--is perhaps the more radical of the two, although it continues to interest theoretical morphologists (Beard 1995 and sources cited therein). Under this assumption, which is now referred to as the Separation Hypothesis, the core task of morphology is to map form to semantic and syntactic function, independently of lexical derivation and inflection. This separation of affixation (or other formal changes) from the cycles of derivation and inflection allows for a variety of arrangements between form, meaning, and lexico-syntactic properties. The Separation Hypothesis allows for a

biunique relationship between form and semantico-syntactic function, but other mappings are not only possible but expected. In the list in (1), I state the various arrangements of form, meaning, and lexico-syntactic properties (abbreviated as *syntactics*) that the Separation Hypothesis predicts.

- (1) I. Morphological formatives with both the semantics and syntactics of a morphological category
  - II. Morphological formatives with the semantics but not the syntactics of a morphological category
  - III. Morphological formatives without semantic or syntactic function
  - IV. Morphological formatives with more than one semantic or syntactic function
  - V. Semantic or syntactic function marked by more than one morphological formative
  - VI. Semantic or syntactic function marked by no morphological formative

For convenience, I refer to the arrangements of form and function listed in (1) as *form-function classes* I-VI. Although morpheme-based morphology would lead us to concentrate on the phenomenon where form and semanticosyntactic function are mutually implied (i.e. form-function class I), Okanagan amply illustrates form-function classes I-VI. I illustrate each form-function class using formatives and functional categories already discussed in this thesis as follows:

5.1.1 Form-function class I. There are formatives with both the semantics and syntactics of a functional category. For example, the suffixes in (2) all mark the stem as a transitive verb with an Event Structure of the the type [P,S]<sub>T</sub>.

(2)

-nt	closed transitional	(section 2.2.2.1)
- <del>1</del> t	possessional	(section 2.2.2.3)
-núnt	limited control closed transitional	(section 2.2.2.11)
-nú <del>1</del> t	limited control possessional	(section 2.2.2.11)

I have not found any stems or grammatical words where these derivational suffixes indicate anything other than the semantic and inflectional class of the predicate as I have described it.

Cross-linguistic evidence suggests that a one-to-one correspondence between sound and semantico-syntactic meaning is in some psychological sense 'ideal'. Therefore it would be surprising if Okanagan did **not** have some formatives that consistently mark a single semantico-syntactic function.

5.1.2 Form-function class II. Okanagan makes broad use of form-function class II phenomena. The formatives in bold-face in the (b) examples of (3)-(11) occur in stems where their semantic features are part of the meaning of the lexeme. Their usual syntactic features, discernible from other stems such as those in the (a) examples, are not expressed in the (b) examples.

- (3) -(n)cút 'reflexive'
  - a. a-s-c-əlk'+m+əncút

You forced yourself here.

COD11

b.  $k^{r,w} = y + ncút + m + nt - elx$ 

He laughed at them. COD10

- (4) -(n)wíx\* 'reciprocal'
  - a. kwu pəlst+wixw

We kill one another.

COD229

b.  $q^{w}a^{n}m+nwix^{w}+st-molx$ 

Someone introduced them.

- (5) -tn 'location, instrument'
  - a. n+k+?əmt=íw's+tn

saddle horse

b.  $\check{x} \Rightarrow q' + \dot{t} + mrim + st + (t)n-m$ 

Someone paid for a cure. COD102

- (6) -mín 'instrument, location'
  - a. t+q'"l+min

barbecuing stick COD100

b. s+k+tw'+min+tn

pole for a spear COD86

- (7) n-...-íls 'desiderative'
  - a.  $kn_n + x^w y + ils$

I want to go.

b.  $n+k^{w} \Rightarrow 9+ls+ncút$ 

She started to fret. COD10

- (8) -t 'stative'
  - a.  $\lambda'ax^w+t$

It's dead.

b. limləm-t

thank you

(9) -(V)C<sub>2</sub> reduplication 'anticausative'

I got seen (caught).

b. 
$$s+c'ik'+\partial k'=l\acute{a}'x''$$

forest fire, burned land COD171

(10) -?- 'anticausative'

a. 
$$p' + 9 + uy$$

It got wrinkled.

b. 
$$c'a^{7}+c'a+^{7}+x+^{4}$$

bashful COD20

(11) C<sub>1</sub>VC<sub>2</sub>- reduplication 'distributive'

a. 
$$c-\lambda'w+\lambda'aw$$

They (lights) are out.

b. 
$$s+3n+ta^{9}+4+wl+wlim+tn$$

blacksmith's shop

(12) -x(i)t 'dative'

He counted it for him.

b. 
$$k^{w}n+xt+wix^{w}+m+st-m$$

We take something and pass it back and forth. COD58

This form-function class predicts the phenomenon I have described as a base formative in Okanagan words. Base formatives are not relevant to the semantic or inflectional classification of lexemes; they are 'inside' the lexical stem and are lexicalized elements. Words in this form-function class are often semantically 'translucent' in that their founding metaphor is still suggested. For example,  $nk^{rw}\partial^{2}lsncút$  'to fret' may have the etymological sense of 'chew self's feelings'. While we can imagine a connection between feelings and desiderative

aspect, there is no grammatical expression of desiderative aspect in  $nk^{w} a^{p} lsnc ut$ . Clearly, fluent speakers can assign meanings to some base formatives in some words by analogy with other forms, i.e. through redundancy rules rather than through synchronically active WFRs (Aronoff 1976). I maintain that no thoroughly predictive rules can be stated over base formatives, since they may be arranged by surface analogy, metaphoric extensions, or other non-grammatical means. <sup>1</sup>

It is important to note that the difference between a formative with both the semantics and syntactics of a function and a formative with only the semantics of a function is not always a matter of a formative's absolute position in a word (see examples (6b) and (8b)). A speaker knows by the aspectual type of the base whether the formative is marking both semantic and syntactic function. Process bases, for example, never take the suffix -(n)cut as a derivational formative (e.g. \*kn\_?itx+(n)cut 'I sleep myself'), but only as a base formative, as in '\*vay+ncut 'laugh'.

**5.1.3 Form-function class III.** Okanagan also has formatives that mark neither semantic nor syntactic function. These are more conveniently referred to as empty morphs. Examples in this thesis include the compound connectors -

<sup>&</sup>lt;sup>1</sup>This does not preclude an investigation of the relationship between conceptual and/or cultural knowledge and base formation. However, we need not wait until we have detailed epistemological models for each language and culture before we describe how word formation works in a language.

s- and -t-. -s- occurs in the terminative construction with wi?s-, in which the base //wy'// DONE is joined to Transition or Process verb bases (section 3.1).

(13)  $wi^{9}+s+3lk'+iw's+m$  He got done tying something.

- $\dot{\tau}$ - joins the formative  $ta^{2}x^{w}$ - to noun bases to form verbs of the 'get-something' types (section 4.2.2).

- (14) kn  $ta^{9}x^{w}+1+citx^{w}$  I got a house.
- A. Mattina (1987, 1994) refers to -m- as a stem-formative. This may be the -m- that is occasionally found in desiderative stems, as in (15a).
- (15) a. kn n+pax+m+ils I want to hunt.
  - b.  $kn_n+x^wy+ils$  I want to go.

It also occurs in many stative bases that end in -(s)cut, e.g.  $q^{w} \partial n' + m + scut$  'be pitiful' and siy + m + scut 'be at one's best'.

One also finds spurious reduplications or inserted segments that arise from folk etymology, reanalysis, or misperception. Though presumably sporadic, these empty morphs can extend beyond a single form, and affect a small lexical domain, as in the case of stems having to do with hitting. The stems in (16) are agentive transitionals, while those in (17) are anticausative.

- (16) a. kn sp'am I hit something.
  - b. sp'nt-ín I hit it.
  - c. k+sp'=íc'a?-n I hit it on the body.
  - d. k+sp'=us-n I hit him in the eye.

- (17) a. kn sp'áp' I got hit.
  - b. kn sp'p'=axn I got hit on the arm.
  - c. kn\_sp'p'=w's=us I got hit on the face.
  - d. kn sp'p'=qín=xn I got hit on the knee.

In (17), the anticausative formative  $-(V)C_2$  reduplication indicates that the subject is a patient, rather than agent. Yet one etymologically-related stem has  $-(V)C_2$  reduplication even though the stem meaning is transitional, not anticausative.

(18)  $sp'+ap'=q(n)+nt-x^w$  You hit it on the head.

The lexicalized reduplication in (18) is also found in the nominal derived from (18) with the nominalizer -tn.

(19) sp'ap'=qn+tn salmon club

We would expect a salmon club to be 'something one hits (salmon) with' rather than 'something one gets hit with'. The reduplication of the final root consonant is inherited from the input verb, and it does not contribute semantically or syntactically to the noun stem.

5.1.4 Form-function class IV. There are also many-to-one relationships between form and function in Okanagan. Some formatives serve more than one semantico-syntactic function. An example of this phenomenon is the suffix -st. This suffix is found at the right edge of open transitional stems (section 2.2.2.2) (the stem is bracketed).

(20) c- [kəm'kəm'+st] -ín
cust (otr) 1sERG
I usually select it.

Stems like that in (20) have these characteristics: 1) they are transitive, 2) they have the Event Structure  $[P,S]_T$ , and 3) they are the input stems for the imperfective counterparts of closed transitionals. A stem must have -st to have these properties. However, there is a second semantico-syntactic function of -st. In other stems, -st is the formative used to form causative stems, as in (21).

(21) t'ux\*t+st -n
fly(caus) 1sERG
I made it fly.

Open transitional stems always carry the customary sentential aspect prefix (a)c-, as in (20). The causative stem occurs in either perfective aspect, as in (21), or customary aspect, as in (22).

(22) ac- t'ux\*t+st -n
cust fly(caus) 1sERG
I usually fly.

Differences in form between open transitionals and causatives are neutralized when both are in customary aspect. However, it is clear that the two functions are separate because they are expressed in two different stem aspect paradigms. The open transitional regularly has a closed transitional counterpart (with the suffix -nt) (23). The causative stem does not have a closed transitional

counterpart (24).

- (23) kəm'kəm'+nt -ín
  (ctr) 1sERG
  I selected one.
- (24) \*t'ux\*t+nt -n fly(ctr) 1sERG (I flew it.)

In addition, a verb base that forms open transitionals typically forms possessional, limited control, and anticausative stems as well. Verb bases that form causatives do not form these other stem types.

Other polyfunctional formatives discussed in this thesis include s-, which is a lexical nominalizer in some contexts (section 4.7), and an aspectual marker in others (section 4.7). Also in this group is the suffix -(V)m which marks middle stem aspect (section 2.2.2.6) and the default stem aspect of Transition bases (section 3.4.1.1). The prefix kt- marks  $N \rightarrow V$  derivation of the 'have-something' type (section 4.2.1), as well as unrealized possession (section 4.1) on noun stems.

Polyfunctionality is not limited to derivational formatives. There are a number of polyfunctional inflectional formatives. Just a few of these are:

- (25) a. kwu lpABS, 1s/pOBJ, 1sSub (predicate nominal)
  - b. 0 3sABS, 3sOBJ
  - c. all GEN subject all POSS markers markers
  - d. -(V)m 1pERG, Unsub(ERG)

Syncretism of form is extremely common in person marking systems cross-linguistically (Mithun 1991 and sources cited therein). While there seem to be patterns of inflectional syncretism cross-linguistically, Mithun (1991:510) argues that syncretism in any one language is the product of "successive diachronic developments, each individually motivated." Because children do not have access to the history of their language as they learn it, we have to assume that polyfunctionality is a phenomenon that does not impede language learning. Rather, it is accommodated by lexical and inflectional paradigms that constrain word formation.

5.1.5 Form-function class V. Even where a formative conveys both the semantics and syntactics of a function, that function may also be expressed through other formatives. The various morphological expressions of anticausative stem aspect are a good example of this. Recall that the formatives of the anticausative stem include  $-(V)C_2$  reduplication, the suffixes -(V)p and  $-(t)wilx^w$ , the infix -2-, or 0. This range of formative types and shapes cannot

be explained phonologically. However, this set of affixes is united by a common function, which we might not fully recognize if form were the only criterion for identifying a morphological category. Two other semanticosyntactic functions that utilize more than one formative are *transitional* stem aspect (-nt, -st, 0), and *stative* stem aspect (-t, -(n)cút, -(s)cút, -út, and -úmn).

**5.1.6 Form-function class VI.** Finally, Okanagan has a variety of functions that are indicated by no morphological formative. In previous chapters I discussed category conversion of the type  $N \rightarrow V$  as lacking overt morphology (section 4.2.3). In addition, some portions of the paradigm of closed transitionals in realis and irrealis subparadigms have  $\emptyset$  where -nt is expected (section 2.1.1). In the inflectional paradigms, third person singular absolutive and third person singular object are not marked with a formative. A strict morpheme-based analysis is not compatible with the notion of a phonologically null affix, since it that entails that silence and meaning are mutually implied (Beard 1987). If the lexicon were an unstructured list of roots and affixes, affixless derivation or inflection should be impossible. Affixless derivation in Okanagan points to the importance of the paradigm in lexical organization, as well as the separation of form and function in word formation.

The Separation Hypothesis regularizes all of the form-function classes

II-VI; that is, they are predicted by it. Furthermore, lexemes are distinguished

from morphological formatives because form and function are consistently mutually implied, except in cases of contrastive ambiguity.<sup>2</sup> Lexemes, therefore, are stored in the lexicon, regardless of their internal structure.<sup>3</sup> Formatives are stored in the morphological component, along with instructions for mapping form to semantic and lexico-syntactic information.

To separate form from function in derivation and inflection is to study word formation 'without the distractions of phonology' (Beard 1995). The success of this enterprise may be judged by the extent to which I have been able to identify semantic and lexico-syntactic features and paradigms that provide a means to classify Okanagan lexemes. I review my classification in the following section.

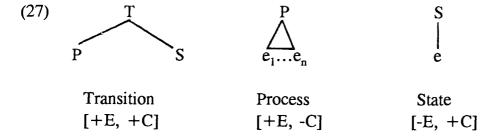
**5.2 Lexeme classes in Okanagan.** I refer to underived lexemes as bases; derived lexemes are stems. In Chapter 3, I described tests that revealed the ontological properties of bases with respect to the notions of temporal constitutency and relevance to change. I represented these properties with the

<sup>&</sup>lt;sup>2</sup>Pustejovsky 1995 distinguishes *contrastive ambiguity* or 'the essentially arbitrary association of multiple senses with a single word' from *complementary polysemy*. Complementary polysemy occurs when two words have logically-related senses, as with *lamb* in *The lamb is running in the field* and *John ate lamb for breakfast* (Pustejovsky 1995:31, example (16)). It is my impression that Okanagan has far less complementary polysemy than does English.

<sup>&</sup>lt;sup>3</sup>I am not sure if inflected forms, i.e. grammatical words are stored in the Okanagan lexicon. I leave this issue for future research.

binary features  $[\pm E]$  and  $[\pm C]$ . Four feature matrices were possible based on these features, and all four were realized, indicating four base classes that I labeled as follows:

Because, cross-linguistically, relevance to time and/or change is the property of prototypical *situations*, I proposed that Transitions, Processes, and States are subtypes of situations in Okanagan. Entities contrast with situations in not coding temporal information or change. The labels for the situation subtypes come from Pustejovsky's 1991 typology of situations. Pustejovsky posits three prototypical Event Structures that are projected from a wide variety of lexical conceptual structures. The Event Structure prototypes are given in (27).



I use Pustejovsky's situation typology and representations to illustrate the relationships between bases and their derivates. I focus on an important derivational paradigm that I refer to as the stem aspect paradigm. This paradigm marks a group of aspectuo-modal categories of the type that are often

described as Aktionsarten in other languages. Each base type has a distinctive set of derivational possibilities with respect to a subset of the stem aspect WFRs. I refer to this set of derivational possibilities by the name of the base type, as in the Transition paradigm, the Process paradigm, and the State paradigm. The derivates in the Transition and Process paradigms all have the Event Structure of their bases. The derivates in the State paradigm have the Event Structures of Transitions, Processes, and States. This variability with respect to Event Structure distinguishes the derivational possibilities of States from those of Transitions and Processes.

In sum, Transitions, Processes, and States, as identified by ontological features and prototypical Event Structures, name derivational classes. In addition to these three, the fourth ontological class, the Entities, have none of the derivational possibilities that Transitions, Processes, and States do. Entities, therefore, comprise a fourth derivational class.

In addition to describing four derivational classes, I also described the inflectional classes of stems. Inflectional classes are determined on the basis of stem meaning rather than on base type. The stem types that I identified are listed in (28).

(28) closed transitional open transitional possessional dative reflexive reciprocal middle causative limited control anticausative continuative desiderative stative

Speakers recognize the stem types in (28) as *transitive* or *intransitive*. Stems that encode a referential internal argument in their lexical conceptual structure are transitive stems; all others are intransitive. Person marking and sentential aspect are the two verbal inflectional categories. Inflectional class interacts with sentential mood (specifically the realis/irrealis contrast) and sentential aspect to condition the person marking of verbal stems. The patterns are shown in (29) (repeated from section 2.2.1.3, (60)).

(29)

Inflectional Class	Mood	Aspect	Person paradigm
Transitive	realis	perfective imperfective	Ergative
	irrealis	perfective neutral	Genitive
Intransitive	realis	perfective imperfective	Absolutive
	irrealis	perfective imperfective neutral	Genitive

The derivational and inflectional patterns of verbs differ from those of nouns. In particular, nouns do not express derivational (stem) aspect or inflectional (sentential) aspect. This systematic split between lexemes that encode situations and those that encode entities is supported by other morphological and syntactic tests that are described in Chapter 4. In (30), I chart the distribution of nouns and verbs with respect to derivational and inflectional classes.

(30)			Transitive	Intransitive
	Verb	Transitions	+	+
		Processes	+	. +
		States	+	+
	Noun	Entities	n/a	

Notice that all verb base types have derivates in both the transitive and intransitive inflectional classes. Nouns, on the other hand, are neither transitive nor intransitive. They inflect for possessor person/number, but they do not appear to have argument structure or Event Structure (cf. Grimshaw 1990 on result nominals). They are aspectually inert, as we would expect from a class of lexemes that encode atemporal entities. Without the noun/verb distinction we would have difficulty describing the different derivational and inflectional behaviors of States and Entities. I used the feature [±V] to account for the different derivational and inflectional patterns of ontological situations and entities.

5.3 Concluding remarks. The classification of lexemes just reviewed is needed to express the constraints on the formation of words in Okanagan.

Classifications that invoke only one level of classification for one kind of morphological unit (e.g. Howett 1993, L. Thomason 1994, Davis 1996) are

not sufficiently rich to accomodate the Okanagan data. The Unaccusative Hypothesis (Perlmutter 1979), for example, predicts that verbs will fall into one of three universal categories, the unergative, the unaccusative, and the transitive. The unergative verb encodes an agentive external argument that is realized as an intransitive subject. The unaccusative verb encodes a nonagentive internal argument that is realized as an intransitive subject. The transitive verb type has both an internal and an external argument. Although the Unaccusative Hypothesis provides a useful classification of verb types in other languages, it is not supported by the Okanagan data. It is too narrow with respect to semantic types, since the agentive/non-agentive contrast is only one of several elements that determine a verb's class in Okanagan. 4 On the other hand, the Unaccusative Hypothesis is too broad in that it predicts three argument structure classes (unergative, unaccusative, and transitive), while Okanagan has only two, transitive and intransitive.

Okanagan's non-conformity with respect to the Unaccusative Hypothesis will not surprise some linguists who have questioned the viability of the Unaccusative Hypothesis in the first place (e.g. Van Valin 1987, Grimshaw

<sup>&</sup>lt;sup>4</sup>Problems with the Unaccusative Hypothesis have surfaced in other Salish languages. Gerdts 1991a finds that the class of putative unaccusatives in Halkomelem consists of three subclasses determined by aspectual criteria. Thomason et al. 1994 do not find support for the Unaccusative Hypothesis in Montana Salish.

1987 and sources therein). Actually, the problem with the Unaccusative Hypothesis may be a general problem for all lexical classifications that are based primarily on syntactic classes. Pinker (1989) argues that children do not acquire argument structure alternations from syntactic evidence alone. For example, in order to learn that give has two argument realizations (Give Mary the book; Give the book to Mary), but that donate has only one (Donate the book to the library; \*Donate the library the book), children must generalize on the basis of non-syntactic evidence, else the argument structure possibilities of donate would change in a single linguistic generation. Levin and Rappaport (1988) question the learnability of the unergative/unaccusative distinction in English given the 'rather paltry' overt evidence to which a child might be exposed. Levin and Rappaport conclude that more sophisticated lexicosemantic characterizations of verbs can explain the insights of the Unaccusative Hypothesis as well as the regularities in lexical representation across the lexicon. Clearly, we need more detailed lexico-semantic descriptions among the Salish languages, regardless of their theoretical implications.

When we combine the empirical and theoretical problems of syntactic classification with the evident mismatches between form and function in morphology, the natural place to look for explanation is in the lexicon. In this lexeme-based treatment of word formation, I have rejected the idea that the

lexicon is 'a list of basic irregularities' (Bloomfield 1933). Rather, I have taken the position that the lexicon is full of important lexicosemantic regularities, including patterns within lexical conceptual structure and in the mapping of LCS to Event Structure. As both a database and projector of syntax, the lexicon can be conceived of as the store of both idiosyncratic and generative and lexical semantic knowledge. Because the information in the lexicon is expressed through morphology, it is important to understand the interface between the lexicon and the morphological component.

In this thesis, I have focused on the lexico-semantic properties of a handful of derivational and inflectional categories, with reference to the formatives that express them. There are four major areas that I have left for future research that bear on the central proposals of this thesis. First, I have left untouched the description of how morphology interacts with phonology. Given my assumptions, I trust that the morphophonological interface will not contravene the morpholexical proposals I have made. I am hopeful, in fact, that morphophonological details will support my contention that bases lack internal morphological structure.

Second, this thesis lacks discussion of the historical dimensions of Okanagan word formation, except to exclude them. This is not an oversight so

<sup>&</sup>lt;sup>5</sup>Black (1996) provides morphophonological evidence that bases lack internal morphological structure in Spokane Salish.

much as it is part of my plan not to confuse etymology with predictive word formation rules. Nevertheless, I assume that historical research is critical to understanding the sources of mixed paradigms, paradigmatic syncretism, homophony, semantic shifts, reanalyses, and other morphological phenomena that have attracted attention in the Salish literature.

Third, each of my lexeme-based proposals needs to be studied from a comparative perspective. There is a significant database for comparative study within the Southern Interior Salish group; for some of the Southern Interior languages there are still fieldwork possibilities. Because my assumptions differ slightly from those of others working on SI languages, it is not possible to quickly peruse a dictionary or grammar and draw comparative conclusions. Slow and objective work is needed to discover, for example, if some SI lexicons are organized on the basis of canonical (C)CVC(C) root morphemes, or if inflectional classes are organized differently from language to language. I expect to find differences in the semantic details of the morphological categories from language to language. These would not in themselves cast doubt on my analysis of Okanagan. However, the Salish literature reveals how hotly contested is the noun/verb distinction across the family, and we may eventually be persuaded that the noun/verb distinction does not exist in some members (Jelinek 1995). The question of just how much languages differ

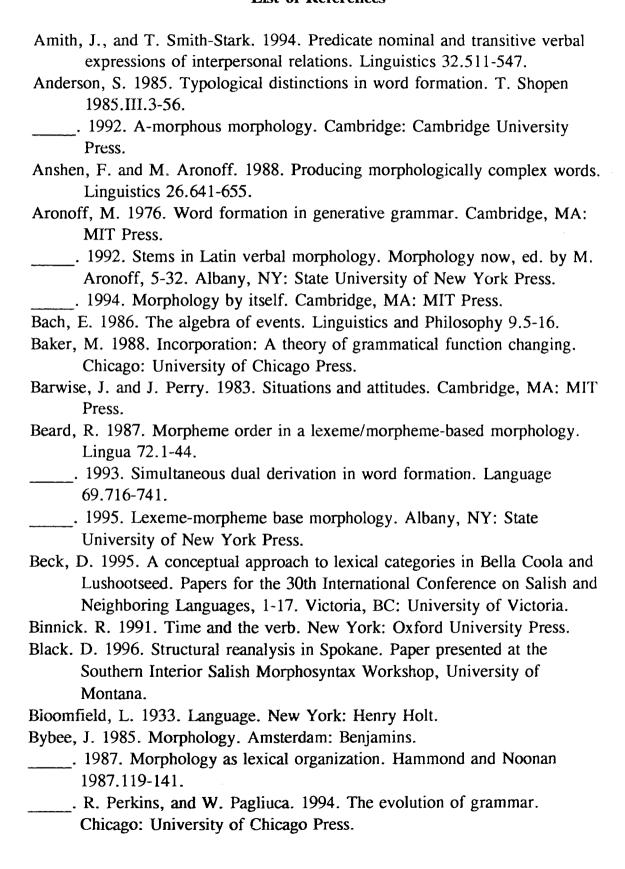
within the family or subgroup will have to be addressed after we have very detailed descriptions of most of the Salish languages.

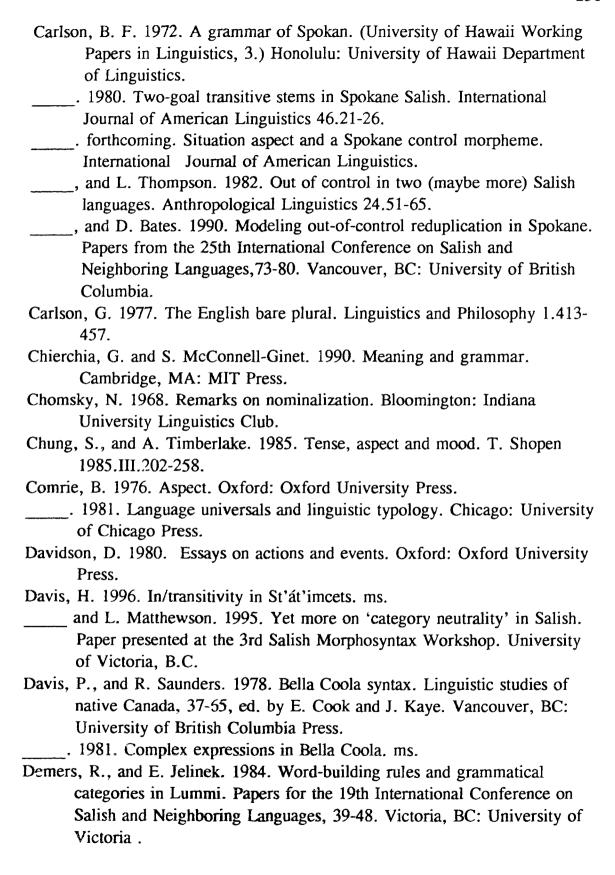
Finally, the fourth area of research that bears on word formation is child language acquisition and psycholinguistic research. Certainly the errors and developmental patterns of children learning Okanagan would provide clues to the nature of word formation in Okanagan. Unfortunately, there are no children that I know of who are learning Okanagan in a natural context. We have to hope that current language revitalization programs will eventually result in child learners; until then, nothing in this area can be done. Direct experimentation with adult Okanagan speakers is more probable, although I do not know of any such studies having been carried out yet for any Salish language. The question of what is stored in the lexicon and how it is stored could be addressed by psycholinguistic experiments. It would also be relevant to know if the degree of rote processing among Salish speakers differs from what has been described for speakers of other languages (Bybee 1987). We can proceed in our study of morphology either as lexeme- or morpheme-based, but it would be helpful to see if psycholinguistic experimentation would support one view over the other.

It may be that only through psycholinguistic research will we gain a window onto what a word is in Okanagan. In my fieldwork, I frequently tried

"You could say that, but that's not a word." Could all Okanagan words be, then, just the sum of their parts? I have contended here that they are not. However, there is much to be done, both language-specifically and crosslinguistically, to find out 'what's in a word'. In my view, developing an answer to the question 'What's in a word?' is a crucial part of a larger effort to represent the particular genius of Okanagan, while there are still speakers to guide the way.

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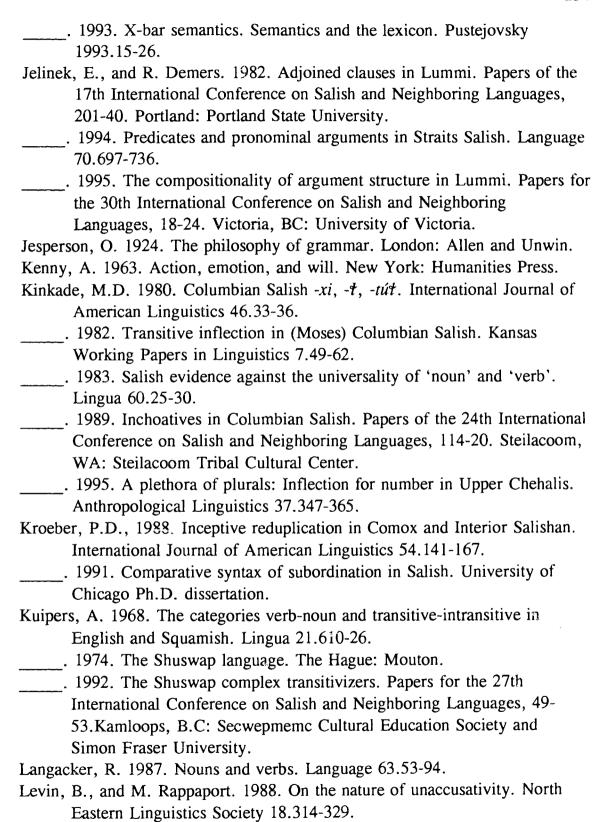
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Appendix I. The phonemic inventory of Okanagan

Consonants:	В	LD	LA	LA	V	V	U	U	L
stops	D	LD	L.	Lix	•	•	O	O	1
plain glottalized	p p'	t t'	c c'	λ'	k k'	k'''	q q'	q <sup>w</sup> q' <sup>w</sup>	?
fricatives		S		ł	x	x <sup>w</sup>	ž	χ̈́ <sup>w</sup>	h
resonants									
plain glottalized	m m'	n n'	r r'	1 1'	y y'	w w'	6.	(C,m)	

[B-bilabial, LD-laminodental, LA-laminoalveolar, V-velar, U=uvular, L=laryngeal]

Okanagan stops are voiceless, occuring in a plain and ejective series.

Resonants occur in a plain and laryngealized series. The lack of a plain lateral affricate represents a gap in the system, for all dialects. The velar and uvular stops have rounded and unrounded articulations. The Colville dialect shows a contrast between rounded and unrounded post-velar resonants, indicated in parentheses. The glottal position is represented by glottal stop and /h/. The basic allophones of the fricatives are voiceless. Northern dialects such as

Okanagan vowels are /i,a,u,ə/; /ə/ is always unstressed.

palatalization. All fricatives except /h/ may be voiced intervocalically.

Okanagan realize the plain lamino-alveolar affricate /c/ with slight